

## Validated Terminal Review of the UNEP/GEF Project

“Support in the Design and Implementation of the  
Integrated Monitoring System of Climate Change for  
Honduras” (GEF ID 9942)

2019 – 2024



Sistema Nacional de  
Monitoreo de Cambio  
Climático

UNEP Climate Change Division  
Validation date: January 2026



This report has been prepared by an external consultant as part of a Terminal Review, which is a management-led process to assess performance at the project's operational completion. The UNEP Evaluation Office provides templates and tools to support the review process and provides a formal assessment of the quality of the review report, which is provided within this report's annexed material. In addition, the Evaluation Office formally validates the report by ensuring that the performance judgments made are consistent with the evidence presented in the review report and in line with the performance standards set out for independent evaluations. As such, the project performance ratings presented in the review report may be adjusted by the Evaluation Office. The findings and conclusions expressed herein do not necessarily reflect the views of Member States or the UN Environment Programme Senior Management.

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## ACKNOWLEDGEMENTS

Francisco Arango prepared this Terminal Review for the Climate Change Division of the United Nations Environment Programme (UNEP).

The reviewer would like to express his gratitude to all persons met and who contributed to this review, as listed in Annex III. The reviewer would like to thank the project team Mr. Juan Pineda (Project Manager) and Ms. Gina Discua (IT expert), and the team at the Secretariat of Natural Resources and Environment (*Secretaría de Recursos Naturales y Ambiente*) of Honduras: Ms. Wendy Rodríguez and Ms. Lila Cáceres. Sincere appreciation is also expressed to members of the Project Steering Committee who provided comments on the draft report.

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The reviewer hopes that the findings, conclusions and recommendations will contribute to the successful finalisation of the current project, the formulation of potential follow-up projects, and to the continuous improvement of similar projects in Honduras and other countries.

## BRIEF BIOGRAPHY OF THE REVIEWER

Francisco Arango is an international climate change and environment consultant with more than twenty years of professional experience in countries in Africa, Asia, Europe, Latin America and the Caribbean. Francisco has expertise in policy development, project design and evaluation, climate and carbon finance, training and capacity development, greenhouse gas accounting, and climate change vulnerability and risk assessment. Francisco has held staff positions at the Inter-American Development Bank (IDB), the United Nations Development Programme (UNDP), and the secretariat of the United Nations Framework Convention on Climate Change (UNFCCC). As a consultant, he has contributed to the work of multilateral development banks, United Nations agencies and programmes, the Green Climate Fund (GCF) and international NGOs. Francisco holds degrees in Industrial and Mechanical Engineering and an M.Sc. in Environmental and Resource Management. Francisco holds the Project Management Professional (PMP)<sup>®</sup> credential from the Project Management Institute (PMI).

## ABOUT THE REVIEW

**Joint Review:** No

**Report Language(s):** English.

**Review Type:** Terminal Review

**Brief Description:** This report is a management-led Terminal Review of a UNEP/GEF project implemented between 2021 and 2025. The project's overall development goal was to develop Honduras' technical and logistical capacities for the creation and operation of an integrated climate change monitoring system. The review sought to assess project performance (in terms of relevance, effectiveness and efficiency) and determine outcomes and impacts (actual and potential) stemming from the project, including their sustainability. The review has two primary purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote learning, feedback, and knowledge sharing through results and lessons learned among UNEP, GEF and relevant organisations in participating countries.

**Keywords:** climate change; transparency framework; measurement, report and verification (MRV); greenhouse gas (GHG) emissions inventories; climate finance.

**Primary data collection period:** April – May 2025

**Field mission dates:** no field missions were undertaken.

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## LIST OF ABBREVIATIONS

|                 |                                                                                                                                                        |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| BUR             | Biennial update report                                                                                                                                 |
| BTR             | Biennial transparency report                                                                                                                           |
| CBIT            | Capacity Building Initiative for Transparency                                                                                                          |
| CBIT-HN Project | Project “Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras” or “the Project”                 |
| CEO ER          | CEO Endorsement Request                                                                                                                                |
| CICC            | Inter-Institutional Climate Change Committee ( <i>Comité Interinstitucional de Cambio Climático</i> )                                                  |
| CONED           | Honduras’ Education Board ( <i>Consejo Nacional de Educación</i> )                                                                                     |
| COPECO          | Agency for disaster risk management ( <i>Comisión Permanente de Contingencias</i> )                                                                    |
| CTICC           | Inter-Institutional Climate Change Technical Committee ( <i>Comité Técnico Interinstitucional de Cambio Climático</i> )                                |
| DNCC            | National Climate Change Division ( <i>Dirección Nacional de Cambio Climático</i> (SERNA))                                                              |
| ETF             | Enhanced transparency framework                                                                                                                        |
| GEF             | Global Environment Facility                                                                                                                            |
| ICA             | Internal Cooperation Agreement                                                                                                                         |
| ICCMS           | Integrated Climate Change Monitoring System                                                                                                            |
| ICF             | Forest Conservation Institute ( <i>Instituto de Conservación Forestal</i> )                                                                            |
| INE             | National Statistics Agency ( <i>Instituto Nacional de Estadística</i> )                                                                                |
| IPCC            | Intergovernmental Panel on Climate Change                                                                                                              |
| LACO            | UNEP Regional Office for Latin America and the Caribbean                                                                                               |
| LULUCF          | Land use, land-use change and forestry                                                                                                                 |
| M&E             | Monitoring and Evaluation                                                                                                                              |
| MPG             | Modalities, procedures, and guidelines                                                                                                                 |
| MRV             | Measurement, reporting and verification                                                                                                                |
| MTS             | Medium-Term Strategy (UNEP)                                                                                                                            |
| NC              | National communication                                                                                                                                 |
| NDC             | Nationally determined contribution                                                                                                                     |
| NGO             | Non-Governmental Organisation                                                                                                                          |
| ONCC-DS         | National Observatory of Climate Change for Sustainable Development ( <i>Observatorio Nacional de Cambio Climático para el Desarrollo Sustentable</i> ) |
| PCA             | Project Cooperation Agreement                                                                                                                          |
| PIR             | Project Implementation Review                                                                                                                          |
| PMU             | Project Management Unit                                                                                                                                |
| POW             | Programme of Work (UNEP)                                                                                                                               |
| PRF             | Project results framework                                                                                                                              |
| ProDoc          | Project document                                                                                                                                       |
| PSC             | Project Steering Committee                                                                                                                             |
| SAG             | Secretariat of Agriculture and Livestock ( <i>Secretaría de Agricultura y Ganadería</i> )                                                              |
| SEFIN           | Secretariat of Finance ( <i>Secretaría de Finanzas</i> )                                                                                               |

|            |                                                                                                                                                                                                              |
|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SEN        | Secretariat of Energy ( <i>Secretaría de Energía, SEN</i> )                                                                                                                                                  |
| SERNA      | Secretariat of Natural Resources and Environment ( <i>Secretaría de Recursos Naturales y Ambiente</i> )                                                                                                      |
| SDG        | Sustainable Development Goal                                                                                                                                                                                 |
| SRECI      | Secretariat of Foreign Affairs and International Cooperation                                                                                                                                                 |
| ToC        | Theory of change                                                                                                                                                                                             |
| ToR        | Terms of Reference                                                                                                                                                                                           |
| TR         | Terminal Review                                                                                                                                                                                              |
| UNAH-CURLA | Regional University Center of the Atlantic Coast at the Autonomous National University of Honduras ( <i>Centro Universitario Regional del Litoral Atlántico, Universidad Nacional Autónoma de Honduras</i> ) |
| UGMCC      | Climate Change Management and Monitoring Unit ( <i>Unidad de Gestión para el Monitoreo del Cambio Climático</i> )                                                                                            |
| UNDAF      | United Nations Development Assistance Framework                                                                                                                                                              |
| UNEP       | United Nations Environment Programme                                                                                                                                                                         |
| UNFCCC     | United Nations Framework Convention on Climate Change                                                                                                                                                        |
| UNSDCF     | United Nations Sustainable Development Cooperation Framework                                                                                                                                                 |



## PROJECT IDENTIFICATION TABLE

**Table 1. Project Identification**

|                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                       |                                                                                                                                                                  |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| GEF Project ID:                                  | 9942                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | UNEP SMA ID <sup>1</sup> :                            | 44178                                                                                                                                                            |
| Implementing Agency (UNEP Division/Branch/Unit): | UNEP Climate Change Division, Mitigation Branch, GEF Climate Mitigation Unit                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Executing Agency:                                     | Secretariat Natural Resources and Environment (SERNA)                                                                                                            |
| Sources of Funding (Co-finance):                 | Honduras                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Secretariat Natural Resources and Environment (SERNA) |                                                                                                                                                                  |
| Relevant SDG(s):                                 | <b>SDG 5. Gender equality</b><br><b>Target 5.1.</b> End all forms of discrimination against all women and girls everywhere.<br><b>SDG 13. Climate action</b><br><b>Target 13.1.</b> Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries.<br><b>Target 13.2.</b> Integrate climate change measures into national policies, strategies and planning.<br><b>Target 13.3.</b> Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning.<br><b>Target 13.b.</b> Promote mechanisms for raising capacity for effective climate change-related planning and management in least developed countries and small island developing States, including focusing on women, youth, and local and marginalized communities.<br><b>SDG 17. Partnerships for the goals</b><br><b>Target 17.14.</b> Enhance policy coherence for sustainable development.<br><b>Target 17.18.</b> By 2020, enhance capacity-building support to developing countries, including for least developed countries and small island developing States, to increase significantly the availability of high-quality, timely and reliable data disaggregated by income, gender, age, race, ethnicity, migratory status, disability, geographic location and other characteristics relevant in national contexts. |                                                       |                                                                                                                                                                  |
| MTS (at approval):                               | 2018 – 2021                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | UNEP approval date:                                   | 12 March 2021                                                                                                                                                    |
| POW Output(s) number/reference                   | Climate: (i) Number of national, subnational and private-sector actors that adopt climate change mitigation and/or adaptation and disaster risk reduction strategies and policies with UNEP support.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | POW Expected Accomplishment(s) number/reference:      | Climate stability: Countries increasingly transition to low-emission economic development pathways and enhance their adaptation and resilience to climate change |
| Sub-programme:                                   | Climate action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Programme Coordination Project:                       | N.A.                                                                                                                                                             |
| GEF approval date:                               | 10 September 2020                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GEF Focal Area Objective:                             | Foster Enabling Conditions for Mainstreaming Mitigation Concerns into Sustainable Development Strategies                                                         |
| GEF Focal Area:                                  | Climate change                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                       |                                                                                                                                                                  |
| Project type:                                    | Medium-size                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                       |                                                                                                                                                                  |

<sup>1</sup> SMA refers to the ID provided by the Integrated Planning, Management and Reporting Solution (IPMR) system, which was introduced by UNEP in July 2023.

|                                                   |                                       |                                                              |                                       |
|---------------------------------------------------|---------------------------------------|--------------------------------------------------------------|---------------------------------------|
| <i>Expected start date:</i>                       | 17 March 2021                         | <i>Actual start date:</i>                                    | 17 March 2021                         |
| <i>Planned completion date:</i>                   | 28 February 2024                      | <i>Actual operational completion date:</i>                   | 31 May 2025                           |
| <i>Planned total project budget at approval:</i>  | US\$ 1,200,000                        | <i>Actual total expenditures reported as of 31 May 2025:</i> | US\$ 1,121,137                        |
| <i>GEF grant allocation:</i>                      | US\$ 1,050,000                        | <i>GEF grant expenditures reported as of 31 May 2025:</i>    | US\$ 969,834                          |
| <i>Expected Medium-Size Project co-financing:</i> | Cash: US\$ 0<br>In-kind: US\$ 150,000 | <i>Secured Medium-Size Project co-financing:</i>             | Cash: US\$ 0<br>In-kind: US\$ 151,303 |
| <i>No. of formal project revisions:</i>           | 4                                     | <i>Date of last approved project revision:</i>               | 29 January 2025                       |
| <i>No. of Steering Committee meetings:</i>        | 5                                     | <i>Date of Last Steering Committee meeting:</i>              | 18 October 2024                       |
| <i>Mid-term Review (planned date):</i>            | N.A.                                  | <i>Mid-term Review (actual date):</i>                        | N.A.                                  |
| <i>Terminal Review (planned date):</i>            | February 2024                         | <i>Terminal Review (actual date):</i>                        | December 2025                         |
| <i>Coverage - Country(ies):</i>                   | Honduras                              | <i>Coverage - Region(s):</i>                                 | Latin America                         |
| <i>Dates of previous project phases:</i>          | N.A.                                  | <i>Status of future project phases:</i>                      | Planned                               |

## EXECUTIVE SUMMARY

### Project background

1. The Paris Agreement, under the United Nations Framework Convention on Climate Change, established an enhanced transparency framework to provide a clear understanding of the actions taken and progress made by Parties to the Convention in addressing climate change. The Capacity Building Initiative for Transparency of the Global Environment Facility (GEF) supports countries adopting this framework by strengthening national institutions, developing tools, and providing technical assistance.
2. The Paris Agreement also requires countries to prepare and communicate nationally determined contributions (NDCs) every five years. In their NDCs, countries commit to implementing actions to contribute to the objectives of the Convention. In its second NDC, submitted in May 2021, Honduras committed to establishing a national system to meet the requirements of the enhanced transparency framework. Honduras' climate change law (Decree No. 297 of 2013) established the national framework for climate action planning, implementation, monitoring, and reporting. The law stipulates that the primary responsibility for coordinating climate action in Honduras rests with the Secretariat of Natural Resources and Environment of Honduras (SERNA).
3. The project "Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras" received funding from the GEF under the Capacity Building Initiative for Transparency. The project was implemented by SERNA from 2021 to 2025. The project was designed to support implementing the national transparency system of Honduras by (i) developing an information system to support the planning, implementation, monitoring and reporting of climate action, (ii) strengthening Honduras' climate change legal and institutional framework for transparency, (iii) developing indicators to track and report climate change and climate action, (iv) establishing a financial mechanism to contribute to the financial sustainability of the system, and (v) building the technical capacities of staff from entities with transparency-related functions. The project budget included a GEF grant for US\$1,050,000 and in-kind co-financing for US\$150,000 from SERNA.

### This review

4. This Terminal Review assessed the project's outcomes and performance in terms of relevance, effectiveness, efficiency and sustainability of results. Other aspects of the review included quality of design, external context, financial management, monitoring and reporting, and factors affecting performance. The review had two main purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote operational improvement, learning and knowledge sharing. Accordingly, the review identified lessons learned relevant to future project development and implementation by UNEP and other development partners. The review was based on a desk review of project documentation and semi-structured remote interviews with key informants, including members of the Project Steering Committee, Project Management Unit, project partners, and beneficiaries. The target audience for this Terminal Review includes staff of SERNA, UNEP regional and country offices, UNEP partner institutions in Honduras and other countries, and the GEF.

## Key findings

5. **Strategic relevance.** The project was well aligned with UNEP's 2018 – 2021 Medium-Term Strategy (MTS) under the climate change focus area priorities and contributed to two expected accomplishments of UNEP's 2020 – 2021 Programme of Work related to the adoption of national adaptation plans and low-carbon development strategies. Following the adoption of UNEP's MTS for 2022 – 2025, the project continued to be well aligned with UNEP's priorities. The project was also aligned with the programming directions of the Capacity Building Initiative for Transparency. The project contributed to the implementation of Honduras' NDC, strengthening the country's systems to plan, monitor and report climate action under the Paris Agreement. The project also supported the implementation and review of Honduras' climate change law. Rating: Highly Satisfactory (see *Section V.A. page 40*).
6. **Quality of project design.** The project document provided a thorough description of the background and needs of Honduras in relation to implementing the enhanced transparency framework. The document assessed the gaps and barriers and outlined relevant activities to address them. However, as noted in section IV of this report, outcomes and outputs were defined as lower-level results (i.e., outcomes and outputs were defined as deliverables). The document did not include a narrative of the project's theory of change. The project results framework was adequate and included indicators and targets that were relevant and adequate for assessing the project's progress towards achieving the proposed results. Rating: Satisfactory (see *Section V.B. page 42*).
7. **Nature of external context.** The project started implementation in May 2021, during the COVID-19 outbreak. In 2021, Honduras held national elections that resulted in changes in SERNA's administration that impacted project implementation. However, the elections were part of Honduras' regular election cycle and should have been incorporated into project management plans and schedules. Rating: Highly Favourable (see *Section V.C. page 43*).
8. **Effectiveness.** The project delivered relevant results, especially related to the delivery -albeit partial- of an information system and the strengthening of the legal framework for climate action planning, implementation, monitoring and reporting. Results related to other areas of the project scope were more modest, including those related to strengthening the institutional framework for transparency, to the financial sustainability of the transparency system in Honduras, and building the capacities of entities with transparency-related functions. The likelihood of impact was assessed against the reconstructed theory of change, and it was deemed moderately likely. Rating: Moderately Satisfactory (see *Section V.D. page 43*).
9. **Financial management.** The project team followed the financial practices of SERNA and UNEP. Some gaps were identified at the project's start, but they were promptly addressed through training and backstopping provided by UNEP. Annual disbursements were consistently below the planned budget, especially during the initial years of project implementation. By the end of 2024, the project had disbursed only 60% of the total GEF grant. The project accelerated disbursement rates during the last five months of implementation, reporting expenditures over US\$330,000. By the end of the project, approximately US\$80,000<sup>2</sup> of the GEF grant remained unspent and will have to be returned to the GEF Trust Fund. The financial information available for this review was complete. Co-financing was provided by SERNA, which met the amount pledged at project approval (US\$150,000). The communication and collaboration between the

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<sup>2</sup> The final figures will be validated during the financial closure of the project.

project and financial management staff were adequate. Rating: Satisfactory (see *Section V.E. page 65*).

10. **Efficiency.** The project was approved on 12 March 2021 with an original duration of 36 months and an expected closing date of March 2024. Actual implementation started promptly on 17 March 2021. Two no-cost project extensions were approved in December 2023 and November 2024, extending the project implementation period until 31 May 2025. The project handover following the general elections in 2021 significantly disrupted activities. Major quality and timing issues with the delivery of inputs for implementing the information system created significant challenges to delivering that key project result. Rating: Unsatisfactory (see *Section V.E. page 69*).
11. **Monitoring and reporting.** The project document included a short discussion of monitoring and evaluation. However, no detailed monitoring and evaluation plan was completed during project inception or implementation. This approach was a significant weakness of the project, particularly in terms of monitoring, reporting, and assessing the project's objectives. Project reporting was overly optimistic and did not accurately reflect the actual scope of challenges the project faced during implementation. Otherwise, reporting was mainly adequate. Rating: Moderately Unsatisfactory (see *Section V.G. page 69*).
12. **Sustainability.** The socio-political sustainability of project results was deemed likely, as Honduras' political commitment to meeting the requirements of the Paris Agreements continues to be strong. SERNA is committed to adopting the information system developed with support from the project and facilitating its adoption by other entities with transparency-related functions. The financial sustainability of the project results was rated as moderately likely, in part because the project did not deliver the planned financial mechanisms to fund transparency functions in Honduras. The implementation of the enhanced transparency framework in Honduras continues to rely on international climate finance sources. The institutional sustainability was deemed moderately likely. On the one hand, the draft climate change law and regulations developed with support from the project (if adopted) would greatly strengthen the institutional sustainability of the climate transparency system in Honduras. On the other hand, in Honduras, transparency functions are still performed on an ad hoc basis by key government entities, and political instability and staff turnover remain a pervasive risk to the institutional sustainability of the project results. Future efforts to strengthen the enhanced transparency framework in Honduras will need to monitor this risk and implement appropriate risk management measures. Rating: Moderately Likely (see *Section V.H. page 71*).
13. **Factors affecting performance.** The Terminal Review assessed the following factors that affected the performance of the project: i. preparation and readiness, ii. quality of project management and supervision, iii. stakeholders' participation and cooperation, iv. responsiveness to Human Rights and gender equality, v. environmental and social safeguards, vi. country ownership and drivenness, and vii. communication and public awareness. Preparation and readiness, quality of project management and supervision, stakeholders' participation and cooperation were rated moderately satisfactory, and the remaining factors were rated satisfactory. Rating: Satisfactory (see *Section V.I. page 72*).
14. Based on the ratings above and the weights allocated to each factor by UNEP's evaluation tools, the overall rating assigned by this TR to the CBIT-HN Project is **Moderately Satisfactory**.

