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BAMAKO CONVENTION **Conference of the States Parties**

First Session
Bamako, Mali
24-26 June 2013

DECISION 1/13 – Notification Procedures for Transboundary Movement of waste

The Conference:

Recalling Article 6[1] of the Bamako convention on the ban of the import into Africa and the control of transboundary movement and management of hazardous wastes within Africa;

Further recalling Article 7[2] of this Convention;

Bearing in mind the annexes I, II, III, IVA and IVB of the Convention[3];

Hereby:

1. **Endorses** on an interim basis the Notification Form and the Movement Document for the transboundary movement of waste annexed hereto (Annex I and Annex II respectively);

2. **Requests** Parties to meet their reporting obligations under the Bamako Convention using these forms;

3. **Instructs** the Legal and Technical Working Group (LTWG) to revise and amend the Notification Form and Movement Document for the transboundary movement of waste pursuant to article 6 and article 7 of the Bamako Convention for consideration at the next Conference of the Parties;

4. **Encourages** the Secretariat to identify necessary funds to hold a training workshop on Transboundary Movement and Notification Procedures for focal points, competent authorities and Dumpwatches.

[1] **Art. 6** establishes procedures for transboundary movement of waste to be followed by export, import and transit countries.

[2] Art.7 extends certain provisions of Art.6 to transboundary movement from a Party through States which are not Parties.

[3] These annexes list categories of wastes which are hazardous wastes, hazardous characteristics, disposal operations information to be provided on notification and information to be provided on the movement document.

ANNEX 1: Notification form

BAMAKO CONVENTION

Notification document for transboundary movements/shipments of waste

1. Exporter - notifier Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	**3. Notification No**: **Notification concerning** (Please tick appropriate box): A.(i) Individual shipment: ☐ (ii) Multiple shipments: ☐ B.(i) Disposal: ☐ (ii) Recovery : ☐ C. Pre-consented recovery facility Yes ☐ No ☐
	4. Total intended number of shipments:
	5. Total intended quantity : Tonnes (Mg): m^3:
2. Importer - consignee Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	**6. Intended period of time for shipment(s)** : First departure: Last departure:
	7. Packaging type(s) *(1)*: **Special handling requirements** *(2)*: Yes: ☐ No: ☐
8. Intended carrier(s) Registration No: Name*(3)*: Address: Contact person: Tel: Fax: E-mail: Means of transport *(3)*:	**11. Disposal / recovery operation(s)** D-code *(1)*: Technology employed *(2)*: Reason for export *(2)*:
	12. Designation and composition of the waste *(2)*:
9. Waste generator(s) - producer(s) *(1)* Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Site and process of generation *(6)*	**13. Physical characteristics** *(1)*:
	14. Waste identification *(fill in relevant codes)* (i) Bamako convention Annex I (or II if applicable) (1): (ii) National code in country of export: (iii) National code in country of import: (iv Other (specify): (v) Y-code: (vi) H-code *(1):* (vii) UN class *(1):* (viii) UN Number: (ix) Customs code(s) (HS):
10. Disposal facility : ☐ **or recovery facility**: ☐ Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Actual site of disposal/recovery:	

15. Information relating to insurance:

16. (a) Countries/States concerned, (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port)

State of export - dispatch	State(s) of transit (entry and exit)						State of import - destination
(a)							
(b)							
(c)							

17.Customs offices of entry and/or exit and/or export :

Entry: Exit: Export:

18. Exporter's - notifier's / generator's - producer's declaration:

I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement.

Exporter's - notifier's name:	Date:	Signature:	**19. Number of annexes attached**
Generator's - producer's name:	Date:	Signature:	

FOR USE BY COMPETENT AUTHORITIES

20. Acknowledgement from the relevant competent authority of countries of import - destination / transit / export - dispatch:	**21. Written consent to the movement provided by the competent authority of** (country):
Country:	Consent given on:
Notification received on:	Consent valid from: until:
Acknowledgement sent on:	Specific conditions: No: ☐ If Yes, see block 22 *(2):* ☐
Name of competent authority:	Name of competent authority:
Stamp and/or signature:	Stamp and/or signature:

22. Specific conditions on consenting to the movement document or reasons for objecting

(1) See list of abbreviations and codes on next page (Annex 3)
(2) Attach details if necessary
(3) Attach list if more than one
(4) Attach detailed list if multiple shipments