## Conclusions

15. **Conclusion 1.** The objective of the project was to design, implement and operate a climate information system to improve the capacities of Honduras to plan, monitor, and report climate action. The implementation of the system was to be enabled by improved institutional arrangements for climate transparency, improved data collection, analysis and reporting methodologies, access to financial resources, and strengthened technical capacities in entities with transparency-related responsibilities. The project delivered partial results in these areas:
- a. Some modules of the information system were delivered and accepted by its primary user, SERNA, but others could not be finalised within the project implementation period. There was little time to test the system's functionalities and introduce it to its intended users beyond SERNA.
  - b. The project contributed to a draft climate change law and regulations that are under consideration by Honduras' Congress. If approved, it would represent a significant contribution to the country's legal framework for climate action.
  - c. The two main expected project contributions to Honduras' institutional arrangements for climate transparency could not be fully delivered during the implementation period: a dedicated climate monitoring and reporting unit within SERNA and a proposed climate observatory that was expected to serve as a technical advisory body to the transparency system.
  - d. The planned improvements to data collection and analysis processes were hindered by delays and the poor quality of key inputs to the information system, including the implementation strategy and indicators for tracking climate action. Fortunately, the project team was able to address most of these issues through effective collaboration with a related initiative.
  - e. A financial strategy to sustain transparency-related activities in Honduras was not produced as planned. Still, some relevant financial aspects were included in the draft climate change law and regulations.
  - f. The project delivered training to staff of entities of the national government with transparency-related responsibilities that were deemed useful by participants. However, due to delays in project implementation, staff from these entities were unable to receive training on the use of the information system.
16. **Conclusion 2. Key strategic question 1: Did State and non-State actors in Honduras adopt the enhanced transparency framework under the Paris Agreement, ensuring its long-term sustainability within national systems?** The draft climate change law and regulations prepared with support from the project include provisions to strengthen the enhanced transparency framework in Honduras. These provisions include establishing a climate information system coordinated by SERNA, as well as creating climate change units within key government entities with transparency-related responsibilities. If adopted, the law and regulations would put Honduras on a path to adopt the framework formally. However, Honduras has yet to fully adopt and secure the sustainability of the transparency framework. The project aimed to strengthen the role of non-state actors in transparency activities in Honduras by establishing a climate change observatory. That later objective was not achieved.
17. **Conclusion 3. Key strategic question 2: Did the project strengthen the transparency mechanisms of national institutions, ensuring their capacity for continuous domestic and international climate reporting?** The (partial) implementation of the information system is a significant contribution by the project to the transparency framework of Honduras. Some modules still need to be completed, and Honduras needs to secure the

financial and technical resources to achieve that. The project also contributed to building the capacities of entities of the national government with transparency-related responsibilities. The project produced training materials that could support future capacity-building efforts and should therefore be preserved and made available to potential users.

18. **Conclusion 4. Key strategic question 3: Did State and non-State actors adopt the new tools developed by the project, and are there measures in place to ensure their future use and functionality?** The climate information system was partially delivered, and the process to test and hand it over to their intended user within SERNA and other entities with transparency-related responsibilities has not concluded. It is critically important that SERNA concludes the pending steps of testing the system, providing feedback and handing over the Information system to its intended users to ensure its effective adoption.
19. Government entities in Honduras have not yet firmly adopted a set of indicators to track climate change and climate action. These indicators were developed as input to the information system and were affected by shortcomings in the quality and timeliness of the consultants' deliverables. Some of these shortcomings were addressed later during the implementation of the information system.
20. **Conclusion 5 Key strategic question 4: Was the project executed efficiently, with a focus on enhancing institutional capacity for the long-term sustainability of climate data systems?** The project was implemented with a focus on improving Honduras' transparency-related capabilities. However, this review concluded that the project was not executed efficiently. The transition between government administrations created significant challenges that impacted the quality and timeliness of project results. The time required by the project team, including SERNA and UNEP, to address these challenges (including a lengthy project revision) compounded the issues the project had to address in the remaining implementation period. Shortcomings in the quality and timing of the elaboration of early project deliverables impacted subsequent activities, especially the development of the climate information system. Stricter contract management by SERNA, with support from UNEP, could have prevented or mitigated the effects of the consultants' unsatisfactory performance.
21. **Conclusion 6. Key strategic question 5: How did the project adapt to the effects of COVID-19, and what was the impact of these adaptations on the sustainability of the monitoring system?** Project reports did not discuss potential or perceived impacts of the global COVID-19 pandemic. However, the extension request submitted at the end of 2023 stated that the pandemic was one of the reasons for delays in project implementation. This review concluded that the pandemic had only comparatively minor effects on project implementation and delays. The project began implementation in mid-2021, when the vaccination programme against COVID-19 was underway in Honduras, and there were no key project activities that required travel or fieldwork.
22. **Conclusion 7.** The project was well aligned with the development and climate-related policies of Honduras. The project made a significant contribution towards Honduras' commitment in the NDC to develop national systems that meet the requirements of the enhanced transparency framework. The approval of the draft climate change law and regulation, produced with support from the project, would represent a significant step towards effective climate action planning, implementation, monitoring and reporting in Honduras. Supporting the development of these capacities is also a priority for the GEF and UNEP. As a result, the project's strategic relevance was highly satisfactory.
23. **Conclusion 8.** A highlight of the project was the effective collaboration with the GEF-financed project for the elaboration of Honduras' fourth national communication and first biennial transparency report. That collaboration contributed to the project

team's efforts to address the shortcomings in the technical inputs available for the implementation of the climate information system.

24. **Conclusion 9.** Under the approved scope, the project had limited opportunities to have an impact on Human Rights, gender equality, or the rights and participation of Indigenous Peoples, youth, and/or marginalized groups. However, the project team seized opportunities to increase the Project's results in these areas. For example, the design of the information system incorporated the gender and social inclusion dimensions that are part of Honduras' current NDC (2025) and the draft climate change law and regulations included relevant provisions on gender equality and social inclusion.
25. **Conclusion 10.** The project team was competent and effectively navigated the challenges of project implementation. The core team was embedded in SERNA's climate change department and was supported by the staff of the Secretariat. Stakeholders interviewed for this Terminal Review often praised the commitment and skills of members of the project team. The Project Steering Committee held five meetings during the implementation period, primarily for informational purposes. Given the implementation challenges, the project could have benefited from a more active role of the committee, meeting more often, discussing challenges and adopting mitigation actions.

### Lessons learned

26. **Lesson 1.** Developing and adopting a legal framework early on expedites the construction of capacities and institutional frameworks for climate transparency.
27. **Lesson 2.** The development of software solutions for climate transparency requires specialised, multi-disciplinary expertise that can be challenging to procure.
28. **Lesson 3.** Monitoring risks from changes in government and implementing effective risk management responses are essential project management functions that ensure continuity of efforts and prevent delays.
29. **Lesson 4.** Strengthening institutional arrangements for climate transparency requires building consensus gradually and periodically assessing their feasibility.
30. **Lesson 5.** GEF agencies can support identifying and addressing improvements to project management functions by the project team.
31. **Lesson 6.** Engaging with women groups, Indigenous Peoples and other vulnerable groups is a meaningful first step to addressing inequality and exclusion.
32. **Lesson 7.** The CBIT Global Coordination Platform can improve the delivery and dissemination of project results.

### Recommendations

33. **Recommendation 1.** SERNA should ensure the completion and delivery of the climate information system modules agreed to be delivered under the project.
34. **Recommendation 2.** SERNA should ensure that the intended users of the ICCMS within SERNA and in other entities with transparency functions are adequately trained to use the system.
35. **Recommendation 3.** SERNA should document the process followed to implement the climate change observatory, summarising the challenges encountered, and the options identified during the execution of the project.
36. **Recommendation 4.** SERNA should explore the possibility of pursuing a follow-up project financed by, inter alia, the Capacity Building Initiative for Transparency.



37. **Recommendation 5.** SERNA should plan for and facilitate the implementation of the provisions on gender equality and social inclusion included in the (draft) climate change law.
38. **Recommendation 6.** UNEP should ensure that project teams implementing projects financed by the Capacity Building Initiative for Transparency elaborate and implement monitoring and evaluation plans as part of standard project management functions.

### Validation

The report has been subject to an independent validation exercise performed by UNEP's Evaluation Office. The performance ratings for the project "Capacity Building for Peru's Transparency System for Climate Change Mitigation and Adaptation" set out in the Conclusions and Recommendations section, have not been adjusted as a result. The overall project performance is validated at the **Moderately Satisfactory** level. Moreover, the Evaluation Office has found the overall quality of the report to be Satisfactory (see Annex X).

## I. INTRODUCTION

39. The project “Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras” (hereinafter referred to as “the Project” or “CBIT-HN Project”) received funding from the Global Environment Facility (GEF) under the Capacity Building Initiative for Transparency (CBIT). The Executing Agency was Honduras’ Secretariat of Natural Resources and Environment (*Secretaría de Recursos Naturales y Ambiente* (SERNA)). The Climate Change Division of the United Nations Environment Programme (UNEP) assumed the role of GEF Implementing Agency. UNEP’s Office for Latin America and the Caribbean (LACO) directly implemented a few activities focused on South-South Cooperation.
40. The Project was endorsed by GEF’s CEO on 10 September 2020 and approved by UNEP on 12 March 2021. The project duration was planned for 36 months. Actual implementation started on 17 March 2021 and was extended until 31 May 2025. The project budget included a GEF grant of US\$1,050,000 and in-kind co-financing for US\$150,000 to be contributed by SERNA.
41. The CBIT-HN Project was designed to support the development of Honduras’ capacities to meet the reporting requirements of the enhanced transparency framework (ETF). The ETF was established by the Paris Agreement under the United Nations Framework Convention on Climate Change (UNFCCC) to provide a transparent understanding of the actions taken and progress made by Parties to the UNFCCC towards the implementation of their nationally determined contributions (NDCs). The Project sought to support the delivery of an integrated climate change monitoring system (ICCMS) and build national capacities for collecting, analysing and disseminating climate-related information.
42. The CBIT-HN Project was aligned with the United Nations Development Assistance Framework (UNDAF) for Honduras for 2017 and 2021, contributing to direct effect 5.<sup>3</sup> The Project also contributed to the United Nations Sustainable Development Cooperation Framework (UNSDCF) with Honduras for 2022 – 2026, mainly to strategic priority 2 and direct effect 2.3.<sup>4</sup>
43. The Project contributed to the climate change priority area of UNEP’s Medium-Term Strategy (MTS) for 2018 – 2021, producing results in line with two of the three result streams defined in the strategy: climate change adaptation and resilience, and climate change mitigation. The Project continued to be in line with the MTS for 2022 – 2025, especially under outcome 3 on adopting the ETF by state and non-state actors. The CBIT-HN Project also contributed to UNEP’s Programme of Work (PoW) for 2022 – 2023 under outcome 1.C. and indicator (iii) on the number of national, subnational, and private-sector actors reporting under the ETF of the Paris Agreement.
44. This Terminal Review (TR) was conducted to assess the Project’s relevance and performance and to determine its outcomes or results, and their sustainability. The Project’s performance was therefore evaluated in terms of strategic relevance<sup>5</sup>,

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<sup>3</sup> Direct effect 5: The population living in poverty and vulnerable to food insecurity in the prioritised municipalities have increased their production and productivity, access to decent employment, income and sustainable consumption, taking into account climate change and the conservation of ecosystems. Source: Sistema de Naciones Unidas. *Marco de Asistencia de las Naciones Unidas para el Desarrollo Honduras 2017-2021*. n.d. Available under: <<https://honduras.un.org/sites/default/files/2019-08/MANUD%202017-2021.pdf>>

<sup>4</sup> Strategic priority 2: Laying the foundations to take advantage of tomorrow’s structural opportunities. Direct effect 2.3: The Honduran State implements policies, strategies, and programs that strengthen the sustainability and resilience of its development. Source: United Nations. *Marco de Cooperación de las Naciones Unidas para el Desarrollo. Honduras 2022-2026*. n.d. Available under <[https://unsdg.un.org/sites/default/files/2021-11/Honduras\\_Cooperation\\_Framework\\_2022\\_2026.pdf](https://unsdg.un.org/sites/default/files/2021-11/Honduras_Cooperation_Framework_2022_2026.pdf)>

<sup>5</sup> Relevance is defined here as “the extent to which the objectives of a development intervention are consistent with beneficiaries’ requirements, country needs, global priorities and partners’ and donors’ policies” Source: OECD. Glossary of Key Terms in

effectiveness<sup>6</sup> and efficiency<sup>7</sup>. Other aspects of the review included quality of design, external context, financial management, monitoring and reporting, and factors affecting performance (Annex II lists the complete criteria and questions addressed by the review). This TR has two main purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote operational improvement, learning and knowledge sharing. Accordingly, the TR identified lessons learned that are considered relevant to future project development and implementation by UNEP and other development partners. The target audience for this TR includes staff at SERNA and UNEP regional and country offices, UNEP's evaluation office, partner institutions in Honduras and other countries, and GEF staff. No mid-term review of the CBIT-HN Project was conducted, and no other agency has evaluated it.

## II. REVIEW METHODS

45. The TR involved an in-depth evaluation of the Project's activities and results. The review was based on (i) a desk review of project documentation, and (ii) semi-structured interviews with key informants, stakeholders, and members of the project team.
46. The nine factors assessed by this review were (i) strategic relevance, (ii) quality of project design, (iii) nature of external context, (iv) effectiveness, (v) financial management, (vi) efficiency, (vii) monitoring and reporting, (viii) sustainability, and (ix) factors affecting performance and cross-cutting issues. These factors were rated following UNEP's evaluation criteria matrix and guidance.<sup>8</sup> Most criteria were rated on a six-point scale that includes: Highly Satisfactory (HS), Satisfactory (S), Moderately Satisfactory (MS), Moderately Unsatisfactory (MU), Unsatisfactory (U), and Highly Unsatisfactory (HU). Sustainability and likelihood of impact – a sub-criterion under effectiveness – are rated from Highly Likely (HL) to Highly Unlikely (HU). The criterion on the nature of external context is rated from Highly Favourable (HF) to Highly Unfavourable (HU). The overall performance rating is obtained as a weighted average of the ratings assigned to each criterion. Individual weights are those in UNEP's guidance for TRs.
47. The TR followed a five-step process including: 1. planning and initiation, 2. elaboration of an inception report, 3. data collection and analysis, 4. elaboration of a review report, and 5. seeking and addressing a management response. The Project's Theory of Change (ToC) was reconstructed during the inception phase and was used throughout the review. The ToC is discussed in detail in section IV of this report.
48. The review framework was the main tool to guide the review process (see Annex II). The review framework was prepared based on the Terms of Reference (ToRs) for the TR, UNEP evaluation policy, UNEP's Evaluation Manual, and the results from the initial desk review completed as part of the inception report preparation. The review sought to respond to the following key strategic questions<sup>9</sup>:
  - i. Did State and non-State actors in Honduras adopt the ETF under the Paris Agreement, ensuring its long-term sustainability within national systems?

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Evaluation and Results Based Management. 2010. Available under <<https://unsdg.un.org/sites/default/files/OECD-Glossary-of-Key-Terms-in-Evaluation-and-Results-based-Management-Terminology.pdf>>.

<sup>6</sup> Effectiveness is defined here as "the extent to which the development intervention's objectives were achieved, or are expected to be achieved, taking into account their relative importance." (*ibid.*)

<sup>7</sup> Efficiency is defined here as "s measure of how economically resources/inputs (funds, expertise, time, etc.) are converted to results." (*ibid.*)

<sup>8</sup> UNEP. Review Criteria Rating Matrix. Version dated 31 January 2024.

<sup>9</sup> The key strategic questions were revised during the elaboration of the inception report, in consultation with UNEP's Climate Change Division.

- ii. Did the project strengthen the transparency mechanisms of national institutions, ensuring their capacity for continuous domestic and international climate reporting?
  - iii. Did State and non-State actors adopt the new tools developed by the project, and are there measures in place to ensure their future use and functionality?
  - iv. Was the project executed efficiently, with a focus on enhancing institutional capacity for the long-term sustainability of climate data systems?
  - v. How did the project adapt to the effects of COVID-19, and what was the impact of these adaptations on the sustainability of the monitoring system?
49. The desk review of the project documentation included (i) the project document (ProDoc) and annexes, (ii) revisions to the ProDoc, (iii) project reports including Project Implementation Review (PIR) reports and a final report, (iv) minutes of Project Steering Committee (PSC) meetings, (v) annual financial reports, (vi) budget revisions, and (vii) technical reports of project outputs. Documents provided by the project team were complemented with information obtained from Internet searches and documents obtained from stakeholders contacted for this TR. The information obtained from these sources was triangulated and assessed against the ProDoc (including the project results framework (PRF)), project reports, and the reconstructed ToC. The findings from this TR are supported by evidence from these documents and sources, as well as interviews and email communications with project stakeholders.
50. Interviewees were selected from a list of stakeholders provided by the project team. Individual interviewees were selected to offer a wide range of opinions and insights on the project's design and implementation. In total, 27 people were interviewed for this TR (10 male, 17 female). Interviewees included members of the project team, members of the PSC, and beneficiaries (including government officials of participating entities). Interviews were guided by questionnaires tailored to each interviewee. Interviews were held from April to May 2025. Stakeholders interviewed for this TR are listed in Annex III, and a summary of interviewees is provided in the following table:

Table 2: Interviewees

| <b>Project team</b>                                | <b>People involved (M/F)</b> | <b>People contacted (M/F)</b> | <b>Respondents (M/F)</b> | <b>Response rate</b> |
|----------------------------------------------------|------------------------------|-------------------------------|--------------------------|----------------------|
| SERNA (Executing Agency)                           | 7 (3/4)                      | 8 (4/4)                       | 5 (1/4)                  | 63%                  |
| Project Management Unit                            | 2 (1/1)                      | 2 (1/1)                       | 2 (1/1)                  | 100%                 |
| Project Steering Committee                         | 5 (1/4)                      | 5 (1/4)                       | 3 (0/3)                  | 60%                  |
| UNEP Climate Change Division (Implementing agency) | 2 (0/2)                      | 2 (0/2)                       | 2 (0/2)                  | 100%                 |
| UNEP LACO                                          | 1 (0/1)                      | 1 (0/1)                       | 1 (0/1)                  | 100%                 |
|                                                    | <b>Entities involved</b>     | <b>People contacted (M/F)</b> | <b>Respondents (M/F)</b> | <b>Response rate</b> |
| Project partners                                   | 10                           | 16 (8/8)                      | 11 (6/5)                 | 69%                  |
| Consultants (firms)                                | 3                            | 3 (2/1)                       | 3 (2/1)                  | 100%                 |

51. While conducting this TR, efforts were made to represent the views of women and marginalised groups. Data were collected with due regard for ethics and Human Rights issues. All information was gathered with the consent of interviewees and presented in this report in such a manner that preserves the anonymity of the source. All activities required for this TR, including data collection, were completed in accordance with the UN Standards of Conduct.

### III. THE PROJECT

#### A. Context

52. The Paris Agreement under the UNFCCC established the ETF in Article 13. The ETF seeks to provide a transparent understanding of the actions taken and progress made by Parties to the UNFCCC towards the implementation of their NDCs. Likewise, the ETF aims to provide clarity regarding the support provided and received by Parties for implementing their NDCs. The modalities, procedures, and guidelines (MPG) for the ETF were adopted by the Parties to the UNFCCC in 2018.<sup>10</sup> CBIT was created to support countries adopting the ETF by strengthening national institutions related to transparency, developing tools, and providing technical assistance. CBIT builds on existing national capacities related to elaborating national communications (NCs), biennial update reports (BURs), biennial transparency reports (BTRs), and national inventories of GHG emissions. Common actions financed by CBIT include strengthening national institutional arrangements that support the ETF, improving national measurement, reporting and verification (MRV) systems, contributing to the enhancement of NDCs, and facilitating knowledge exchange and collaboration.<sup>11</sup>
53. Honduras ratified the Paris Agreement in September 2016 and submitted its first NDC in 2015 and an enhanced NDC in 2021<sup>12</sup> and 2025<sup>13</sup>. The country has also submitted three NCs to UNFCCC in 2000, 2012 and 2019, and its first and BURs in 2020 and 2024. During the implementation of the CBIT-HN Project, Honduras finalised its first BTR.<sup>14</sup> The implementation of the ETF in Honduras is expected to streamline and improve the quality of the NDCs, NCs and BTRs prepared by the country and submitted to the UNFCCC.
54. In 2013, Honduras adopted a framework climate change law (Decree No. 297-2013) that sets principles and objectives for climate action in the country. The law also established institutional coordination mechanisms for climate action planning and implementation, which include an interinstitutional policy and advisory committee (*Comité Interinstitucional de Cambio Climático* (CICC)) and a technical coordination committee (*Comité Técnico Interinstitucional de Cambio Climático* (CTICC)). The law, however, does not stipulate the processes and responsibilities for Honduras' climate change monitoring and reporting to the UNFCCC.<sup>15</sup>
55. SERNA is Honduras' environmental authority, responsible for setting and overseeing the country's environmental policy. Within SERNA, the national climate change division (*Dirección Nacional de Cambio Climático* (DNCC)) coordinates Honduras' climate change reporting to UNFCCC. The objectives and priorities of climate action in Honduras are set

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<sup>10</sup> UNFCCC. Technical handbook for developing country Parties on preparing for implementation of the enhanced transparency framework under the Paris Agreement. 2023. Available under:

<[https://unfccc.int/sites/default/files/resource/ETF%20Handbook-Edt2\\_EN.pdf](https://unfccc.int/sites/default/files/resource/ETF%20Handbook-Edt2_EN.pdf)>.

<sup>11</sup> GEF. The Capacity Building Initiative for Transparency (CBIT). 2022. Available under:

<<https://www.thegef.org/newsroom/publications/capacity-building-initiative-transparency-cbit>>

<sup>12</sup> Government of Honduras. Actualización de la Contribución Nacional Determinada de Honduras. 2021. Available under

<[https://unfccc.int/sites/default/files/NDC/2022-06/NDC%20de%20Honduras\\_%20Primera%20Actualizaci%C3%B3n.pdf](https://unfccc.int/sites/default/files/NDC/2022-06/NDC%20de%20Honduras_%20Primera%20Actualizaci%C3%B3n.pdf)>

<sup>13</sup> Government of Honduras. Segunda Actualización de la Contribución Nacional Determinada de Honduras. 2025. Available under <[https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizaci%C3%B3n%20de%20su%20NDC%20%282021-2030%29serna\\_rev\\_final.pdf](https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizaci%C3%B3n%20de%20su%20NDC%20%282021-2030%29serna_rev_final.pdf)>

<sup>14</sup> Government of Honduras. *Primer Informe Bienal de Transparencia de la República de Honduras (1990 – 2022)*. 2024. Available under <<https://unfccc.int/documents/645322>>

<sup>15</sup> Source: Government of Honduras. *Primer Informe Bienal de Transparencia de la República de Honduras (1990 – 2022)*. 2024. p. 517.

in the country's NDCs, national climate change strategy (*Estrategia Nacional de Cambio Climático* (ENCC))<sup>16</sup> and National Adaptation Plan (NAP).

## B. Results framework

56. As stated in the ProDoc, the objective of the CBIT-HN Project was to “develop technical and logistical capacities for creation and operation of an integrated monitoring system of climate change”. The Project included one single component with one outcome and five outputs:

**Project outcome:**<sup>17</sup> The Government of Honduras compiles, analyses, and communicates climate-related information through an integrated climate change monitoring system.

**Project outputs:**<sup>18</sup>

1. An integrated climate change monitoring system for improved climate-related data access and information management is established at UGMCC<sup>19</sup> and available to stakeholders planning, implementing, monitoring, and reporting climate action.
2. A set of country-specific indicators for monitoring climate change is made available to stakeholders planning, implementing, monitoring, and reporting climate action.
3. A mechanism for financing the operation of the integrated climate change monitoring system is submitted to SERNA for approval.
4. Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data are made available to stakeholders planning, implementing, monitoring, and reporting climate action.
5. A formal program to strengthen capacities and exchange information is designed and delivered to stakeholders planning, implementing, monitoring, and reporting climate action.

57. Table 3 (overleaf) summarises the PRF of the CBIT-HN Project, including indicators, targets and means of verification.

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<sup>16</sup> The ENCC will be updated and replaced by a national low-carbon, climate-resilience strategy (*Estrategia Nacional de Descarbonización y Resiliencia Climática* (ENDRCH)). Source: Government of Honduras. *Primer Informe Bienal de Transparencia de la República de Honduras (1990 – 2022)*.

<sup>17</sup> The outcome statement was reformulated during the reconstruction of the Theory of Change (see section IV of this terminal review report).

<sup>18</sup> The output statements were reformulated during the reconstruction of the Theory of Change (see section IV of this terminal review report).

<sup>19</sup> Climate Change Management and Monitoring Unit (*Unidad de Gestión para el Monitoreo del Cambio Climático*), a unit within DNCC expected to be created with support from the CBIT-HN Project.

**Table 3. Project results framework**

| Objective/outcomes/outputs <sup>20</sup>                                                                                                                            | Indicators                                                                                                                                         | Baseline and target           | Means of verification                                                                                                                                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Project objective:</b> Develop technical and logistical capacities for the creation and operation of an integrated monitoring system of climate change.          | A. Percentage of institutions out of the relevant, that are working in reporting together or at the same time to comply with integrated reporting. | Baseline: 0<br>Target: 60%    | Reports from the established central monitoring system on institutions reporting to it.<br>Agreements signed.                                                                                          |
| <b>Outcome.</b> The Government of Honduras compiles, analyses, and communicates climate-related information through an integrated climate change monitoring system. | B. Improvement in the quality of MRV system based on GEF score 1 to 10 as per Annex III of CBIT programming directions.                            | Baseline: 2<br>Target: 6      | CBIT Global Coordination Platform self-assessment tool.<br>Reports from the established central monitoring system                                                                                      |
|                                                                                                                                                                     | C. Improvement in the quality of Monitoring & Evaluation of Adaptation Measures.                                                                   | Baseline: 1<br>Target: 6      | CBIT Global Coordination Platform self-assessment tool.                                                                                                                                                |
|                                                                                                                                                                     | D. Improvement in the quality of institutional capacity for transparency based on GEF score 1 to 4 as per Annex IV of CBIT programming directions. | Baseline: 1<br>Target: 3      | Institutional arrangements being used.<br>CBIT Global Coordination Platform self-assessment tool.                                                                                                      |
|                                                                                                                                                                     | E. % of specialised trained staff who declare to be in a better position to implement MRV systems (gender disaggregated).                          | Baseline: N.A.<br>Target: 70% | Attendees' lists for all trainings and surveys before and after each training session.                                                                                                                 |
|                                                                                                                                                                     | F. Resources identified to sustain operations post project.                                                                                        | Baseline: No<br>Target: Yes   | Agreement between SERNA and the Secretariat of Finance for the implementation of the financial mechanism.<br>Annual financial reports of the ONCC-DS <sup>21</sup> for revenues from external sources. |

Source: UNEP, GEF ProDoc, pp. 42-44.

<sup>20</sup> The outcome statement was reformulated during the reconstruction of the Theory of Change (see section IV of this terminal review report).

<sup>21</sup> Climate Change Observatory (*Observatorio Nacional de Cambio Climático para el Desarrollo Sustentable*), a technical advisory body expected to be created with support from the CBIT-HN Project.

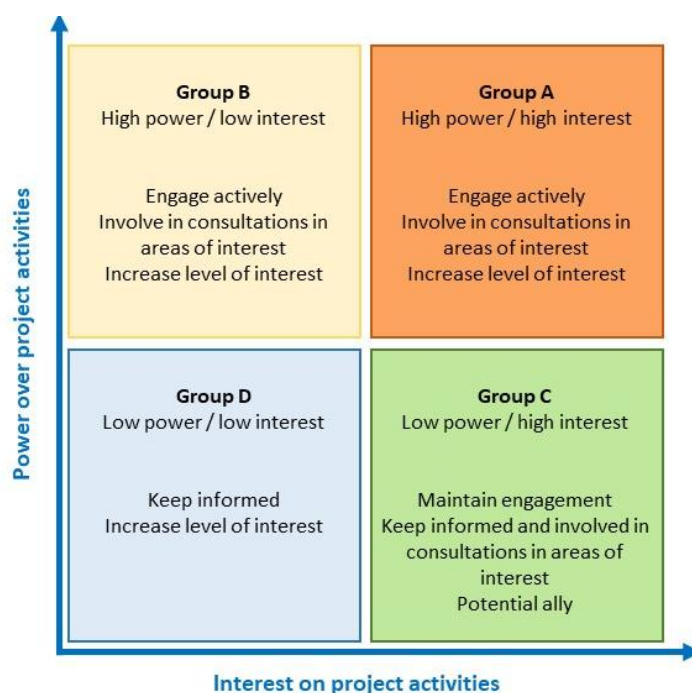
### C. Stakeholders

58. The main stakeholder of the CBIT-HN Project was SERNA, which was the Executing Agency and main change agent. In addition to SERNA, the Project also engaged with line-Ministries and agencies, academic institutions (e.g., Autonomous University of Honduras), and representatives from the private sector and civil society.
59. Secretariat of Natural Resources and Environment (SERNA). SERNA is Honduras' national environmental authority and the country's UNFCCC focal point. SERNA was the Executing Agency and primary beneficiary of the CBIT-HN Project. The National Climate Change Division (*Dirección Nacional de Cambio Climático* (DNCC)) is SERNA's main department that is responsible for climate change policy. The CBIT-HN Project was designed to support the implementation of a Climate Change Management and Monitoring Unit (*Unidad de Gestión para el Monitoreo del Cambio Climático* (UGMCC)) within DNCC. UGMCC was expected to assume the primary responsibility for climate-related monitoring and reporting in Honduras. At project approval, UGMCC had been formally proposed but not implemented.
60. National Observatory of Climate Change for Sustainable Development (*Observatorio Nacional de Cambio Climático para el Desarrollo Sustentable* (ONCC-DS)). ONCC-DS was proposed as an independent body to convene stakeholders from the private sector, academia and civil society. ONCC-DS was expected to provide technical and scientific advice to UGMCC and contribute to the operation of the ICCMS. The Regional University Center of the Atlantic Coast at the Autonomous University of Honduras (*Centro Universitario Regional del Litoral Atlántico, Universidad Nacional Autónoma de Honduras* (UNAH-CURLA)) was proposed as the host for ONCC-DS.
61. Inter-Institutional Technical Committee on Climate Change (CTICC). CTICC is a climate change coordination and advisory body with over 70 members representing the national government, the private sector, academia, non-government organizations (NGOs), and civil society.
62. Secretariat of Finance (SEFIN). SEFIN is responsible for Honduras' economic and fiscal policy. SEFIN was expected to allocate financial resources to the ICCMS and ONCC-DS.
63. Secretariat of Agriculture and Livestock (SAG). SAG sets and oversees the implementation of Honduras' agricultural policies. SAG is responsible for planning, implementing, monitoring and reporting climate action in the agriculture sector.
64. Secretariat of Energy (SEN). SEN is the national authority for the energy sector and sets the country's policies for this sector. SEN plans, implements, monitors and reports climate action in the energy sector.
65. Secretariat of Foreign Affairs and International Cooperation (SRECI). SRECI implements Honduras policies on international relations and oversees the planning and implementation of initiatives financed by international cooperation.
66. Forest Conservation Institute (*Instituto de Conservación Forestal* (ICF)). ICF is Honduras' national agency responsible for the management of forests and protected areas. ICF is responsible for climate monitoring and reporting in the land use, land-use change and forestry (LULUCF) sector, including the GHG inventory for this category.
67. National Statistics Agency (*Instituto Nacional de Estadística* (INE)). INE is responsible for collecting, analysing and disseminating statistical information on Honduras' population, economy and development trends. INE is the source of information used in Honduras climate reporting.
68. National Centre of Atmospheric, Oceanic and Seismic studies (*Centro de Estudios Atmosféricos, Oceanográficos y Sísmicos* (CENAOS)). CENAOS is Honduras



hydrometeorological agency, responsible for weather and climate forecasting and monitoring. CENAO reports to the Permanent Commission for Contingencies (*Comisión Permanente de Contingencias* (COPECO)), Honduras' disaster risk management agency.

69. Honduras' Education Board (Consejo Nacional de Educación (CONED)). CONED sets and oversees education policies in Honduras. The body includes members from the national governments, academic institutions and civil society.
70. Fundación Iniciativas de Cambio Climático (a national climate change NGO). The NGO promotes climate action and participates in climate policymaking in Honduras.
71. UNEP Regional Office for Latin America and the Caribbean (LACO). LACO was responsible for implementing project activities focused on South-South cooperation. As such, it managed directly a small fraction of the project's budget.
72. The following is a stakeholder analysis prepared with the information contained in the ProDoc and project reports, complemented with additional details elicited during interviews with stakeholders. The analysis classifies groups of stakeholders based on each group's level of influence over the project direction and their interest in its activities (see Figure 1). The results of the analysis are shown in Table 4, overleaf.



**Figure 1. Classification of stakeholders' groups**

**Table 4. Stakeholder analysis**

| Stakeholders                                                                                           | Power over the project implementation and results<br>Level of interest in project activities                                                                                                                               | Participation in project design | Roles and responsibilities during project implementation                                                                                                                                                                    | Expected changes in behaviour due to project activities                                                                                                                                         |
|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Group A: High power / high interest                                                                    |                                                                                                                                                                                                                            |                                 |                                                                                                                                                                                                                             |                                                                                                                                                                                                 |
| Secretariat of Natural Resources and Environment (SERNA)                                               | SERNA requested the CBIT-HN Project and was the primary project partner. SERNA was a Project Steering Committee (PSC) member and hosted the Project Management Unit (PMU). SERNA is Honduras' GEF Operational Focal Point. | Yes                             | Led project implementation, convened stakeholders, and provided quality control of project results.                                                                                                                         | SERNA was expected to build its capacities to lead and coordinate the planning, implementation, monitoring and reporting of climate action across various sectors and levels of the government. |
| Climate Change Management and Monitoring Unit (UGMCC)                                                  | UGMCC was proposed as a new department within SERNA to be responsible for climate-related monitoring and reporting.                                                                                                        | No                              | UGMCC was expected to be the primary manager and operator of the proposed ICCMS.                                                                                                                                            | The CBIT-HN Project was expected to contribute to the commissioning of UGMCC, supporting the new unit as it took over climate monitoring and reporting responsibilities.                        |
| National Observatory of Climate Change for Sustainable Development (ONCC-DS)                           | ONCC-DS was expected to provide technical and scientific advice to UGMCC and the ICCMS.                                                                                                                                    | No                              | ONCC-DS was expected to support the design and development of the ICCMS.                                                                                                                                                    | ONCC-DS was expected to be responsible, together with UGMCC, for the operation of the proposed ICCMS.                                                                                           |
| Regional University Center of the Atlantic Coast at the Autonomous University of Honduras (UNAH-CURLA) | UNAH-CURLA was proposed as the host of ONCC-DS.                                                                                                                                                                            | Yes                             | UNAH-CURLA was expected to provide technical and scientific input to project activities and to participate in training activities. UNAH-CURLA was expected to participate in the implementation and operation of the ICCMS. | UNAH-CURLA was expected to host the ONCC-DS.                                                                                                                                                    |

| Stakeholders                                                         | Power over the project implementation and results<br>Level of interest in project activities                                                     | Participation in project design | Roles and responsibilities during project implementation                                                                                                                                                | Expected changes in behaviour due to project activities                                                                                          |
|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| Secretariat of Finance (SEFIN)                                       | SEFIN allocates financial resources to government initiatives, including the proposed ICCMS. SEFIN reports public investments in climate action. | No                              | Participated in the development of indicators under output 2. Participated in the implementation and operation of ICCMS. SEFIN participated in the development of the financial strategy for the ICCMS. | SEFIN was expected to contribute information to the ICCMS and allocate financial resources to support the system's operation.                    |
| Secretariat of Agriculture and Livestock (SAG)                       | SAG participates in the planning, implementation, monitoring, and reporting of climate action in Honduras's agriculture sector.                  | No                              | SAG participated in the development of indicators under output 2. SAG participated in the implementation of the ICCMS.                                                                                  | SAG was expected to contribute information to the ICCMS and use the system to perform its climate planning, monitoring and reporting functions.  |
| Secretariat of Energy (SEN)                                          | SEN participates in the planning, implementation, monitoring, and reporting of climate action in Honduras's energy sector.                       | No                              | SEN participated in the development of indicators under output 2. SEN participated in the implementation of the ICCMS.                                                                                  | SEN was expected to contribute information to the ICCMS and use the system to perform its climate planning, monitoring, and reporting functions. |
| Forest Conservation Institute (ICF)                                  | ICF participates in the planning, implementation, monitoring and reporting of climate action in the LULUCF sector of Honduras                    | No                              | ICF participated in the development of indicators under output 2. ICF participated in the implementation of the ICCMS.                                                                                  | ICF was expected to contribute information to the ICCMS and use the system to perform its climate planning, monitoring, and reporting functions. |
| National Centre of Atmospheric, Oceanic and Seismic studies (CENAOS) | CENAOS is responsible for weather and climate forecasting and monitoring                                                                         | No                              | CENAOS participated in the development of indicators under output 2.                                                                                                                                    | CENAOS was expected to contribute information to the ICCMS.                                                                                      |