ANNEX II: Movement document

BAMAKO CONVENTION

Movement Document for transboundary movements/shipments of waste

1. Corresponding to notification No:	**2. Serial/total number of shipments:** /
3. Exporter - notifier Registration No: Name: Address: Contact person: Tel: Fax: E-mail:	**4. Importer - consignee** Registration No: Name: Address: Contact person: Tel: Fax: E-mail:
5. Actual quantity: Tonnes (Mg): m^3:	**6. Actual date of shipment:**
7. Packaging Type(s) *(1)*: Number of packages: **Special handling requirements:** *(2)* Yes: ☐ No: ☐	

8.(a) 1st Carrier *(3)*:	**8.(b) 2nd Carrier:**	**8.(c) Last Carrier:**
Registration No: Name: Address: Tel: Fax: E-mail:	Registration No: Name: Address: Tel: Fax: E-mail:	Registration No: Name: Address: Tel: Fax: E-mail:
- - - - - - - To be completed by carrier's representative - - - - - - -		*More than 3 carriers (2)* ☐
Means of transport *(1)*: Date of transfer: Signature:	Means of transport *(1)*: Date of transfer: Signature:	Means of transport *(1)*: Date of transfer: Signature:

9. Waste generator(s) - producer(s) *(4)*: Registration No: Name: Address: Contact person: Tel: Fax: E-mail: Site of generation *(2)*:	**12. Designation and composition of the waste** *(2):* **13.Physical characteristics** *(1):* **14.Waste identification** *(fill in relevant codes)* (i) Bamako convention Annex I (or II if applicable) (1): (ii) National code in country of export: (iii) National code in country of import: (iv Other (specify): (v) Y-code: (vi) H-code (1): (vii) UN class (1): (viii) UN Number: (ix) Customs code(s) (HS):
10. Disposal facility ☐ **or recovery facility** ☐ Registration No: Name: Address: Contact person:	

Tel: Fax: E-mail: Actual site of disposal/recovery *(2)*	
11. Disposal/recovery operation(s) D-code *(1)*:	

15. Exporter's - notifier's / generator's - producer's *(4)* **declaration:**

I certify that the above information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantee is in force covering the transboundary movement and that all necessary consents have been received from the competent authorities of the countries concerned.

Name: Date: Signature:

16. For use by any person involved in the transboundary movement in case additional information is required

17. Shipment received by importer - consignee (if not facility): Date: Name: Signature:

TO BE COMPLETED BY DISPOSAL / RECOVERY FACILITY

18. Shipment received at disposal facility ☐ **or recovery facility** ☐ Date of reception: Accepted: ☐ Rejected*: ☐ Quantity received: Tonnes (Mg): m^3: **immediately contact competent authorities* Approximate date of disposal/recovery: Disposal/recovery operation (1): Name: Date: Signature:		**19. I certify that the disposal/recovery of the waste described above has been completed.** Name: Date: Signature and stamp:

(1) See list of abbreviations and codes on next page (Annex 3)
(2) Attach details if necessary

(3) If more than 3 carriers, attach information as required in blocks 8 (a,b,c).
(4) Attach list if more than one

FOR USE BY CUSTOMS OFFICES (if required by national legislation)

20. Country of export - dispatch or customs office of exit The waste described in this movement document left the country on: Signature: Stamp:	**21. Country of import - destination or customs office of entry** The waste described in this movement document entered the country on: Signature: Stamp:

22. Stamps of customs offices of transit countries

Name of country: Entry:	Exit:	Name of country: Entry:	Exit:
Name of country: Entry:	Exit:	Name of country: Entry:	Exit:

ANNEX III: List of abbreviations and codes used in the notification document and movement document

Waste Streams:

Y0 All wastes containing or contaminated by radionuclides, the concentration or properties of which result from
human activity;
Y1 Clinical wastes from medical care in hospitals, medical centres and clinics;
Y2 Wastes from the production and preparation of pharmaceutical products;
Y3 Waste pharmaceutical, drugs and medicines;
Y4 Wastes from the production, formulation and use of biocides and phytopharmaceuticals;
Y5 Wastes from the manufacture, formulation and use of organic solvents;
Y6 Wastes from the production, formulation and use of organic solvents;
Y7 Wastes from heat treatment and tempering operations containing cyanides;
Y8 Waste mineral oils unfit for their originally intended use;
Y9 Waste oils/water, hydrocarbons/water mixtures, emulsions;
Y10 Waste substances and articles containing or contaminated with polychlorinated biphenyl (PCBs) and/or polychlorinated terphenyls (PCTs) and/or polybrominated biphenyl (PBBs);
Y11 Wastes tarry residues arising from refining, distillation and any pyrolytic treatment;
Y12 Wastes from production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish;
Y13 Wastes from production, formulation and use of resins, latex, plasticizers, glues/adhesives;
Y14 Waste chemical substances arising from research and development or teaching activities which are not identified and/or are new and whose effects on man and/or the environment are not known;
Y15 Wastes of an explosive nature not subject to other legislation;
Y16 Wastes from production, formulation and use of photographic chemicals and processing materials;
Y17 Wastes resulting from surface treatment of metals and plastics;
Y18 Residues arising from industrial waste disposal operations;
Y46 Wastes collected from households, including sewage and sewage sludges;
Y47 Residues arising from the incineration of household wastes;

Wastes having as constituents:

Y19 Metal carbonyls;
Y20 Beryllim; beryllium compounds;
Y21 Hexavalent chromium compounds;
Y22 Copper compounds;
Y23 Zinc compounds
Y24 Arsenic, arsenic compounds;
Y25 Selenium; selenium compounds;
Y26 Cadmium; cadmium compounds;
Y27 Antimony; antimony compounds;
Y28 Tellurium; tellurium compounds;
Y29 Mercury, mercury compounds;
Y30 Thallium; thallium compounds;
Y31 Lead; lead compounds;
Y32 Inorganic fluorine compounds excluding calcium fluoride;
Y33 Inorganic cyanides;
Y34 Acidic solutions or acids in solid form;
Y35 Basic solutions or bases in solid form;
Y36 Asbestos (dust and fibres);
Y37 Organic phosphorous compounds;

Y38 Organic cyanides;
Y39 Phenols; phenolcompounds including chlorophenols;
Y40 Ethers;
Y41 Halogenated organic solvents;
Y42 Organic solvents excluding halogenated solvents;
Y43 Any congener of polychlorinated dibenzo-furan;

Y44 Any congener of polychlorinated dibenzo-p-dioxin;

Y45 Organohalogen compounds other than substances referred to in this Annex (e.g., Y39, Y41, Y42, Y43, Y44).

LIST OF HAZARDOUS CHARACTERISTICS

UN code Characteristics
Class*
1 H1 Explosive
An explosive substance or waste is a solid or liquid substance or waste (or mixture of substances or wastes) which is in itself capable by chemical reaction or producing gas at such a temperature and pressure and at such a speed as to cause damage to the surroundings.
* Corresponds to the hazardous classification system included in the United Nations Recommendations on the Transport of Dangerous Goods (ST/Secretary General/AC.10/1/Rev.5, United Nations, New York, 1988).
H3 Flammable liquids
The word "flammable" has the same meaning as "inflammable". Flammable liquids are liquids, or mixtures or liquids, or liquids containing solids in solution or suspension (for example paints, varnishes, lacquers, etc., but not including substances or wastes otherwise classified on account of their dangerous characteristics) which give off a flammable vapour at temperatures of not more than 60.5 degrees C, closed cup test, or not more than 65.6 degrees C, open-cup test. (Since the results of open-cup tests and of closed-cup tests are not strictly comparable and even individual results by the same test are often variable, regulations varying from the above figures to make allowance for such difference would be within the spirit of this definition).
4.1 H4.1 Flammable solids
Solids, or waste solids, other than those classed as explosives, which under conditions encountered in transport are readily combustible, or may cause or contribute to fire through friction.
4.2 H4.2 Substances or wastes liable to spontaneous combustion
Substances or wastes which are liable to spontaneous heating under normal conditions encountered in transport, or to heating up on contact with air, and being then liable to catch fire.
4.3 H4.3 Substances or wastes which, by interaction with water are liable to become spontaneously flammable or to give off flammable gases in dangerous quantities.
5.1 H5.1 Oxidizing
Substances or wastes which, while in themselves not necessarily combustible, may, generally by yielding oxygen, cause or contribute to the combustion of other materials.
5.2 H5.2 Organic peroxides
Organic substances or wastes which contain the bivalent-0-0-structure are thermally

unstable substances which may undergo exothermic self-accelerating decomposition.