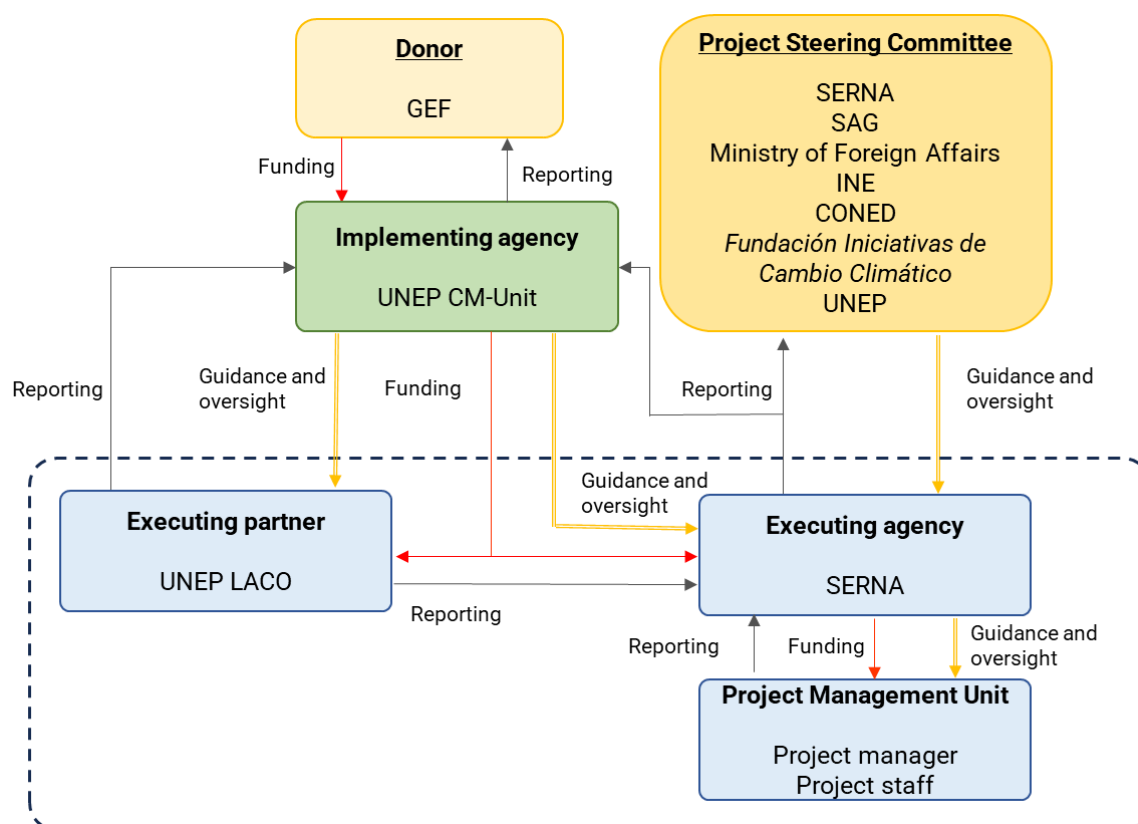
| Stakeholders                                                          | Power over the project implementation and results<br>Level of interest in project activities                                                                                                                                                                                                                                                                         | Participation in project design | Roles and responsibilities during project implementation                                                                                                            | Expected changes in behaviour due to project activities                                                                                                                                                                          |
|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Inter-Institutional Technical Committee on Climate Change (CTICC)     | CTICC coordinates climate action among entities from the national government, private sector, academia and civil society.                                                                                                                                                                                                                                            | No                              | CTICC members provided input to the design of the ICCMS.                                                                                                            | CTICC was expected to coordinate with various entities contributing information to the ICCMS.                                                                                                                                    |
| Group B: High power / low interest                                    |                                                                                                                                                                                                                                                                                                                                                                      |                                 |                                                                                                                                                                     |                                                                                                                                                                                                                                  |
| Secretariat of Foreign Affairs and International Cooperation (SRECI). | SRECI oversees initiatives financed by international cooperation, including the CBIT-HN Project.                                                                                                                                                                                                                                                                     | Yes                             | SRECI participated in Project Steering Committee meetings.                                                                                                          | SRECI was expected to contribute information to the ICCMS and use the system to perform its climate planning, monitoring, and reporting functions.                                                                               |
| Group C: Low power / high interest                                    |                                                                                                                                                                                                                                                                                                                                                                      |                                 |                                                                                                                                                                     |                                                                                                                                                                                                                                  |
| <i>Fundación Iniciativas de Cambio Climático</i>                      | The NGO promotes climate action in Honduras and has an interest in increasing the level of ambition and transparency of the country's climate action.                                                                                                                                                                                                                |                                 | The NGO participated in the development of indicators under output 2. The NGO participated in the design of the ICCMS.                                              | The NGO was expected to improve its capacities to participate in and oversee the planning and implementation of climate action in Honduras.                                                                                      |
| UNEP Regional Office for Latin America and the Caribbean (LACO).      | LACO supports the design and implementation of projects financed by CBIT in countries in Latin America and the Caribbean. In this role, LACO provides technical advice, project execution support (when requested by the implementing country), and facilitates knowledge exchange and technical cooperation among countries benefiting from CBIT-financed projects. | Yes                             | LACO was responsible for implementing project activities focused on South-South cooperation. As such, it directly managed a small fraction of the project's budget. | LACO was expected to provide technical advice to SERNA and project stakeholders, and to facilitate collaboration with countries in Latin America and the Caribbean that were also implementing transparency-related initiatives. |
| CBIT Global Coordination Platform                                     | The CBIT Global Coordination Platform facilitates knowledge exchange among countries implementing projects financed by CBIT. The platform is managed by                                                                                                                                                                                                              | No                              | The platform was expected to support project activities and disseminate results.                                                                                    | The CBIT Global Coordination Platform was expected to support project activities and the dissemination of results.                                                                                                               |

| Stakeholders                      | Power over the project implementation and results<br>Level of interest in project activities                                        | Participation in project design | Roles and responsibilities during project implementation                                       | Expected changes in behaviour due to project activities                                                       |
|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                   | UNEP, the UNEP's Copenhagen Climate Centre (formerly UNEP DTU Partnership), and the United Nations Development Programme (UNDP).    |                                 |                                                                                                |                                                                                                               |
| Indigenous Peoples                | Indigenous Peoples are represented in CTICC, and participate in working groups under CTICC on agriculture, forestry and adaptation. | No                              | Members of the CTICC had little involvement in project activities.                             | Indigenous Peoples, through CTICC, were expected to contribute to the development and operation of the ICCMS. |
| Group D: Low power / low interest |                                                                                                                                     |                                 |                                                                                                |                                                                                                               |
| Honduras statistics agency (INE)  | INE is the source of information used in Honduras climate reporting.                                                                | No                              | INE provided input to the design of ICCMS. INE was a member of the Project Steering Committee. | INE was expected to participate in the design and operation of the ICCMS.                                     |
| Honduras' education board (CONED) | Members of CONED represent Honduras' academic institutions in policy-making processes.                                              | No                              | CONED participated in meetings of the Project Steering Committee.                              | Members of CONED were expected to take on capacity-building roles related to the operation of the ICCMS.      |

#### **D. Project implementation structure and partners**

73. The implementation arrangements of the CBIT-HN Project were straightforward. The GEF Climate Mitigation Unit (CM-Unit) under UNEP's Climate Change Division acted as the GEF Implementing Agency. SERNA was the main Executing Agency and UNEP's LACO was responsible for implementing activities related mainly to South-South cooperation and directly managed a relatively small fraction of the GEF grant (US\$76,304). The roles of SERNA and the CM-Unit were defined in a Project Cooperation Agreement (PCA) signed by SERNA and UNEP in March 2021. The roles of UNEP LACO were defined in an Internal Cooperation Agreement (ICA) between UNEP's CM-Unit and LACO signed on 29 October 2022.
74. At the time the PCA was agreed and signed, the UNEP's Copenhagen Climate Centre (formerly UNEP DTU Partnership) had been identified as a potential partner for the design of the ICCMS. However, shortly after the project started, UNEP's Copenhagen Climate Centre withdrew from the Project. The resources allocated to the Centre's contribution to the Project (US\$88,830), initially to be managed by LACO, reverted to SERNA. An amendment to the PCA was agreed and signed in April 2022.
75. A Project Management Unit (PMU) was set up and hosted in SERNA's premises in Tegucigalpa. From the project start to July 2023, the PMU was headed by a full-time project manager, and by a second manager from then until the end of project implementation in May 2025. The initial composition of the PMU included, in addition to the project manager, an administrative assistant, an agriculture and forestry expert, an energy expert, capacity-building junior and senior experts, an IT expert, and an institutional coordination expert. In 2023, the PMU was streamlined, and its composition was simplified to that of a project manager and an IT expert who joined in May 2024.
76. The PMU was supported by staff from SERNA (mainly from DNCC) and products were reviewed and approved by staff from the Secretariat. Other areas of SERNA that participated in the implementation of the CBIT-HN Project were the General Environmental Directorate (*Dirección General Ambiental*), General Water Directorate (*Dirección General de Recursos Hídricos*), Ozone Technical Unit, and the Centre for Pollution Research and Control (*Centro de Estudios y Control de Contaminantes*).
77. A Project Steering Committee (PSC) was established to plan and oversee project activities. The membership, responsibilities, and basic procedures of the PSC were adopted at its first meeting on 26 August 2021. Members of the PSC were representatives from SERNA (chair of the PSC), CONED, INE, SAG, SRECI, *Fundación Iniciativas de Cambio Climático*, and UNEP. The PMU was the technical secretariat of the PSC. In total, the PSC met five times on 26 August 2021, 8 December 2022, 18 April 2023, 24 September 2024, and 14 October 2024. (see section V.I. for additional details on the functioning of the PSC).
78. In the ProDoc, a Transparency Working Group has been proposed to provide input and advice to SERNA and the PMU during the implementation of the CBIT-HN Project. However, according to stakeholders interviewed for this TR, this group was not convened and did not play a role in project implementation.
79. Figure 2 illustrates the implementation structure adopted by the CBIT-HN Project.

**Figure 2. Organigram of the CBIT-HN Project**



## E. Changes in design during implementation

80. The CBIT-HN Project was formally revised four times, in March 2021, April 2022, December 2024, and November 2024. The first revision included activities to be implemented directly by UNEP LACO and UNEP's Copenhagen Climate Centre, allocating US\$165,000 to these activities to be managed directly by UNEP LACO. Following the withdrawal of the Centre, the fraction of the resources allocated to it (US\$88,830) reverted to SERNA (2022 project revision).
81. In November 2021, Honduras held national elections. Following the appointment of a new Cabinet, the CBIT-HN underwent a review by SERNA's new administration. The review resulted in the appointment of new staff at the PMU, the revision of plans for the design and implementation of the ICCMS, and a revised schedule for the remaining project activities. UNEP approved the requested project changes in December 2023 (third project revision). As a result, progress and disbursements by the CBIT-HN Project during 2022 and 2023 were very slow (see section V.E. of this TR report). The 2023 revision extended the implementation period until December 2024 at no additional cost.
82. The fourth and final revision to the Project was approved in November 2024. The revision introduced changes to the project activities and budget, mainly due to the challenges of implementing the ONCC-DS (see discussion in section V.D. of this document). The revision in 2024 granted a second no-cost extension, scheduling the end of the implementation period for May 2025.

83. Other than desisting from the creation of UGMCC and the ONCC-DS to support the operation of the ICCMS, there were no other major changes to the scope of the CBIT-HN Project.

## F. Project financing

84. The CBIT-HN Project received a GEF grant for US\$1,050,000. At project approval, SERNA had committed to contributing in-kind co-financing resources for US\$150,000. Contributions of co-financing resources were reported annually. By the end of the project, SERNA had reported in-kind contributions worth US\$151,303. This in-kind contribution was used to host the PMU, and cover expenses from SERNA's personnel participating in project activities.
85. By the end of the Project, GEF grant expenditures by the CBIT-HN Project totalled US\$969,833.<sup>22</sup> Unspent funds will be returned to the GEF Trust.
86. As discussed in section V.E of this TR report, the Project's low disbursement rate resulted from implementation delays and shortcomings in the scope of delivered project results, and not necessarily from implementing cost-saving measures.

**Table 5. Expenditure by project outcome**

| Outcome                   | Estimated cost at design [US\$] | Actual cost/ expenditure [US\$] <sup>†</sup> | Expenditure ratio (actual/planned) |
|---------------------------|---------------------------------|----------------------------------------------|------------------------------------|
| Outcome 1                 | 904,446                         | 859,730                                      | 0.95                               |
| Monitoring and evaluation | 50,100                          | 25,130 <sup>‡</sup>                          | 0.50                               |
| Project management        | 95,454                          | 85,273                                       | 0.89                               |
| <b>Total</b>              | <b>1,050,000</b>                | <b>969,833</b>                               | <b>0.92</b>                        |

<sup>†</sup> All figures to be validated during the project's financial closure.

<sup>‡</sup> The amount includes expenditures related to this TR.

**Table 6. Project cofinancing**

| Source       | Type    | Planned [US\$] | Actual [US\$]  |
|--------------|---------|----------------|----------------|
| SERNA        | In-kind | 150,000        | 151,303        |
| <b>Total</b> |         | <b>150,000</b> | <b>151,303</b> |

<sup>22</sup> The final expenditure figure and the amount to be returned to the GEF Trust Fund will be validated during the project's financial closure.



## IV. THEORY OF CHANGE AT REVIEW

87. The CEO ER did not include a dedicated section to discuss the project's ToC. However, section A.1. of the document provides the context, rationale, and strategy of the CBIT-HN Project. Annex A of that document also presents a complete PRF and section A.5. complements this discussion with a risk analysis. Based on this information, the Project's ToC was reconstructed and is presented here. The reconstructed ToC was the basis for reviewing the CBIT-HN Project, especially when assessing the effectiveness and sustainability criteria.
88. The ProDoc states that the project's objective is to develop the capacities needed to create and operate an integrated climate change monitoring system in Honduras. This objective statement is an adequate description of the project's purpose. For review purposes, in addition to this objective statement, the reconstructed ToC requires the definition of the long-term impacts and the intermediate states required along the causal pathway. Please note that the long-term impacts and intermediate states are not expected to be achieved during project implementation. Instead, the evaluation of impact will determine the likelihood of the impact materialising following the project results, provided that certain assumptions and drivers are met. During the reconstruction of the ToC, some output statements were revised to improve clarity and evaluability. Table 7 shows the original and reformulated statements and provides a justification for the latter.

**Table 7. Reconstruction of the theory of change, project outcomes and outputs**

| Formulation in original project document                                                                                     | Reformulated statement                                                                                                        | Justification for reformulation                                                                                                                                                                                                                                                    |
|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Objective                                                                                                                    |                                                                                                                               |                                                                                                                                                                                                                                                                                    |
| Develop technical and logistical capacities for creation and operation of an integrated monitoring system of Climate Change. | Develop technical and logistical capacities for the creation and operation of an integrated climate change monitoring system. | Minor editorial changes to improve clarity.                                                                                                                                                                                                                                        |
| Long-term impacts <sup>23</sup>                                                                                              |                                                                                                                               |                                                                                                                                                                                                                                                                                    |
| Missing.                                                                                                                     | Honduras reduces GHG emissions.                                                                                               | The ProDoc did not include a long-term impact statement. The proposed long-term impact describes the expected scenario in which Honduras achieves results from implementing ambitious climate actions, thus reducing GHG emissions and increasing its resilience to climate risks. |
|                                                                                                                              | Honduras increases resilience to climate risks.                                                                               |                                                                                                                                                                                                                                                                                    |
| Intermediate state <sup>24</sup>                                                                                             |                                                                                                                               |                                                                                                                                                                                                                                                                                    |

<sup>23</sup> Impacts are long-lasting, direct or indirect intended results from the implementation of a project. Impacts are positive changes that should be related to UNEP's mandate. Source: Glossary of results definitions relevant for harmonized results-based approach in UNEP. Version 6. 2021.

<sup>24</sup> Intermediate states are changes that are required for the achievement of the long-term impact of the project. (*ibid.*).

| Formulation in original project document                                                                                                                                                                            | Reformulated statement                                                                                                                                                                                                                        | Justification for reformulation                                                                                                                                                                                                                                                                                             |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Missing.                                                                                                                                                                                                            | The Government of Honduras and other stakeholders use quality information to set environmental policy and goals, including more ambitious NDC goals, low-emission development strategies, and adaptation plans.                               | The ProDoc did not include a statement for the planned intermediate state.<br>The proposed intermediate state reflects the expected adoption and operation of the climate change monitoring system by relevant stakeholders to inform more ambitious NDC targets, low-emission development strategies and adaptation plans. |
| <b>Outcome<sup>25</sup></b>                                                                                                                                                                                         |                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                             |
| An integrated monitoring system of Climate Change for Honduras (between the National Observatory of Climate Change – ONCC-DS; and the Climate Change Management and Monitoring UGMCC) designed and operationalized. | The Government of Honduras compiles, analyses, and communicates climate-related information through an integrated climate change monitoring system.                                                                                           | Results at the outcome level must reflect <i>changes at an institutional level</i> that result from <i>the use of project outputs</i> (i.e., an integrated climate change monitoring system). In the ProDoc the outcome was defined at a level of deliverable. <sup>26</sup>                                                |
| <b>Outputs<sup>27</sup></b>                                                                                                                                                                                         |                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                             |
| 1. A centralized monitoring system for improved data access and information management established in the Climate Change Management and Monitoring Unit (UGMCC).                                                    | 1. An integrated climate change monitoring system for improved climate-related data access and information management is established at UGMCC and available to stakeholders planning, implementing, monitoring, and reporting climate action. | Minor changes to improve clarity and define the output from the users' perspective.                                                                                                                                                                                                                                         |
| 2. A set of country climate change specific indicators of the monitoring system developed.                                                                                                                          | 2. A set of country-specific indicators for monitoring climate change is made available to stakeholders planning, implementing, monitoring, and reporting climate action.                                                                     | Minor changes to improve clarity and define the output from the users' perspective.                                                                                                                                                                                                                                         |
| 3. A national financial mechanism for the operation of the Integrated Monitoring System of Climate Change developed.                                                                                                | 3. A mechanism for financing the operation of the integrated climate change monitoring system is submitted to SERNA for approval.                                                                                                             | Minor changes to improve clarity and define the output from the users' perspective.                                                                                                                                                                                                                                         |

<sup>25</sup> Outcomes are "the use (i.e., uptake, adoption, application) of an output by intended beneficiaries, observed as a change in institutions or behaviours, attitudes or conditions". (*ibid.*)

<sup>26</sup> Deliverables are "a specified product or service derived from completed activity(ies). For example, a draft report, a revised manual, X number of workshop facilitation days are frequent deliverables in a UNEP project context. (Deliverables are viewed from the perspective of the supplier/provider of the product or service)." (*ibid.*)

<sup>27</sup> Outputs are defined as "the availability (for intended beneficiaries/users) of new products and services and/or gains in knowledge, abilities and awareness of individuals or within institutions". (*ibid.*)

| Formulation in original project document                                                                                                                                        | Reformulated statement                                                                                                                                                                                                                           | Justification for reformulation                                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| 4. Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data developed between UGMCC and ONCC-DS | 4. Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data are made available to stakeholders planning, implementing, monitoring, and reporting climate action. | Minor changes to improve clarity and define the output from the users' perspective. |
| 5. A formal program to strengthen capacities and exchange information developed.                                                                                                | 5. A formal program to strengthen capacities and exchange information is designed and delivered to stakeholders planning, implementing, monitoring, and reporting climate action.                                                                | Minor changes to improve clarity and define the output from the users' perspective. |

89. **Long-term impact.** Under the Paris Agreement, the ETF facilitates Parties planning, monitoring, implementing and reporting climate action. Therefore, the expected long-term impact of the CBIT-HN Project is to contribute to Honduras' delivering climate change adaptation and mitigation actions that ultimately reduce GHG emissions and increase the country's resilience to climate change. Hence, the long-term impacts are stated as follows:

**Long-term impact A.** Honduras reduces GHG emissions.

**Long-term impact B.** Honduras increases resilience to climate risks.

90. **Intermediate state.** The intermediate state reflects a scenario in which the Government of Honduras and key stakeholders use quality climate data to plan and set ambitious goals for climate action. These goals are to be defined in NDCs, low-emission development strategies and adaptation plans. The intermediate state links the key results from the CBIT-HN Project related to the implementation and use of the ICCMS to plan, monitor and report climate action:

**Intermediate state.** The Government of Honduras and other stakeholders use quality information to set environmental policy and goals, including more ambitious NDC goals, low-emission development strategies, and national adaptation plans.

91. **Outcomes.** The ProDoc lists a single outcome that reflects the Project's strategy to build capacities and deliver a climate change monitoring system in Honduras to be operated by UGMCC and ONCC-DS. The outcome statement was adjusted to reflect changes at the institutional level from the use of the system by the Government of Honduras:

**Outcome.** The Government of Honduras compiles, analyses, and communicates climate-related information through an integrated climate change monitoring system.

92. **Outputs.** The ProDoc lists five outputs related to the specific work required to design, implement, operate, and ensure the sustainability of the proposed climate change monitoring system. The proposed outputs adequately capture the scope of the activities and deliverables of the CBIT-HN Project. Still, the wording of the outputs was revised to define them from the users' perspective. Table 8 lists the project outputs, as revised:

Table 8. Project outputs

|                                                                                                                                                                                                                                                                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Output 1.</b> An integrated climate change monitoring system for improved climate-related data access and information management is established at UGMCC and available to stakeholders planning, implementing, monitoring, and reporting climate action.    |
| <b>Output 2.</b> A set of country-specific indicators for monitoring climate change is made available to stakeholders planning, implementing, monitoring, and reporting climate action.                                                                        |
| <b>Output 3.</b> A mechanism for financing the operation of the integrated climate change monitoring system is submitted to SERNA for approval.                                                                                                                |
| <b>Output 4.</b> Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data are made available to stakeholders planning, implementing, monitoring, and reporting climate action. |
| <b>Output 5.</b> A formal program to strengthen capacities and exchange information is designed and delivered to stakeholders planning, implementing, monitoring, and reporting climate action.                                                                |

93. **Assumptions and drivers.** Drivers and assumptions are relevant to assess how the CBIT-HN Project linked the delivery of outputs to outcomes. Additionally, drivers and assumptions are necessary to determine the likelihood of achieving the proposed impact on Honduras' capacities to plan, implement, monitor, and report climate action. Drivers and assumptions are proposed here as part of the reconstruction of the ToC.