6.1 H6.1 Poisonous (Acute)

Substances or wastes liable either to cause death or serious injury or to harm human health if swallowed or inhaled or by skin contact.

6.2 H6.2 Infectious substances
Substances or wastes containing viable micro organisms or their toxins which are known or suspected to cause disease in animals or humans.
8 H8 Corrosives
Substances or wastes which, by chemical action, will cause severe damage when in contact with living tissue, or in the case of leakage, will materially damage, or even destroy other goods or the means of transport; they may also cause other hazards.
9 H10 Liberation of toxic gases in contact with air or water.
Substances or wastes which, by interaction with air or water, are liable to give off toxic gases in dangerous quantities.
9 H11 Toxic (Delayed or chronic)
Substances or wastes which, if they are inhaled or ingested or if they penetrate the skin, may involve delayed or chronic effects, including carcinogenicity.
9 H12 Exotoxic
Substances or wastes which if released present or may present immediate or delayed adverse impacts to the environment by means of bioaccumulation and/or toxic effects upon biotic systems.
9 H13 Capable, by any means, after disposal, of yielding another material, e.g., leachate, which possesses any of the characteristics listed above.

DISPOSAL/RECOVERY OPERATIONS

D1 Deposit into or onto land, (e.g., landfill, etc.)
D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds, or lagoons, etc)
D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
D6 Release into a water body except seas/oceans
D7 Release into seas/oceans including sea-bed insertion
D8 Biological treatment not specified elsewhere in this Annex which results in final compound or mixtures which are discarded by means of any of the operations in Annex III
D9 Physico-chemical treatment not specified elsewhere in this Annex which results in final compounds or mixtures which are discarded by means of any of the operations in Annex III, (e.g., evaporation, drying, calcination, neutralization, precipitation, etc.)
D10 Incineration on land
D11 Incineration at sea
D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
D13 Blending or mixing prior to submission to any of the operations in Annex III
D14 Repackaging prior to submission to any of the operations in Annex III
D15 Storage pending any of the operations in Annex III
D16 Use as a fuel (other than in direct incineration) or other means to generate energy
D17 Solvent reclamation/regeneration
D18 Recycling/reclamation of organic substances which are not used as solvents
D19 Recycling/reclamation of metals and metal compounds
D20 Recycling/reclamation of other inorganic materials
D21 Regeneration of acids and bases
D22 Recovery of components used for pollution abatement
D23 Recovery of components from catalysts
D24 Used oil re-fining or other reuses of previously used oil
D25 Land treatment resulting in benefit to agriculture or ecological improvement

D26 Uses of residual materials obtained from any of the operations numbered D1-D25
D27 Exchange of wastes for submission to any of the operations numbered D1-D26

D28 Accumulation of material intended for any operation in Annex III

PACKAGING TYPES (block 7)

1. Drum
2. Wooden barrel
3. Jerrican
4. Box
5. Bag
6. Composite packaging
7. Pressure receptacle
8. Bulk

Other (specify)

MEANS OF TRANSPORT (block 8)

R = Road
T = Train/rail
S = Sea
A = Air

W = Inland waterways

PHYSICAL CHARACTERISTICS (block 13)

1. Powdery/powder
2. Solid
3. Viscous/paste
4. Sludgy
5. Liquid
6. Gaseous

Other (specify)

H-CODE AND UN CLASS (block 14)

UN Class	H-code	Characteristics
1	H1	Explosive
3	H3	Flammable liquids
4.1	H4.1	Flammable solids
4.2	H4.2	Substances or wastes liable to spontaneous combustion
4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
5.1	H5.1	Oxidizing
5.2	H5.2	Organic peroxides
6.1	H6.1	Poisonous (acute)
6.2	H6.2	Infectious substances
8	H8	Corrosives
9	H10	Liberation of toxic gases in contact with air or water
9	H11	Toxic (delayed or chronic)
9	H12	Ecotoxic

9 H13 Capable, by any means, after disposal of yielding another material, e. g., leachate, which possesses any of the characteristics listed above