Table 9. Assumptions and drivers

|                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Assumptions<sup>28</sup></b>                                                                                                                            |
| A1. Stakeholders are interested in participating in project activities and allocate the necessary time.                                                    |
| A2. Staff turnover does not erode institutional capacities gained with support from the Project.                                                           |
| A3. The Government of Honduras maintains a commitment to ambitious climate action.                                                                         |
| A4. The Government of Honduras has access to the knowledge and financial and technical resources needed to plan for ambitious climate action.              |
| A5. Stakeholders in Honduras have access to the knowledge, capacities, technologies and financial resources needed to implement ambitious climate actions. |
| A6. Climate-related impacts do not erode Honduras' climate change mitigation and adaptation efforts.                                                       |
| <b>Drivers<sup>29</sup></b>                                                                                                                                |
| D1. Roles and responsibilities for climate action monitoring and reporting are clearly defined and communicated.                                           |
| D2. Partnerships (i.e., collaboration modalities) for climate action monitoring and reporting are formalized.                                              |
| D3. Capacities, tools, and approaches developed with support from the Project can be disseminated and adopted by key stakeholders.                         |

<sup>28</sup> "An assumption is a significant external factor or condition that needs to be present for the realization of the intended results but is beyond the influence of the project and its partners. Assumptions are often positively formulated risks." Source: UNEP Glossary of Results Definitions. Version 6. April 2021.

<sup>29</sup> "A driver is a significant external factor that, if present, is expected to contribute to the realization of the intended results of a project. Drivers can be influenced by the project and its partners." *Ibid.*

|                                                                                                                                                                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| D4. Resources for implementing the financing scheme to support the operation of the climate change monitoring system can be allocated.                                                                |
| D5. Government entities with climate action monitoring and reporting responsibilities allocate the required resources to compile, analyse and transmit climate-related information.                   |
| D6. Honduras adopts a legal framework that is conducive to ambitious climate action planning and implementation.                                                                                      |
| D7. Honduras' climate action plans effectively incorporate the perspectives and needs of women, Indigenous Peoples and vulnerable groups.                                                             |
| D8. Female participants are interested in participating in training activities and allocate the necessary time to complete trainings that take into consideration their specific needs and interests. |

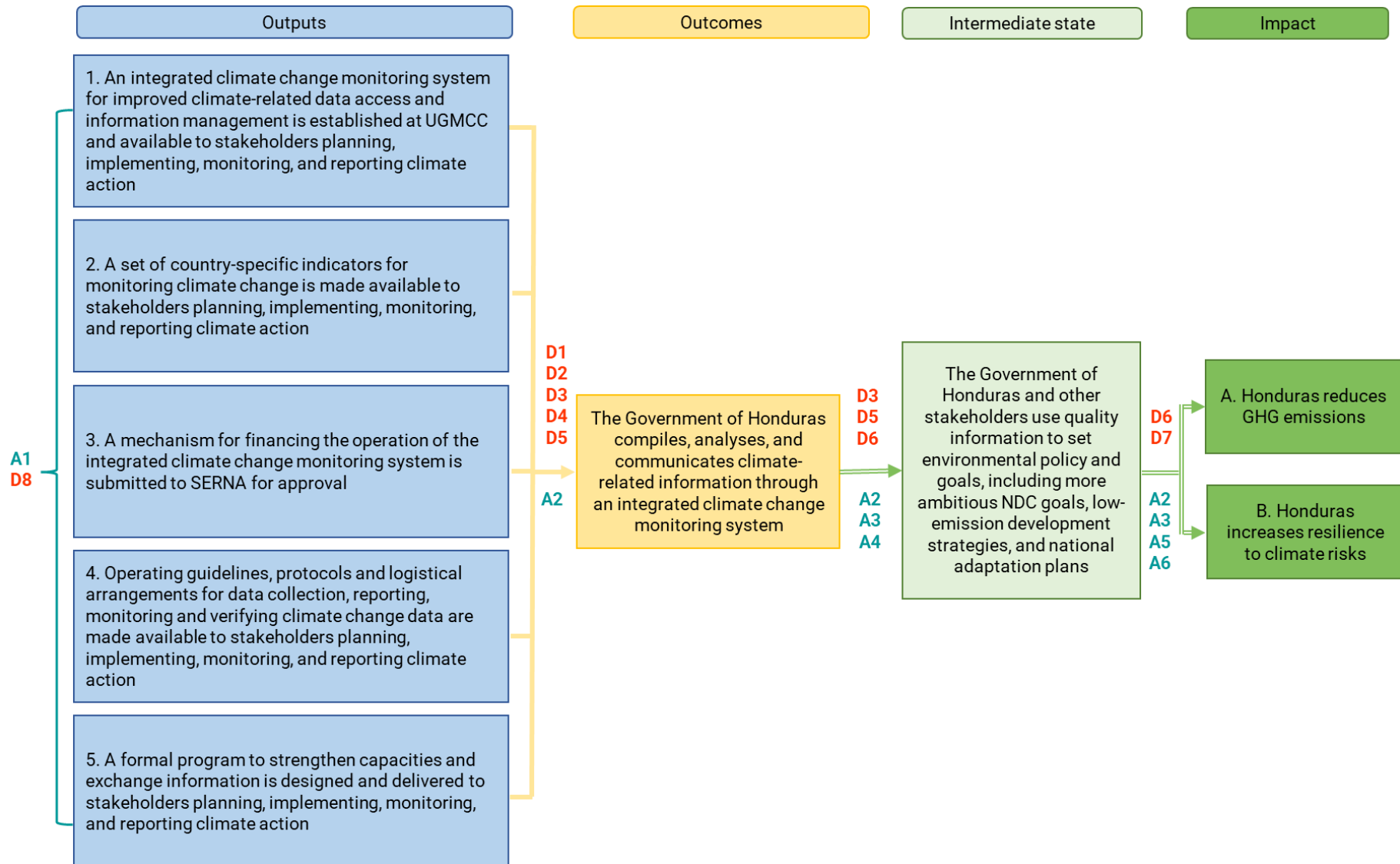
94. The CBIT-HN Project relies on one causal pathway that links outputs to the project's outcome, and that outcome to the intermediate state and to the project's ultimate impact. This pathway requires stakeholders from the national government, government agencies, the private sector, academia, and civil society to develop the necessary capacities and access the resources, information, procedures, and tools required for planning, implementing, monitoring, and reporting climate action in Honduras.
95. Activities under outputs 1 (establishment of the climate change monitoring system), 2 (development of climate change indicators), and 4 (development of technical requirements and guidelines) provide the scientific and technical input necessary for designing and implementing the proposed climate monitoring system. Activities under output 5 deliver training to build the capacities of participating entities related to climate action monitoring and reporting. Output 3 focuses on developing options to ensure the financial sustainability of the capacities developed by the CBIT-HN Project, especially the proposed monitoring system. These capacities are required to successfully operate the climate change monitoring system by sectoral authorities, government agencies, and other relevant stakeholders to produce, analyse, and disseminate climate-related information (outcome). These improved capacities are required to enable Honduras to plan, implement, monitor and report climate action (intermediate state). Implementing climate action would eventually lead to reduced GHG emissions and increased climate resilience in Honduras (impact).
96. An assumption underlying the delivery of the five outputs is related to the willingness of stakeholders to participate in project activities (assumption 1 (A1)). Achieving the outcome, intermediate state and impact assumes that staff turnover in participating entities does not impede building and maintaining institutional capacities (A2).
97. The operation of the climate change monitoring system by the Government of Honduras (outcome) requires that the roles and responsibilities for climate action monitoring and reporting are clearly defined and communicated (driver 1 (D1)) and that the necessary partnerships (i.e., collaboration modalities) are formalised (D2). Also, the capacities, tools and approaches developed with support from the CBIT-HN Project would need to be adopted by entities with responsibilities for climate action monitoring (D3). Using the monitoring system would require financial resources to be allocated to the operation of the information system (D4) and the data reporting and analysis functions by the different entities with climate monitoring and reporting responsibilities (D5). The delivery of the outcome also requires that assumption A2 is satisfied.

98. In the intermediate state, the Government and stakeholders in Honduras set ambitious climate action goals, informed by quality data provided by the information system. The intermediate requires that the Government of Honduras maintains a commitment to climate action (A3) and secures access to the knowledge and financial and technical resources needed for climate action planning (A4). Planning for climate action would also require Honduras to adopt a legal framework that defines the country's objectives, processes and responsibilities for climate planning (D6). The intermediate state also requires assumptions A2 to be satisfied and drivers D3 and D4 to be in place.
99. Delivering the long-term impacts requires that stakeholders in Honduras have access to the knowledge, capacities, technologies and financial resources needed for implementing climate mitigation and adaptation measures (A5). Achieving the impacts would also require that climate action plans effectively incorporate the perspectives and reflect the needs of women, Indigenous Peoples and vulnerable groups (D7). However, climate-related risks (e.g., extreme weather events) can erode efforts to reduce GHG emissions and increase climate resilience in Honduras (A6). Delivering the impacts needs assumption A2 to hold, a conducive legal framework to be in place (D6), and the continuous commitment by the Government of Honduras to ambitious climate action (A3).
100. The CBIT-HN Project aimed to achieve gender parity in stakeholder participation in various project training activities, as measured by the GEF's core indicator 11.<sup>30</sup> To meet the target of indicator 11, it is necessary to target female participants actively and to ensure that the design of the trainings takes into consideration their specific needs and interests. These considerations are reflected by driver D8.

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<sup>30</sup> ProDoc, p. 34.

Figure 3. Theory of change at review



## V. REVIEW FINDINGS

### A. Strategic Relevance

#### Alignment to UNEP's UNEP Medium Term Strategy<sup>31</sup> (MTS), Programme of Work (POW) and Strategic Priorities

101. The CBIT-HN project was aligned to UNEP's MTS 2018 – 2021, contributing to the strategy's climate change focus area priorities related to the implementation of national adaptation plans (NAPs), and low-carbon development strategies.<sup>32</sup> The Project contributed to two expected accomplishments (EA) of the POW 2020 – 2021: EA (a) on countries advancing NAPs<sup>33</sup>, and EA (b) on countries adopting low-carbon development strategies<sup>34</sup>.
102. Following the adoption of UNEP's MTS for 2022 – 2025, the CBIT-HN Project continued to be well aligned to the UNEP's priorities, contributing to outcomes 1 and 3 of the strategy, and outcomes 1.A. and 1.C. of the POW 2022 – 2023 related to the adoption of low-carbon, climate-resilient pathways (outcome 1 of the MTS and 1.A. of the POW) and to the implementation of the ETF by state and non-state actors (outcomes 3 and 1.C.).<sup>35,36</sup>
103. The CBIT-HN Project was aligned with the United Nations Development Assistance Framework (UNDAF) for Honduras for 2017 and 2021, contributing to direct effect 5.<sup>37</sup> The Project also contributed to the United Nations Sustainable Development Cooperation Framework (UNSDCF) with Honduras for 2022 – 2026, especially to strategic priority 2 and direct effect 2.3.<sup>38</sup>
104. **Rating for Alignment to UNEP MTS, POW and Strategic Priorities: Highly Satisfactory.**

#### Relevance to Global, Regional, Sub-regional and National Priorities

105. Honduras ratified the Paris Agreement in September 2016 and submitted its first NDC in 2015 and enhanced NDCs in 2021 and 2025. Implementing the ETF under the Paris Agreement is a global effort to support countries in planning, monitoring, and communicating their actions towards limiting the increase of global temperatures below 2°C above pre-industrial levels while increasing the transparency of these efforts. The CBIT-HN Project contributed to Honduras' actions to implement the ETF, which is

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<sup>31</sup> UNEP's Medium-Term Strategy (MTS) is a document that guides UNEP's programme planning over a four-year period. It identifies UNEP's thematic priorities, known as Sub-programmes (SP), and sets out the desired outcomes, known as Expected Accomplishments (EAs), of the Sub-programmes. <https://www.unenvironment.org/about-un-environment/evaluation-office/our-evaluation-approach/un-environment-documents>.

<sup>32</sup> UNEP. Medium-Term Strategy 2018–2021. Available under <<https://www.unep.org/resources/medium-term-strategy-2018-2021>>

<sup>33</sup> The Project contributed to indicator (a).(i) of the POW on the number of countries supported by UNEP with institutional arrangements in place to coordinate national adaptation plans.

<sup>34</sup> The Project contributed to indicator (b).(i) of the POW on the number of countries supported by UNEP that make progress in adopting and/or implementing low greenhouse gas emission development plans, strategies and/or policies.

<sup>35</sup> UNEP. For people and planet: the United Nations Environment Programme strategy for 2022–2025 to tackle climate change, loss of nature and pollution 2022 – 2025. pp.22 – 25. Available under <<https://www.unep.org/resources/people-and-planet-unep-strategy2022-2025>>

<sup>36</sup> The Project contributed to the POW indicators (i) on the number of actors adopting climate change strategies and policies with UNEP support, and (iii) on the number actors reporting under the ETF. UNEP. POW 2022 – 2023. pp. 16 – 18.

<sup>37</sup> Direct effect 5: The population living in poverty and vulnerable to food insecurity in the prioritised municipalities have increased their production and productivity, access to decent employment, income and sustainable consumption, taking into account climate change and the conservation of ecosystems. Source: Sistema de Naciones Unidas. *Marco de Asistencia de las Naciones Unidas para el Desarrollo Honduras 2017-2021*. n.d. Available under: <<https://honduras.un.org/sites/default/files/2019-08/MANUD%202017-2021.pdf>>

<sup>38</sup> Strategic priority 2: Laying the foundations to take advantage of tomorrow's structural opportunities. Direct effect 2.3: The Honduran State implements policies, strategies, and programs that strengthen the sustainability and resilience of its development. Source: United Nations. *Marco de Cooperación de las Naciones Unidas para el Desarrollo. Honduras 2022-2026*. n.d. Available under <[https://unsdg.un.org/sites/default/files/2021-11/Honduras\\_Cooperation\\_Framework\\_2022\\_2026.pdf](https://unsdg.un.org/sites/default/files/2021-11/Honduras_Cooperation_Framework_2022_2026.pdf)>



expected to improve the quality of Honduras' climate planning and reporting to the UNFCCC.

106. Honduras' climate change law (Decree No. 297 of 2013) set a national framework for climate action. Key provisions in the law established coordination mechanisms for climate action planning and implementation. According to the law, SERNA's DNCC is the technical entity designated by the Government of Honduras to coordinate climate action in Honduras. The Project contributed to building the capacities of DNCC to coordinate climate action planning and reporting.
107. Since the climate change law predates the adoption of the Paris Agreement, it does not elaborate on the processes and responsibilities for Honduras' climate change monitoring and reporting to the UNFCCC. As discussed in section V.D., the CBIT-HN Project contributed to the review of Honduras' climate change law. The draft climate change law seeks to address this gap in the 2013 law, proposing the mechanisms, processes and responsibilities for climate monitoring and reporting.
108. In 2021, Honduras submitted an enhanced NDC to the UNFCCC. The NDC lists actions related to climate transparency (section VII of the NDC), which include a commitment to implement a transparency system for climate action in Honduras.<sup>39</sup> This commitment was reiterated in Honduras' update to NDC, submitted in 2025.<sup>40</sup> The CBIT-HN contributed directly to the delivery by Honduras of that commitment.
109. **Rating for Relevance to Global, Regional, Sub-regional and National Priorities: Highly Satisfactory.**

#### **Alignment to Donor/GEF/Partners Strategic Priorities**

110. The Project was approved under GEF's Capacity-Building Initiative for Transparency (CBIT), a dedicated funding window to support the implementation of the ETF by beneficiary countries. The Project's objectives, outputs and activities were well aligned with GEF's programming directions for CBIT, the ProDoc made explicit references to this alignment, and the implementation of the Project was in line with the objectives and expected results laid down in both the ProDoc and the programming directions.<sup>41</sup>
111. **Rating for Alignment to Donor/GEF/Partners Strategic Priorities: Highly Satisfactory.**

#### **Complementarity with Existing Interventions/Coherence**

112. The CBIT-HN Project collaborated with initiatives supported by the NDC Partnership, Climate Promise, and most notably, with the GEF-financed enabling activities for the elaboration of Honduras' fourth NC and first BTR (GEF Project ID 11312). Collaboration and complementarity with that enabling activity were substantial factors contributing to the results of the CBIT-HN Project (see paragraph 290).
113. The collaboration with other initiatives provided input to individual project outputs. For example, activities implemented under the NDC Partnership contributed to the development of the set of climate indicators delivered by the CBIT-HN Project under output 2 (see paragraph 156).
114. **Rating for Complementarity with Existing Interventions/Coherence: Satisfactory.**

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<sup>39</sup> Government of Honduras. Actualización de la Contribución Nacional Determinada de Honduras. 2021. pp. 54 – 55.

<sup>40</sup> Government of Honduras. Segunda Actualización de la Contribución Nacional Determinada de Honduras. 2025. Available under <[https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizacio%CC%81n%20de%20su%20NDC%20%282021-2030%29serna\\_rev\\_final.pdf](https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizacio%CC%81n%20de%20su%20NDC%20%282021-2030%29serna_rev_final.pdf)>

<sup>41</sup> GEF. Programming Directions for the Capacity-Building Initiative for Transparency. 2016.

## **B. Quality of Project Design**

115. The quality of the project design was evaluated in detail during the preparation of the inception report and again during the data collection and analysis phases of this TR. The analysis concluded that the ProDoc adequately discussed the background for developing a climate monitoring system in Honduras, describing the needs and barriers associated with that system. The document elaborated on the baseline and starting situation, providing references to Honduras' NDC, NCs, and BURs. The institutional framework, including the roles of SERNA and ONCC-DS, were also clearly described.
116. The CBIT-HN Project followed a straightforward structure, focusing on the design and delivery of the proposed climate monitoring system, as well as building the institutional and technical capacities needed to operate and maintain it. Outputs, activities and deliverables are clearly described and linked together. However, as noted in section IV of this report, the ProDoc did not explicitly discuss the ToC; therefore, it was reconstructed as part of this TR. The reconstruction of the ToC highlighted the fact that, in the ProDoc outcomes and outputs were defined as lower-level results (i.e., outcomes and outputs were defined as deliverables). This shortcoming, however, did not create significant challenges during project implementation, monitoring or reporting. It does, however, influence the assessment of the achievement of outputs and outcomes, and the likelihood of impact (see section V.D. of this report). Relevant project design attributes were missing in the ProDoc (e.g., assumptions, drivers, intermediate states, etc.) and were added during the reconstruction of the ToC.
117. The PRF in the ProDoc was adequate and included indicators and targets that were relevant and adequate for assessing the project's progress towards achieving the proposed results. The PRF included indicators from the GEF tracking tool for CBIT-supported projects. The Project also reported against GEF Core Indicator 11 on the number of direct project beneficiaries (disaggregated by gender).
118. The ProDoc identified key project partners, especially SERNA's UGMCC and ONCC-DS. The expected roles of key project partners were also discussed. Section A.4. of the document included a discussion on gender equality that is adequate for the project's context and objective
119. Key project management tools (i.e., project budget, workplan, and risk log) were included in the ProDoc and were of high quality and relevance.
120. The ProDoc considered recommendations from the terminal evaluation of the project for the elaboration of second NCs to the UNFCCC by fifteen developing countries (not including Honduras).<sup>42</sup> The ProDoc did not include references to other terminal evaluations.
121. Table 10 provides a summary of the assessment of the quality of project design.

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<sup>42</sup> UNEP. Terminal Evaluation of Second National Communications Synthesis of Lessons Learned. 2013.

Table 10. Summary table for project design quality assessment

| Section                                              | Rating <sup>43</sup> | Weighting       | Total |
|------------------------------------------------------|----------------------|-----------------|-------|
| A Operating Context                                  | 5                    | 0.4             | 0.20  |
| B Project Preparation                                | 5                    | 1.2             | 0.60  |
| C Strategic Relevance                                | 5                    | 0.8             | 0.40  |
| D Intended Results and Causality                     | 4                    | 1.6             | 0.64  |
| E Logical Framework and Monitoring                   | 5                    | 0.8             | 0.40  |
| F Governance and Supervision Arrangements            | 5                    | 0.4             | 0.20  |
| G Partnerships                                       | 4                    | 0.8             | 0.32  |
| H Learning, Communication and Outreach               | 5                    | 0.4             | 0.20  |
| I Financial Planning / Budgeting                     | 6                    | 0.4             | 0.24  |
| J Efficiency                                         | 5                    | 0.8             | 0.40  |
| K Risk identification and Social Safeguards          | 5                    | 0.8             | 0.40  |
| L Sustainability / Replication and Catalytic Effects | 5                    | 1.2             | 0.60  |
| M Identified Project Design Weaknesses/Gaps          | N.A.                 | 0.4             | 0     |
| <b>Total Weighted Score</b>                          |                      | <b>4.60 (S)</b> |       |

**Rating for Project Design: Satisfactory**

### C. Nature of the External Context

122. The CBIT-HN Project started implementation in March 2021, during the COVID-19 outbreak. However, PIRs do not discuss effects from the pandemic on project implementation, and this TR did not find evidence of significant COVID-related challenges to project activities. However, the extension request submitted at the end of 2023 stated that the pandemic was one of the reasons for delays in project implementation. Still, this review concluded that the pandemic had only comparatively minor effects on project implementation and delays.
123. Honduras held national elections in 2021 that resulted in changes to SERNA's administration. In the context of these changes, the Project underwent an internal review that included changes in the PMU staffing. The elections, however, were part of Honduras' regular election cycle and should have been incorporated into project management plans and schedules.
124. Neither climate-related events nor economic or security-related factors affected project activities or results.

**Rating for Nature of the external context: Highly Favourable**

### D. Effectiveness

125. This section provides an analysis of the level of achievement of the results planned for the CBIT-HN Project, and discusses the delivery of the project's proposed outputs, following the statements in the PRF, and the reconstructed ToC. Following that presentation, the section reviews the level of achievement of the Projects outcomes and discusses the likelihood that the impact expected from the CBIT-HN Project will be achieved following the project's observed results during the implementation period. The analysis in this section is guided by the reconstructed ToC, as discussed in section IV of

<sup>43</sup> The following ratings are used: Highly satisfactory (HS) (6), Satisfactory (S) (5), Moderately satisfactory (MS) (4), Moderately unsatisfactory (MU) (3), Unsatisfactory (U) (2), Highly unsatisfactory (HU) (1)).

this report. For a detailed discussion on the Project's approach to gender equality, vulnerable and/or marginalised groups, see section V.I. (page 74) of this report.

### Availability of Outputs

126. The CBIT-HN Project reported results under five outputs, as reformulated during the reconstruction of the ToC (see Table 7):

- **Output 1.** An integrated climate change monitoring system for improved climate-related data access and information management is established at UGMCC and available to stakeholders planning, implementing, monitoring, and reporting climate action.
- **Output 2.** A set of country-specific indicators for monitoring climate change is made available to stakeholders planning, implementing, monitoring, and reporting climate action.
- **Output 3.** A mechanism for financing the operation of the integrated climate change monitoring system is submitted to SERNA for approval.
- **Output 4.** Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data are made available to stakeholders, planning, implementing, monitoring, and reporting climate action.
- **Output 5.** A formal program to strengthen capacities and exchange information is designed and delivered to stakeholders planning, implementing, monitoring, and reporting climate action.

Outcome 1. The Government of Honduras compiles, analyses, and communicates climate-related information through an integrated climate change monitoring system (US\$ 904,447)

**Output 1. An integrated climate change monitoring system for improved climate-related data access and information management is established at UGMCC and available to stakeholders planning, implementing, monitoring, and reporting climate action**

127. Output 1 included four activities and five deliverables, as listed in Table 11.

Table 11. Output 1. Activities and deliverables

| Activities                                                                                                                                                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A.1.1. Define the technical, organisational and administrative elements associated with the establishment and operation of UGMCC and ONCC-DS as a centralized monitoring system for improved data access and information management established in the UGMCC |
| A.1.2. Define and develop the legal framework for a permanent operation of UGMCC and ONCC-DS as a centralized monitoring system for improved data access and information management                                                                          |
| A.1.3. Create a roadmap for the further development of the transparency system                                                                                                                                                                               |
| A.1.4. <i>Support the development of the REDD+ safeguards information system of Honduras<sup>44</sup></i>                                                                                                                                                    |
| Deliverables                                                                                                                                                                                                                                                 |
| D.1. Strategy for the implementation of a Climate Transparency System. Section: Technical, organizational and administrative structure proposed for the UGMCC and ONCC-DS                                                                                    |
| D.2. Legal analysis by local consultants of the necessary legal framework to operationalize the UGMCC and ONCC-DS                                                                                                                                            |
| D.3. Proposal of an alternative legal framework                                                                                                                                                                                                              |
| D.4. Strategy for the implementation of a Climate Transparency System. Section: future role of the UGMCC and ONCC-DS in a formal MRV system and roadmap for its implementation                                                                               |

<sup>44</sup> This activity was added during the last meeting of the PSC on 18 October 2024 to allocate unused grant-resources following the decision not to pursue activities for the implementation of the ONCC-DS.

128. The main expected result under Output 1 of the CBIT-HN Project was the design and implementation of an operational ICCMS. The implementation and operation of the ICCMS was to be supported by a revised legal framework. Also, the development and operation of the ICCMS was going to be enabled by strengthened institutional arrangements. These aspects of the development and implementation of the ICCMS are discussed in the following paragraphs.
129. ICCMS design. The design of the ICCMS followed a two-step process. An initial conceptual design was to be delivered by an international firm responsible for a key project deliverable in the ProDoc: a strategy for the implementation of a climate transparency system. In the ProDoc, the strategy for the implementation of the ICCMS was a central product, which was expected to set the stage for the delivery of the main results of the CBIT-HN Project, including the ICCMS, climate indicators (output 2), a financial mechanism for the operation of the ICCMS (output 3), and capacity-building activities delivered to users of the ICCMS (output 5). This deliverable was expected to have five components:
- i. Definition of the technical configuration of the ICCMS;
  - ii. Definition of the institutional arrangements for the operation of the ICCMS;
  - iii. Definition of a financing strategy for the operation of the ICCMS;
  - iv. Definition of climate indicators and a methodology to track them using the ICCMS; and,
  - v. Elaboration of training materials and delivery of training sessions to users of the ICCMS.
130. At project start, UNEP's Copenhagen Climate Centre was tasked with the elaboration of the strategy for the implementation of the ICCMS. Following the withdrawal of the Centre from the Project, a contract for the elaboration of the strategy was awarded to a consortium of consultants. The contract, however, was only awarded in March 2023, two years after project start. Considering the critical importance of this strategy to the Project's objectives and given the large number of dependent activities that required the strategy as an input, this TR concluded that the delay in commissioning the elaboration of the strategy was a severe shortcoming during the implementation of the CBIT-HN Project.
131. An initial concept for the ICCMS was delivered in February 2024<sup>45</sup>, 11 months after contract award and five months after the deadline set in the consortium's work plan.<sup>46</sup> This concept was not the design of the ICCMS *per se*, but was limited to providing inputs to that design.<sup>47</sup> Instead, the concept delineated the main modules that the ICCMS was expected to include.
132. This TR noted that the concept was produced almost three years after project start, and the contents were unpolished and insufficient to lead to a high-quality design and implementation of the ICCMS. At the concept stage, the key outputs from the design process were to focus on the definition of the expected functionalities of the system and the identification of information flows. However, these aspects of the concept demonstrate very substantial shortcomings. For example, the concept for the module for

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<sup>45</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Tercer Informe de Avance (3IA). Propuesta de estructura del Sistema de Transparencia Climática en Honduras. February 2024.*

<sup>46</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Tercer Informe de Avance (3IA). Propuesta de estructura del Sistema de Transparencia Climática en Honduras. February 2024.*

<sup>47</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Tercer Informe de Avance (3IA). Propuesta de estructura del Sistema de Transparencia Climática en Honduras. February 2024. p. 1.*

GHG inventories did not refer to the guidelines and software by the Intergovernmental Panel on Climate Change (IPCC) which must have provided the basis for the design of that module.<sup>48</sup>

133. Often, the concept's definition of inputs and outputs misunderstood the underlying processes. For example, the outputs for the module on Article 6.4. of the Paris Agreement are defined as certified emission reductions (CERs) for different sectors in Honduras.<sup>49</sup> However, under the rules, modalities and procedures for the mechanisms under Article 6.4., host countries will not issue units, and the units are called A6.4ERs not CERs (i.e., the units issued under the clean development mechanism (CDM) of the Kyoto Protocol, now being phased out). Instead, the key role of the ICCMS under the Article 6 mechanisms should be to account for the *corresponding adjustments* required by the accounting rules under the Paris Agreement. Still, corresponding adjustments are not mentioned in the document. This misunderstanding of carbon market concepts in the conceptual design of the ICCMS is notable since the CBIT-HN Project had already participated in the elaboration of a report with recommendations for the incorporation of carbon markets in the implementation of the information systems for the ETF in Honduras and other countries in Latin America.<sup>50</sup> That report discussed the transition from the CDM to the mechanisms under Article 6 of the Paris Agreement, including the concept of corresponding adjustments.<sup>51</sup> The substantial deficiencies in the design of the carbon markets module if the ICCMS suggest that the inputs produced in 2022 were not incorporated into the concept delivered in 2024.
134. Interviews with project stakeholders confirmed the shortcomings and tardiness of the products elaborated by the consortium. According to stakeholders, most of the products had to be redone by the project team, SERNA's staff and other consultants provided by the CBIT-HN Project and other initiatives. Most notably, the configuration of the ICCMS' modules, a basic design input, had to be reworked several times and had to be finalised with the support of a consulting firm hired under the GEF-supported project for the elaboration of Honduras' NC4, BTR1 and BTR2 (GEF ID 11312).
135. Activities implemented by LACO produced a roadmap for the implementation of the module on GHG inventories. The roadmap, completed in November 2024, describes a two-year schedule for designing and implementing this module, with a deadline in 2027 for the delivery of the module.<sup>52</sup> The time estimated for the development of this module seem incompatible with the overall plans for the implementation of the ICCMS. Interviews with LACO and the project team did not clarify how this roadmap fits the plans for the implementation of the ICCMS.
136. At its fourth meeting in October 2024, the PSC approved a new activity to make use of unspent resources under the CBIT-HN Project. The activity was to support the development of the REDD+ safeguards module of the ICCMS. A contract was awarded for the delivery of the activity but, sadly, the consultant passed away, and the activity was suspended.
137. Institutional arrangements. The institutional arrangements for the ICCMS proposed in the ProDoc included responsibilities to be shared between UGMCC and the ONCC-DS. The latter was expected to be created with support from the CBIT-HN Project and hosted by UNAH-CURLA. However, internal disagreements within UNAH, and between UNAH and SERNA prevented the creation and implementation of the ONCC-DS to support the

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<sup>48</sup> op. cit. pp. 8 - 12.

<sup>49</sup> op. cit. p. 32.

<sup>50</sup> ANSELMO, E. Sistema Integrado de Transparencia: Implementación Transparente del Artículo 6 del Acuerdo de París. 2022.

<sup>51</sup> op. cit. p. 29 - 31.

<sup>52</sup> PULIDO, A. *Hoja de ruta para el Sistema Nacional de Inventario de Emisiones y Remociones de Gases de Efecto Invernadero (SINGE) de Honduras*. November 2024

operation of the ICCMS.<sup>53</sup> Interviews with project stakeholders indicated that the scope of the administrative and financial challenges for implementing the ONCC-DS under the leadership of UNAH was most likely underestimated at project design, and these challenges could not be overcome during project implementation. Moreover, interviewees indicated that the discussions for creating the ONCC-DS were initiated late in the Project's lifetime (i.e., 2023), leaving comparatively little time to address these challenges.

138. The strategy for implementing the ICCMS was expected to elaborate the institutional arrangements for the operation of the ICCMS. However, the report by the consortium included only a short (1.5-page) discussion of UGMCC's proposed responsibilities and staffing needs and did not discuss the potential roles for ONCC-DS or the challenges that prevented their participation in implementing ICCMS. The report does not discuss the administrative and financial challenges impeding the establishment of the ONCC-DS and UGMCC.<sup>54</sup>
139. According to the Project's design and strategy, the creation and operation of ONCC-DS were key to improving the quality, transparency, sustainability and stakeholder participation of Honduras' climate monitoring and reporting efforts. Not delivering the expected results related to the implementation of the ONCC-DS is a significant shortcoming by the CBIT-HN Project.
140. The CBIT-HN Project was expected to support the establishment of UGMCC, a unit within SERNA to be responsible for climate transparency functions. The draft climate change law and regulations prepared with support from the Project (see paragraphs 145 and 146) include provisions for the creation and operation of UGMCC but, since the law had not been adopted, the unit was not established within the project's implementation period.
141. Legal framework. The analysis of the legal framework and elaboration of a legal and regulatory proposal was carried out as a set of activities separate from the definition of the strategy discussed above. The contract for the delivery of these products was awarded in October 2022.<sup>55</sup>
142. Results by the CBIT-HN Project included an analysis of the country's Honduras climate legal framework (including Honduras' climate change Law (Decree 297 of 2013), climate-related policies—including the country's climate change strategy (i.e., *Estrategia Nacional de Cambio Climático*) and National Adaptation Plan (NAP)—, and sectoral regulations, policies and plans. The analysis included an overview of the existing institutional framework for climate action planning and implementation in Honduras.<sup>56</sup> The analysis concluded with recommendations to revise and update Decree 297 of 2013.
143. The deliverables under output 1 also included a roadmap for reviewing approximately 20 different policies, laws, and regulations from several sectors to mainstream climate change. While the objective of mainstreaming climate change in sectoral policies and regulations is worthwhile, the scope of that analysis seems to have diverged from the

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<sup>53</sup> HYPR 2024, p. 5.

<sup>54</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. Chapter 6, pp. 46 – 47.

<sup>55</sup> Minutes of fourth meeting of the PSC, 8 December 2022.

<sup>56</sup> FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. *Producto 1. Diagnóstico inicial de la normativa nacional e internacional vigente sobre cambio climático, mapeo de actores en base al marco legal y sistemas de información nacionales y regionales, existentes en materia de cambio climático.*

objective of the CBIT-HN Project, which was to focus on Honduras's implementation of the ETF.<sup>57</sup>

144. The CBIT-HN Project produced a draft climate change law<sup>58</sup> and regulations.<sup>59</sup> The scope of the draft law is broader than ETF-related issues and encompasses all aspects of Honduras' climate action planning, coordination, financing, implementation, monitoring and reporting. Title III of the draft sets the provisions for climate monitoring and establishes the ICCMS (i.e., *Sistema Nacional de Monitoreo de Cambio Climático*) and a climate change observatory (i.e., *Observatorio Nacional de Cambio Climático*). In its Article 48, the draft law states that the creation and operation of the observatory would be detailed in a separate regulation. The draft law was validated with stakeholders from the public and private sector, academia and civil society in seven workshops in April/May 2024 with 176 participants.<sup>60</sup> Stakeholders interviewed for this TR highlighted the relevance of this draft proposal and confirmed their participation in the discussion and validation of the draft.
145. The Project supported the elaboration of the draft regulations for the operation of the ICCMS. In Article 17, the draft regulations discuss a climate change observatory hosted by UNAH that would support the operation of the ICCMS. The draft regulations reflect some of the shortcomings in the concept for the ICCMS (see paragraphs 129 – 133), such as establishing in Article 45 the requirement for SERNA to create a CERs registry, which is unfeasible and unnecessary (see discussion in paragraph 133).<sup>61</sup>
146. The draft regulations of the climate change law task DNCC with climate-related monitoring and reporting, including the elaboration of GHG inventories and climate action reports for submission to UNFCCC (Articles 12, 34 and 35 of the draft regulations). The draft regulations list UGMCC as a department within DNCC.<sup>62</sup>
147. Stakeholders interviewed for this TR acknowledged the importance of the draft regulations developed with support from the CBIT-HN Project. Interviewees confirmed that their organizations participated in the discussion and validation of the proposal and reported that the results are relevant to their organizations.
148. By the time of drafting of this TR report, the draft law and regulations were under consideration by the Honduran Congress. The finalization of these proposals was a significant result by the CBIT-HN Project, and the adoption of the law and regulation would signify a major contribution by the Project to climate action in Honduras.
149. Results under Output 1 present a mix of successes and failures. The draft climate change law and regulations are a significant achievement by the Project that, if adopted, would contribute to Honduras' climate policy objectives beyond the transparency role of the ICCMS. On the other hand, the process to design the ICCMS, which relied heavily on the delivery of the strategy for the implementation of the system (see paragraph 129), must be considered a failure. The inputs needed for developing the software solutions were so late and of poor quality that they had to be reworked with support from external resources (i.e., collaboration with the GEF-financed project to elaborate CN4, BTR1, and BTR2).

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<sup>57</sup> GLOBAL WATER PARTNERSHIP. *Producto 5. Hoja ruta para el desarrollo e implementación de un marco normativo y de regulación complementario, coherente, armonizado y robusto en materia de cambio climático*. June 2024.

<sup>58</sup> N.A. *Propuesta de Ley de Cambio Climático – Honduras*. n.d.

<sup>59</sup> FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. *Propuesta de Reglamento de la Ley de Cambio Climático – Honduras*. June 2024.

<sup>60</sup> GLOBAL WATER PARTNERSHIP. *Informe Final de Consultoría. CS/OCP/CBIT/026-2022 Análisis jurídico para elaborar una propuesta actualizada sobre el marco normativo nacional y el establecimiento de un sistema de monitoreo en cambio climático de Honduras*. June 2024.

<sup>61</sup> FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. *Reglamento del Sistema Nacional de Monitoreo del Cambio Climático (SNMCC)*. March 2024.

<sup>62</sup> FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. *Propuesta de Reglamento de la Ley de Cambio Climático – Honduras*. June 2024.



Likewise, the institutional arrangements for the operation of the ICCMS, were not implemented as proposed in the ProDoc, in part again due to poor input from the strategy.

150. By the end of this TR, some of the ICCMS modules had been delivered by the software developer (see paragraphs 170 – 175). However, the Project had little time to test these modules, provide training to their respective users and ensure a smooth handover. In September 2025, SERNA signed a commitment to finalise and launch the ICCMS by the end of the year and to implement the required upgrades to ensure the correct operation of the system.<sup>63</sup>
151. Based on the evidence and discussions presented in paragraphs 127 – 150, **the rating for the availability of Output 1 is Moderately Satisfactory.**

**Output 2. A set of country-specific indicators for monitoring climate change is made available to stakeholders planning, implementing, monitoring, and reporting climate action**

152. Output 2 included four activities and four deliverables, as listed in Table 12.

Table 12. Output 2. Activities and deliverables

| Activities                                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A.2.1. Realize a set of activities to raise awareness among stakeholders of the benefits of a national MRV/M&E system, with precise and timely inputs from all data providers. |
| A.2.2. Validate a set of indicators for mitigation, adaptation and cross-sectoral themes                                                                                       |
| A.2.3. Generate guidelines for applying the set of indicators for mitigation, adaptation and cross sectoral themes                                                             |
| A.2.4. Provide training and guidance on how to interpret the information associated with the set of indicators and how to use the information to support decision-making       |
| Deliverables                                                                                                                                                                   |
| D.5. Reports with results of workshops from activity 2.1 on stakeholder input                                                                                                  |
| D.6. Strategy for the implementation of a Climate Transparency System. Section: Indicators for mitigation, adaptation and cross-sectoral themes                                |
| D.7. Strategy for the implementation of a Climate Transparency System. Section: Methodological guidelines for the application of the proposed indicators                       |
| D.8. Strategy for the implementation of a Climate Transparency System. Annex: Tools and trainings in the tools developed. Lessons and conclusions from the training sessions   |

153. The PMU prepared a first draft of country-specific climate-related indicators in 2022. That set was elaborated with input from stakeholders during a workshop with 40 participants.<sup>64</sup> The work on these indicators was resumed in 2024 by the consortium developing the strategy for the implementation of the ICCMS (paragraphs 129 - 130).
154. The definition of country-specific climate-related indicators was informed by a partial identification and prioritisation of climate mitigation and adaptation actions in Honduras. The measures in some sectors (e.g., industry and forestry) were not assessed due to a lack of information and/or participation by sectoral stakeholders. The measures prioritised included those listed in the NDC of Honduras and additional measures identified by stakeholders in a workshop held in January 2024. Participants in the workshop to discuss adaptation measures were not familiarised with the measures included in the NDC and no information on these measures was available. Key stakeholders did not participate in the activities for the assessment of adaptation

<sup>63</sup> SERNA. *Acta de Compromiso*. 19 September 2025.

<sup>64</sup> SERNA. *Logros del Proyecto CBIT 2022*. n.d.

measures.<sup>65</sup> The Project prioritised 27 mitigation measures (50% in the waste sector) and 14 adaptation measures. This TR noted the comparatively large number of mitigation measures in the waste sector (14), compared to zero for both the land-use and forestry, and the industrial processes and product use (IPPU) category.<sup>66</sup>

155. The CBIT-HN Project supported the development and validation of a set of 98 indicators to track climate mitigation, adaptation, and finance in Honduras (deliverable 6)<sup>67</sup>. These indicators are also included in chapter 9 of the strategy for implementing ICCMS.<sup>68</sup> The set of indicators, however, would have benefited from an additional expert review to ensure their relevance, feasibility and overall quality. In many cases, key details needed to track the indicators are missing (e.g., sources of information), or the definitions of indicators are ambiguous and lack explanatory notes.<sup>69</sup> Indicator 55, for example, was defined as the amount of solid waste disposed, but it most likely should refer to the waste disposed *in the landfill of the capital, Tegucigalpa*.<sup>70</sup> Also, the relevance and feasibility of some indicators are questionable. For example, indicator 37 seeks to track the number of low-carbon public transport vehicles operated by women. Still, the document provides no definition of what low-carbon vehicles are or identifies the source of information for female-operated vehicles. The set of indicators does not include another indicator to track the total number of “low-carbon vehicles” that would be necessary to better understand the information conveyed by indicator 37.<sup>71</sup>
156. The methodology for the calculation of some indicators was also incorrect (e.g., methodology and target for indicator 52 on GHG emissions from an initiative on low-carbon coffee production).<sup>72</sup> Some indicators refer to measures that were reported as abandoned and were not prioritised (e.g., the coffee-related initiative tracked by indicator 52 was reported as “abandoned” and was not prioritised).<sup>73</sup> In a few cases, indicators are repeated (e.g., both indicators 15 and 44 measure the fraction of electricity generated with renewable sources of energy).<sup>74</sup> The strategy for the implementation of the ICCMS recommends tracking these indicators using an Excel spreadsheet, which

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<sup>65</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Segundo Informe de Avance (2IA). Informe de priorización de las medidas, acciones, sistemas y sectores que conforman el sistema y su mecanismo de monitoreo, revisado y aprobado. February 2024.* p. 61.

<sup>66</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Segundo Informe de Avance (2IA). Informe de priorización de las medidas, acciones, sistemas y sectores que conforman el sistema y su mecanismo de monitoreo, revisado y aprobado. February 2024.*

<sup>67</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Cuarto Informe de Avance (4IA). Catálogo de indicadores de cambio climático. February 2024.*

<sup>68</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. Chapter 9, pp. 86 – 274.*

<sup>69</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. Chapter 9, pp. 86 - 267.*

<sup>70</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 180.*

<sup>71</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 103, 158.*

<sup>72</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 174.*

<sup>73</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Segundo Informe de Avance (2IA). Informe de priorización de las medidas, acciones, sistemas y sectores que conforman el sistema y su mecanismo de monitoreo, revisado y aprobado. February 2024.* p. 41.

<sup>74</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 144.*

seems to contradict the purpose of developing an information system.<sup>75</sup> The indicators were developed in collaboration with an initiative financed by the NDC Partnership.

157. Stakeholders interviewed for this TR had mixed views of the indicators and the elaboration process. Some confirmed that they had participated in the process, while others did not recall having participated. A few indicated that the indicators were useful to the roles of their respective organisations, but others had a more critical view of their quality and relevance.
158. In summary, while the CBIT-HN Project did produce a set of climate-related indicators, their quality had shortcomings. During the development of the ICCMS software solution, those indicators were revised and, in many cases, replaced altogether.
159. **The rating for the availability of Output 2 is Moderately Satisfactory.**

**Output 3. A mechanism for financing the operation of the integrated climate change monitoring system is submitted to SERNA for approval**

160. Output 3 included three activities and three deliverables, as listed in Table 13.

Table 13. Output 3. Activities and deliverables

| Activities                                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A.3.1. Develop a transition roadmap between International Funds and National budget for financing the operation of the Integrated Monitoring System of Climate Change on a regular basis |
| A.3.2. Identify additional financial opportunities for the ONCC-DS                                                                                                                       |
| A.3.3. Define and implement an overall (national) financial mechanism                                                                                                                    |
| Deliverables                                                                                                                                                                             |
| D.9. Strategy for the implementation of a Climate Transparency System. Section: Transition roadmap for the financing of the UGMCC and ONCC-DS from international to national finance     |
| D.10. Strategy for the implementation of a Climate Transparency System. Annex: Identified additional financial opportunities for the ICCMS                                               |
| D.11. Proposal for an agreement between MiAmbiente <sup>76</sup> and Secretariat of Finance regarding the funding of the ICCMS                                                           |

161. The Project prepared a deliverable that discussed, in general terms, the purpose and process needed to define a strategy for financing the ICCMS' operation. However, the actual financial strategy for sustaining the ICCMS was not included as part of that deliverable. The deliverable included a high-level estimate of the financial needs to operate the system. However, the estimate included only the cost of the personnel under UGMCC and not the personnel from other dependencies within SERNA or other entities contributing to the operation of the ICCMS (e.g., SAG, SEN, SEFIN, ICF, ONCC-DS, etc).<sup>77</sup> A roadmap for establishing a financial mechanism defined the generic actions for designing the mechanism, but did not provide the design for such a mechanism.<sup>78</sup> The roadmap did not identify the financial needs and gaps for ensuring the financial sustainability of the ICCMS, but instead listed the steps needed to assess them.<sup>79</sup> The

<sup>75</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 274.*

<sup>76</sup> MiAmbiente is an acronym formerly used to refer to SERNA.

<sup>77</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Sexto Informe de Avance (6IA). Estrategia para la Implementación de un Sistema de Transparencia Climática en Honduras. April 2024. p. 144.*

<sup>78</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Quinto Informe de Avance (5IA). Hoja de ruta de mecanismo de financiamiento del sistema. April 2024. p. 3.*

<sup>79</sup> op.cit., pp. 9 – 10.

report on the financial strategy also had shortcomings in the quality of the recommendations. For example, the report recommends raising funds from selling information generated by the ICCMS, but stakeholders interviewed for this TR confirmed that such a practice would not be allowed by the laws regulating SERNA and other public sector entities in Honduras.

162. A draft agreement between SERNA and SEFIN to allocate public funding for the operation of the ICCMS has been presented to both entities for their consideration. However, it had not yet been approved or adopted at the time of writing this report.
163. The CBIT-HN Project did not deliver a strategy to finance the ICCMS and the ONCC-DS operations. Instead, the strategy for implementing the ICCMS only detailed the steps to produce such a strategy. The lack of such a strategy undermines the financial sustainability of the Project results, as the main questions regarding funding for the ICCMS and ONCC-DS remain unanswered.
164. **The rating for the availability of Output 3 is Unsatisfactory.**

**Output 4. Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data developed between UGMCC and ONCC-DS**

165. Output 4 included two activities and four deliverables, as listed in Table 14.

Table 14. Output 4. Activities and deliverables

| Activities                                                                                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A.4.1. Define operating guidelines and protocols for the permanent preparation of National Reports and Documents of Honduras                                                 |
| A.4.2. Define IT Requirements for the data collection, reporting, monitoring and verifying of climate change data, and the establishment of the web-based platform for UGMCC |
| Deliverables                                                                                                                                                                 |
| D.12. Report with operating guidelines and protocols for the data flow between identified actors in Honduras developed and ready for implementation                          |
| D.13. Design and development of the UGMCCs platform                                                                                                                          |
| D.14. Procure the necessary soft and hardware for the platform                                                                                                               |
| D.15. UGMCCs platform established and operational.                                                                                                                           |

166. The CBIT-HN Project elaborated procedures and guidelines for managing the data required to operate the ICCMS.<sup>80</sup> In many cases, the definition of the data to be managed by the ICCMS seems inadequate to meet the requirements of the ETF. For example, the data required under the module for the GHG inventory is defined as the total emissions under each of the main categories (i.e., energy, IPPU, agriculture, land use, land-use change and forestry, and waste), when in fact the module should be able to collect and process hundreds of data points required for reporting the GHG inventory. There is also a significant mismatch between the data requirements delineated in the concept, strategy and indicators proposed for the ICCMS (outputs 1 and 2) and those discussed in the procedures and guidelines produced under output 4. This mismatch is explained by the need to redo most of the work under outputs 1 and 2, with support from resources available from the project to elaborate CN4, BTR1, and BTR2.
167. Some inaccuracies from the conceptual design of the ICCMS (output 1) were carried over to the data management procedures. For example, the procedures and guidelines specify that the module on carbon markets will track transactions under the CDM of the Kyoto

<sup>80</sup> CLICKIT!. *Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC). Documento de Directrices y Protocolos para la Recopilación y Transferencia de Información de Cambio Climático.* February 2025.

Protocol. However, as discussed in paragraph 133, the CDM is being phased out and new mechanisms under Article 6 of the Paris Agreement have emerged. These inaccuracies were in part responsible for the Project not delivering some of the modules of the ICCMS, including one on carbon markets and cooperative mechanisms (see paragraphs 133 – 172).

168. The investment cost in hardware and software required for the ICCMS was estimated at US\$250,000, more than ten times the cost estimated at project design. Alternatively, the CBIT-HN Project considered the option of remote hosting the ICCMS (i.e., cloud computing).<sup>81</sup> Stakeholders interviewed for this TR confirmed that entities of the Government of Honduras are authorised to host official information with external data hosting providers, and that this is the option to be pursued by SERNA for the ICCMS.
169. Since SERNA and UNAH could not agree on the participation of the ONCC-DS in the operation of the ICCMS, the design of the system foresees only the participation of SERNA –through the UGMCC– in the operation of the system.
170. ICCMS implementation. The contract for developing the ICCMS' software solution was awarded to an IT development firm in June 2024. The procurement process was challenged by the lack of qualified firms answering the request for proposals.<sup>82</sup>
171. The development of the software for the ICCMS was slowed and challenged by the poor quality of the inputs produced by the consortium discussed in paragraph 130, above. However, the effective collaboration with the project for the elaboration of Honduras' NC4, BTR1 and BTR2 allowed the IT firm to move forward and deliver a functional IT solution for the ICCMS, which is available under which are available under: <<https://snmcc.serna.gob.hn>>. The IT solution delivered includes the following modules of the ICCMS:
  - a. MRV of mitigation actions,
  - b. M&E of adaptation actions,
  - c. Climate change scenarios, vulnerability, and impacts,
  - d. Economic losses and damage,
  - e. Projections of GHG emissions,
  - f. Climate policies and plans,
  - g. Environmental and social safeguards,
  - h. Reporting (NDCs, NCs, BTRs),
  - i. Knowledge management.<sup>83</sup>
172. The following modules of the ICCMS were planned but had not been delivered by the time of the MTR and will most likely have to be resumed under a follow-up initiative:
  - a. GHG inventories,
  - b. Non-economic losses and damage,
  - c. Carbon markets and cooperative mechanisms (Article 6 of the Paris Agreement and voluntary carbon markets),
  - d. Human rights and social inclusion,
  - e. Climate change and transparency-related training and courses.

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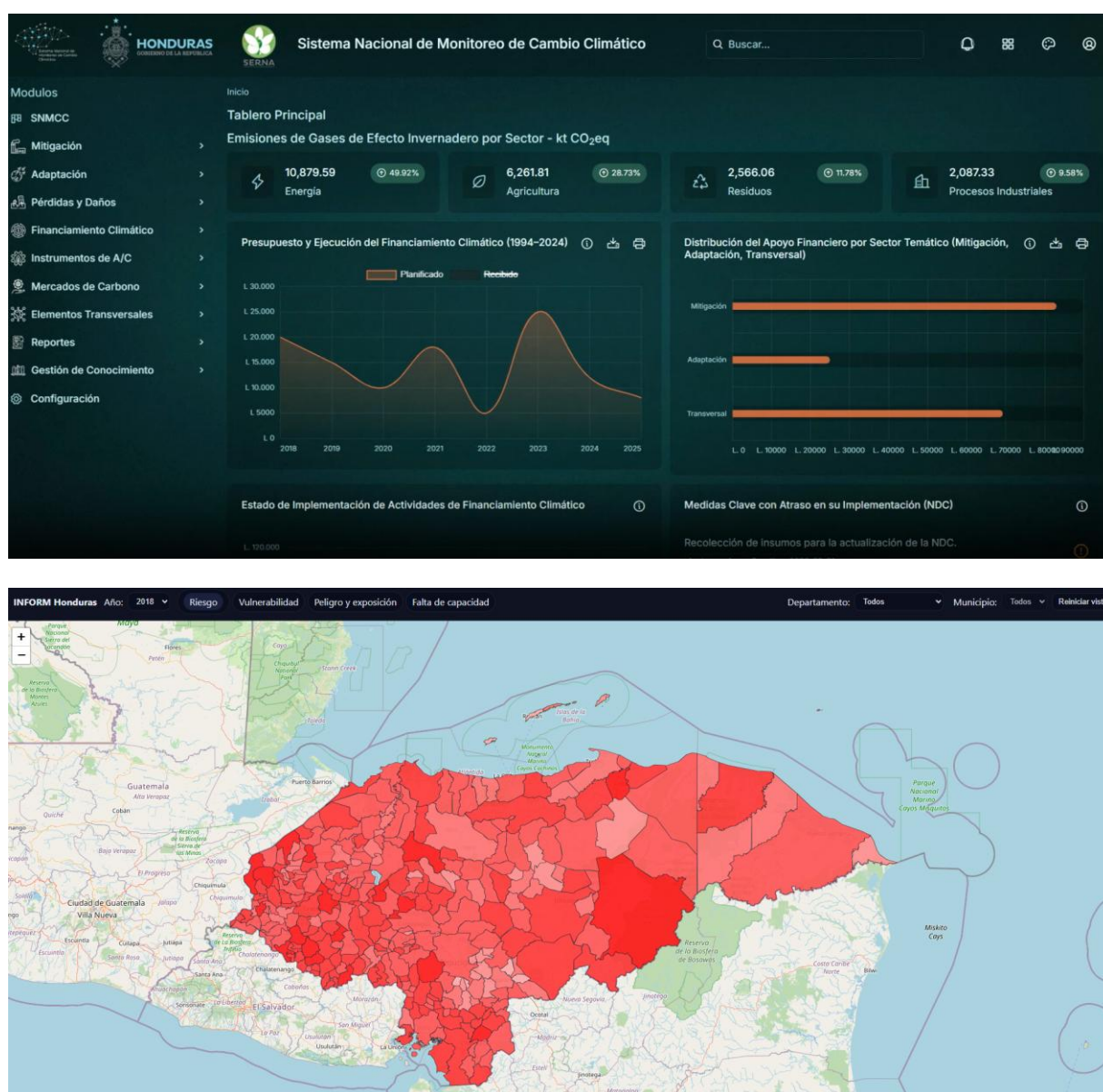
<sup>81</sup> CLICKIT!. *Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC)*. Presupuesto de Adquisiciones de Tecnologías de Información. December 2024.

<sup>82</sup> Minutes of fourth meeting of the PSC, 24 September 2024.

<sup>83</sup> This assessment is based on interviews with project stakeholders, and the actual system was not available for review.



Figure 4. Examples of ICCMS user interfaces



Source: SERNA. *Sistema Nacional de Monitoreo de Cambio Climático*. Online platform. Available under <<https://snmcc.serna.gob.hn/>>.

173. The CBIT HN Project produced a manual of users of the ICCMS. The manual, however, only covers some of the modules and submodules of the system (i.e., GHG inventory, economic losses and damages, and climate policy documents).<sup>84</sup> A second manual contains instructions for the system's administrators at SERNA. Likewise, the administrators' manual covers only the modules and submodules detailed in the user manual.<sup>85</sup> A third manual, addresses issues related to the maintenance, backup and security of the system.<sup>86</sup> Yet, no proper documentation of the system describing the structure, databases, and functionalities of the system was available for this TR. Given that the ICCMS is not a fully operational system, not having the complete system's documentation, could hinder the testing and commissioning of the system.

<sup>84</sup> SERNA. *Manual de Usuario. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático*. n.d.

<sup>85</sup> SERNA. *Manual de Usuario Privado. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático*. n.d.

<sup>86</sup> SERNA. *Manual Técnico. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático*. n.d.

174. Results under output 4 required the results from outputs 1 and 2 as inputs. Despite the shortcomings in the quality and timeliness of those inputs, the Project was able to produce the main results under output 4 thanks to effective project management and collaboration with other initiatives. Still, the failure to implement the ONCC-DS remains a notable shortcoming in the Project results, including under output 4.

175. **The rating for the availability of Output 4 is Moderately Satisfactory.**

**Output 5. A formal program to strengthen capacities and exchange information is designed and delivered to stakeholders planning, implementing, monitoring, and reporting climate action**

176. Output 5 included four activities and four deliverables, as listed in Table 15.

Table 15. Output 5. Activities and deliverables

| Activities                                                                                                                                                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A.5.1. Identify gaps and training needs for compliance with climate change commitments of Honduras and define a training programme for Government officials involved and other stakeholders of the country |
| A.5.2. Define and prepare the materials for implementing the training programme                                                                                                                            |
| A.5.3. Create an online platform for continuous management of the training program, and as a portal for the public to access information                                                                   |
| A.5.4. Execute training programs on transparency                                                                                                                                                           |
| Deliverables                                                                                                                                                                                               |
| D.16. Document with a training programme defined for Government officials and other national stakeholders concerned with compliance of climate change commitments                                          |
| D.17. Document containing training materials developed for the training programme                                                                                                                          |
| D.18. Online platform established which allows for the capacity building of identified target groups                                                                                                       |
| D.19. Annual reports of the progress of the training programmes.                                                                                                                                           |

177. The consortium tasked with the development of the strategy for the implementation of the ICCMS (see paragraphs 129 – 130) was also expected to design and deliver a capacity-building programme. The consortium proposed a programme that included 13 training modules. Most modules covered generic climate change topics (e.g., climate science, climate change mitigation and adaptation, etc.), but only a few focused on ETF-related subjects. The training program was delivered during only one training workshop held on 19 January 2024 (no details on the participants were included in the report).<sup>87</sup> Stakeholders interviewed for this TR indicated that the program did not meet expectations and had to be complemented by additional activities.

178. Through activities implemented with support from UNEP's LACO, the CBIT-HN Project planned and delivered training on elaborating GHG inventories. The training was designed based on the identification of stakeholders with responsibilities for GHG inventories and an assessment of their capacity development needs. Trainings were delivered during one virtual session over two days (October 17 – 18, 33 participants), and one in-person session during October 22 – 25 (15 participants on average).<sup>88, 89</sup>

<sup>87</sup> KAIROS CLIMATE IMPULSE, CLIMATE CHANGE ATELIER. *Elaboración de una Estrategia para el Desarrollo e Implementación de un Sistema para la Transparencia Climática en Honduras. Informe Final. Informe final de sistematización del proceso de construcción de la estrategia y plan de fortalecimiento de capacidades*. May 2024.

<sup>88</sup> PULIDO. A. *Plan de Fortalecimiento de Capacidades para el Inventario Nacional de Emisiones y Remociones de Gases de Efecto Invernadero (INGEI) para Honduras*. Producto 1. Plan de desarrollo de capacidades para el INGEI. September 2024.

<sup>89</sup> PULIDO. A. *Plan de Fortalecimiento de Capacidades para el Inventario Nacional de Emisiones y Remociones de Gases de Efecto Invernadero (INGEI) para Honduras*. Producto. Materiales de entrenamiento para la elaboración de inventarios y para el desarrollo del sistema nacional de inventarios. November 2024

Participants included representatives from the Tropical Agricultural Research and Higher Education Center (CATIE), ICF, SAG, SEN, SERNA, Secretariat of Strategic Planning (SEP), the Municipality of Tegucigalpa, and the national power utility. LACO also facilitated four training sessions aimed at improving national capacities for tracking progress in the implementation of Honduras' NDC. These sessions were delivered in November 2024. Lastly, LACO facilitated training on monitoring of climate mitigation and adaptation actions. The training included three sessions on 21 November (one virtual session) and 5 – 6 December 2024 (two in-person sessions). These sessions included 8 participants, all from SERNA.<sup>90</sup>

179. Stakeholders interviewed for this TR confirmed their participation in these sessions and indicated that the training was relevant and useful to their climate-reporting responsibilities.
180. During 14 and 16 May 2025, the CBIT-HN Project delivered training sessions to staff DNCC and the SERNA's IT department. 11 staff members of SERNA participated in the May 14 session, no list of participants for the following session was available for this TR.<sup>91</sup> By the end of this TR, staff from entities other than SERNA (e.g., SAG, SEN, etc.) had not participated in similar training sessions to learn about the operation of the ICCMS. However, SERNA and the IT company that developed the system entered into an agreement to provide maintenance to the ICCMS for a six-month period. According to stakeholders interviewed for this TR, the IT company is expected to provide training to staff from entities that are intended users of the ICCMS.
181. While the trainings facilitated by LACO were deemed useful by participants, it must be noted that, in the ProDoc, trainings under output 5 were expected to be delivered through an online training platform linked to the ICCMS. The platform was expected to host online courses to be delivered three times per year. Also, in-person training was expected to take place three times per year (one in Tegucigalpa and two in other locations in Honduras).<sup>92</sup> As discussed above, the module of the ICCMS that will host online courses was not finalized and the number of trainings fell below the expectations set in the ProDoc.
182. **The rating for the availability of Output 5 is Moderately Unsatisfactory.**
183. In summary, ratings for the availability of Outputs are as follows:
  - Output 1: Moderately Satisfactory (4)
  - Output 2: Moderately Satisfactory (4)
  - Output 3: Unsatisfactory (2)
  - Output 4: Moderately Satisfactory (4)
  - Output 5: Moderately Unsatisfactory (3)
184. The average of the ratings for individual Outputs is 3.4. Therefore, **the rating for the availability of Outputs is: Moderately Unsatisfactory.**

### Achievement of Project Outcomes

185. The CBIT-HN Project included four indicators to track progress toward achieving the sole project outcome (i.e., indicators B–F in the PRF). These indicators, including their baselines and targets, are listed in Table 16.

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<sup>90</sup> ALVITES, R. Producto No. 3 Paquete de material de capacitación y lista de participantes de las sesiones de capacitación realizadas para mejorar la capacidad de las partes interesadas pertinentes para recopilar información y utilizar formularios de medidas de adaptación y mitigación. n.d.

<sup>91</sup> CLICKIT!. *Sistematización de los Talleres de Capacitación de la Plataforma del SNMCC*. May 2025.

<sup>92</sup> ProDoc, p. 30.



Table 16. Outcomes, outcome indicators and targets

| Indicator                                                                                                                                         | Baseline and target           |
|---------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|
| B. Improvement in the quality of MRV system based on GEF score 1 to 10 as per Annex III of CBIT programming directions                            | Baseline: 2<br>Target: 6      |
| C. Improvement in the quality of Monitoring & Evaluation of Adaptation Measures                                                                   | Baseline: 1<br>Target: 6      |
| D. Improvement in the quality of institutional capacity for transparency based on GEF score 1 to 4 as per Annex IV of CBIT programming directions | Baseline: 1<br>Target: 3      |
| E. % of specialized trained staff who declare to be in a better position to implement MRV systems (gender disaggregated)                          | Baseline: N.A.<br>Target: 70% |
| F. Resources identified to sustain operations post project                                                                                        | Baseline: No<br>Target: Yes   |

Indicator B. Improvement in the quality of MRV system based on GEF score 1 to 10 as per Annex III of CBIT programming directions

186. Indicator B is taken from the GCF Tracking Tool for CBIT-supported projects. The indicator is measured against a ten-point scale that qualifies the level of development of MRV practices within a country:

1. Very little measurement is done, reporting is partial and irregular and verification is not there
2. Measurement systems are in place but data is of poor quality and/or methodologies are not very robust; reporting is done only on request or to limited audience or partially; verification is not there
3. Measurement systems are in place for a few activities, improved data quality and methodologies, but not cost or time efficient; wider access to reporting is still limited and information is partial; verification is rudimentary/non-standardized
4. Measurement systems are strong in a limited set of activities however, analyses still needs improvement; periodic monitoring and reporting although not yet cost/time efficient; verification is only upon specific request and limited
5. Measurement systems are strong for a limited set of activities and periodically report on key GHG related indicators i.e. mainstreamed into the activity implementation; reporting is improved through few pathways but limited audience and formats; verification limited
6. Measurement systems are strong and cover a greater percentage of activities – feedback loops exist even if they are not fully functioning; reporting is available through multiple pathways and formats but may not be complete/transparent; verification is done through standard methodologies but only partially (i.e. not all data is verifiable)
7. Measurement regarding GHG is broadly done (with widely acceptable methodologies), need for more sophisticated analyses to improve policy; Reporting is periodic with improvements in transparency; verification is done through more sophisticated methods even if partially
8. Strong standardized measurements processes established for key indicators and mainstreamed into institutional policy implementation; reporting is widely available in multiple formats; verification is done for a larger set of information
9. Strong Monitoring and Reporting systems – robust methodologies, cost effective and efficient, periodic; verification done to a significant degree
10. Strong MRV systems that provide quality GHG related information in a transparent, accurate and accessible to a wide audience, with feedback of information from MRV flowing into policy design and implementation.<sup>93</sup>

187. The PIR for 2024 reported Honduras having achieved level 3 in the scale for indicator B (up from level 2 at project approval), meaning that measurement systems are in place

<sup>93</sup> GEF. Tracking Tool for GEF 6 Capacity-building Initiative for Transparency Projects.

for a few activities, access to information is limited, and only basic verification is undertaken. The GEF Tracking Tool was not updated at project end.

188. The TR assessed the value of indicator B taking into consideration the project's reports and interviews with project stakeholders, and concluded that a value of 4 adequately reflects the status of Honduras' MRV system at the end of the CBIT-HN Project, that now has some modules of the ICCMS available to track the implementation of mitigation actions. The target set in the ProDoc for indicator B was level 6.

#### Indicator C. Improvement in the quality of Monitoring & Evaluation of Adaptation Measures

189. Indicator C, which is not taken from the GEF Tracking Tool, focused on the quality of M&E systems and processes for adaptation action in Honduras. The ratings for indicators C are similar to those for indicator B (see paragraph 186).
190. The PIR for 2024 reports the level of indicator C at 2 (up from level 1 at project approval). Level 2 is characterized by having a monitoring system in place, that uses information of poor quality and methodologies that are not sufficiently robust.
191. As for indicator B, the TR assessed the value of indicator C and concluded that a value of 4 reflects improvements achieved during the last year of project implementation, including the delivery of modules of the ICCMS to track the implementation of adaptation action. The target for indicator C in the ProDoc was 6.

#### Indicator D. Improvement in the quality of institutional capacity for transparency based on GEF score 1 to 4 as per Annex IV of CBIT programming directions

192. Indicator D is also based on an indicator in the GCF Tracking Tool for CBIT-supported projects. The indicator is measured against a four-point scale that evaluates a country's ETF-related institutional capacities:
1. No designated transparency institution to support and coordinate the planning and implementation of transparency activities under Article 13 of the Paris Agreement exists.
  2. Designated transparency institution exists, but with limited staff and capacity to support and coordinate implementation of transparency activities under Article 13 of Paris Agreement. Institution lacks authority or mandate to coordinate transparency activities under Article 13.
  3. Designated transparency institution has an organizational unit with standing staff with some capacity to coordinate and implement transparency activities under Article 13 of the Paris Agreement. Institution has authority or mandate to coordinate transparency activities under Article 13. Activities are not integrated into national planning or budgeting activities.
  4. Designated transparency institution(s) has an organizational unit with standing staff with some capacity to coordinate and implement transparency activities. Institution(s) has clear mandate or authority to coordinate activities under Article 13 of the Paris Agreement, and activities are integrated into national planning and budgeting activities.<sup>94</sup>
193. The 2024 PIR report a level of 2 for indicator D. That level is characterised by the designation of an entity responsible for transparency-related roles, but that has limited staff, capacities and mandate to coordinate ETF-related activities in the country. Level 3 is achieved when the designated entity has set up and staffed a unit responsible for transparency activities. In Honduras, that level would be reached when SERNA's UGMCC is staffed and operational. Level 3 also requires a clear mandate to the designated entity to implement the transparency activity. In Honduras, the mandate for SERNA (incl.

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<sup>94</sup> GEF. Tracking Tool for GEF 6 Capacity-building Initiative for Transparency Projects.

UGMCC) to coordinate transparency activities would originate from the enactment of the revised climate change law and regulations prepared with support from the Project.

194. In conclusion, the CBIT-HN Project has contributed significantly to advance Honduras institutional framework for transparency activities under the Paris Agreement. However, the country has yet to meet the requirements for achieving level 3 for indicator D.
195. This TR concluded that the level of indicator D at the end of Project implementation was 2. In the ProDoc, the end-of project target for indicator D was 3.

Indicator E. % of specialized trained staff who declare to be in a better position to implement MRV systems (gender disaggregated)

196. Establishing the value of indicator E requires self-assessments of participants in the target audience for training activities under the CBIT-HN Project. However, those assessments were not conducted and reported by the Project.<sup>95</sup> Still, the Project reported apparently inconsistent values for indicator E in annual PIRs (i.e., 68% in 2022, 15% in 2023, and *both 45% and 75%* in 2024). The audience of the training programme was also inconsistently reported in PIRs: 125 peoples trained in 2022, but only 28 in 2024.
197. Interviews conducted for this TR did not corroborate the number of trained individuals and the level of proficiency achieved by beneficiaries of the training sessions. In most cases, interviewees reported having participated in workshops focused exclusively on the elaboration of GHG inventories, but not in other transparency-related functions. As noted in paragraphs 177 – 181, the scope and intensity of the training program deliver by the CBIT-HN Project fell below the expectations set in the ProDoc.
198. In conclusion, the value of indicator E achieved at the end of the implementation period could not be corroborated by this TR.

Indicator F. Resources identified to sustain operations post project

199. The 2024 PIR reports that the Project has elaborated a “roadmap for financing mechanisms” to fund the ICCMS. As discussed in paragraph 161, the product delivered discusses the steps to produce a financing strategy for the ICCMS, but does not constitute a strategy, and does not identify the resources needed to operate the ICCMS. However, the PIR reports the target of indicator F as achieved.
200. According to the ProDoc, the target of indicator F would be achieved when (i) an agreement between SERNA and SEFIN to implement the financial strategy is finalized, and (ii) when the ONCC-DS is established and produces financial reports.<sup>96</sup> According to interviews for this TR, a draft agreement between SERNA and SEFIN is under discussion.
201. Therefore, this TR concludes that the target for indicator F was not met.
202. Table 17 summarizes the targets and values of the outcome indicators achieved by the CBIT-HN Project:

Table 17. Outcomes, outcome indicators and targets

| Indicator    | Baseline | Target | End of project | Target achieved / not achieved |
|--------------|----------|--------|----------------|--------------------------------|
| Indicator B  | 2        | 6      | 4              | Partially achieved             |
| Indicator C  | 1        | 6      | 4              | Partially achieved             |
| Indicator D. | 1        | 3      | 2              | Partially achieved             |
| Indicator E. | N.A.     | 70%    | Unverified     | No rated                       |
| Indicator F. | No       | Yes    | No             | Not achieved                   |

<sup>95</sup> Some baseline assessments were completed as part of training activities focused exclusively on GHG inventories (PULIDO, A., Product No. 1: Capacity Building Plan for the Greenhouse Gas (GHG) Emissions and Removals National Inventory. 2024).

<sup>96</sup> ProDoc, PRF, p. 46.

203. According to the summary in Table 17, the Project's sole Outcome was partially achieved.

204. As per UNEP's TR guidelines, the evaluation of the level of achievement of project outcomes includes assessing the degree to which assumptions and drivers needed to deliver outcome are in place or hold. As discussed in paragraph 97, the delivery of the outcome requires assumption A2, and drivers D1, D2, D3, D4, D5 and D6 to be in place:

- A2. Staff turnover does not erode institutional capacities gained with support from the Project. Staff turnover impacted Project results during implementation. Measures proposed to mitigate the risk from staff turnover included the development of a module to host online courses, but the module was not delivered. The trainings delivered by the CBIT-HN Project contributed to strengthening capacities and could be replicated in the future. **A2 partially holds.**
- D1. Roles and responsibilities for climate action monitoring and reporting are clearly defined and communicated. Transparency-related roles and responsibilities are described in the draft climate change and regulations. These were communicated during the elaboration of the drafts and in-person training sessions. **D1 is in place.**
- D2. Partnerships (i.e., collaboration modalities) for climate action monitoring and reporting are formalized. The partnership to establish the ONCC-DS was not successful. Adopting the draft climate change law and regulations would set the basis for partnerships between SERNA and other government entities. **D2 is not in place.**
- D3. Capacities, tools, and approaches developed with support from the Project can be disseminated and adopted by key stakeholders. The Project produced training materials that are appropriate for disseminating the capacities and tools developed by the Project. The ICCMS includes a knowledge management module for that purpose (the module to host courses was not delivered). **D3 is in place.**
- D4. Resources for implementing the financing scheme to support the operation of the climate change monitoring system can be allocated. The financial strategy for the ICCMS was not produced. A draft agreement between SERNA and SEFIN to allocate resources to the ICCMS is under consideration. **D4 is partially in place.**
- D5. Government entities with climate action monitoring and reporting responsibilities allocate the required resources to compile, analyse and transmit climate-related information. Stakeholders interviewed for this TR indicated that government entities do not include transparency-related activities in their annual plans and budgets. Stakeholders reported that the number of staff assigned to climate planning, monitoring and reporting is insufficient. **D5 is not in place.**

205. Based on the discussion above and the summary in Table 17, **the Rating for Achievement of Project Outcomes: Moderately Satisfactory.**

#### Likelihood of Impact

206. The ProDoc included one indicator to track the achievement of the Project's objective:

Table 18. Objective indicator and target

| Indicator                                                                                                                                                               | Baseline and target         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| Indicator A. Percentage of institutions, among the relevant ones, working on the preparation of joint reports or at the same time to comply with the integrated report. | Baseline: 0%<br>Target: 60% |

207. As defined in the ProDoc, Indicator A was not directly measurable because the relevant institutions expected to participate in reporting had not been identified. Also, the nature of the participation in “joint/integrated reporting” was unclear in the ProDoc. Subsequent project reports or revisions did not clarify the indicator and target.
208. The institutions referred to by indicator A were inconsistently identified in project reports. In 2022, the PIR indicated that twelve institutions were “highly relevant”, and an additional twelve were “relevant”. However, the PIR reported the fraction of these institutions that had been engaged by the Project (58%), and not the fraction that were working on “joint/integrated reporting”.<sup>97</sup> In the 2024 HYPR, the project team identified 22 entities with climate-related reporting roles, including seven with key responsibilities. The 2024 PIR reported more than 20 entities having climate monitoring and reporting responsibilities:
- |            |           |               |
|------------|-----------|---------------|
| 1. ICF     | 8. DGA    | 15. COPEH     |
| 2. COPECO  | 9. DGRH   | 16. AMHON     |
| 3. SAG     | 10. UTOH  | 17. UNAH      |
| 4. SEFIN   | 11. CESCO | 18. FIAN      |
| 5. SEN     | 12. SDE   | 19. AHCC      |
| 6. SEDESOL | 13. SPE   | 20. INHGEOMIN |
| 7. SRECI   | 14. INE   |               |
209. The 2024 PIR reported that 45% of the entities with reporting responsibilities were “actively participating”, but the report does not state the scope of that participation<sup>98</sup>
210. In the ProDoc, the means of verification for indicator A were defined as reports from the ICCMS and signed agreements (presumably agreements to formalise reporting roles and partnerships). The ICCMS has not produced the reports listed in the ProDoc and the agreements have not been finalised during the project’s lifetime.
211. This TR sought interviews with eight entities with climate monitoring and reporting responsibilities (i.e., COPECO, ICF, INE, SEDESOL, SAG, SEFIN, SEN, SEP, SERNA, and SERNA’s Ozone Unit). These entities were selected based on input from the project team, as those that had participated most actively in project activities. Interviews were conducted with staff from ICF, SAG, SEFIN, SEN, SEP, and SERNA. Staff from the remaining entities did not respond to the request for an interview. Interviewees confirmed that the climate monitoring and reporting roles of their respective entities are clear. However, except for SERNA, these roles have not been formally included in the annual plans of their respective entities, and functions are performed mainly on an *ad hoc* basis, without the allocation of the financial and human resources required.
212. In summary, this TR could not validate the value of indicator A at project end.
213. The CBIT-HN Project reported in PIRs against Core Indicator 11, on the number of direct beneficiaries. Direct beneficiaries were defined as individuals who benefited from capacity-building or awareness-raising activities, or who participated in the validation of project results. The project reported a total of 286 beneficiaries (152 men and 134 women).<sup>99</sup> This TR is of the opinion that the definition of project beneficiary used by the project was too broad, as it included individuals with only a marginal participation in its activities and results.
214. The likelihood of impact of the results achieved by the CBIT-HN Project was assessed following UNEP’s tool “Guide for the rating of likelihood of impact”. As per the guide, the assessment determined whether the drivers, assumptions, outputs, outcomes and

<sup>97</sup> PIR 2022, p. 8.

<sup>98</sup> PIR 2024, p. 14.

<sup>99</sup> PIR 2024, p. 7.

intermediate states defined in the reconstructed ToC were in place by the end of project implementation. The following discussion refers to the assumptions and drivers listed in Table 9 and Figure 3 presented in section IV on the ToC at review.

215. Driver 1. Roles and responsibilities for climate action monitoring and reporting are clearly defined and communicated. D1 is relevant for the transition from outputs to the project outcome. **D1 is in place** (see discussion in paragraph 204).
216. Driver 2 Partnerships (i.e., collaboration modalities) for climate action monitoring and reporting are formalized. D2 is relevant for the transition from outputs to the project outcome. **D2 is not in place** (see discussion in paragraph 204).
217. Driver 3. Capacities, tools, and approaches developed with support from the Project can be disseminated and adopted by key stakeholders. D3 is relevant for transitioning from outputs to Project outcome, and from the outcome to the intermediate state. **D3 is in place** (see discussion in paragraph 204).
218. Driver 4. Resources for implementing the financing scheme to support the operation of the climate change monitoring system can be allocated. D2 is relevant for the transition from outputs to the project outcome. **D4 is partially in place** (see discussion in paragraph 204).
219. Driver 5. Government entities with climate action monitoring and reporting responsibilities allocate the required resources to compile, analyse and transmit climate-related information. D5 is relevant for transitioning from outputs to Project outcome, and from the outcome to the intermediate state. **D5 is not in place** (see discussion in paragraph 204).
220. Driver 6. Honduras adopts a legal framework that is conducive to ambitious climate action planning and implementation. D6 is relevant for the transitions from the Project outcome to the intermediate state, and from the intermediate state to impact. The Project has produced a draft for a revised climate change law and regulations the provides a framework for ambitious climate action planning, implementation, monitoring and reporting in Honduras. The draft law and regulation are under consideration by the Congress of Honduras. **D6 is partially in place.**
221. Driver 7. Honduras' climate action plans effectively incorporate the perspectives and needs of women, Indigenous Peoples and vulnerable groups. D6 is relevant for the transitions from the intermediate state to impact. Honduras' institutional framework for climate planning and implementation, which includes the CICC, follows an inclusive approach with representation of women, Indigenous Peoples and vulnerable groups. That approach is also reflected in the country's NDC.<sup>100</sup> The draft climate change law elaborated with support from the CBIT-HN Project further strengthens the social inclusion dimensions of climate action in Honduras. **D7 is in place.**
222. Assumption 2. Staff turnover does not erode institutional capacities gained with support from the Project. A2 is relevant for the change from outputs to project outcome, from outcome to the intermediate state, and from the intermediate state to impact. A2 does not hold (see discussion in paragraph 204).
223. Assumption 3. The Government of Honduras maintains a commitment to ambitious climate action. A3 is relevant to the transition from project outcome to intermediate state. In 2025, Honduras submitted an update to the NDC, reiterating its commitment to

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<sup>100</sup> Government of Honduras. Actualización de la Contribución Nacional Determinada de Honduras. 2021. Available under <[https://unfccc.int/sites/default/files/NDC/2022-06/NDC%20de%20Honduras\\_%20Primera%20Actualizaci%C3%B3n.pdf](https://unfccc.int/sites/default/files/NDC/2022-06/NDC%20de%20Honduras_%20Primera%20Actualizaci%C3%B3n.pdf)>

ambitious climate action.<sup>101</sup> Honduras submitted its BTR1 in December 2024, reporting progress implementing measures committed in the NDC (2021).<sup>102</sup> **A3 holds.**

224. Assumption 4. The Government of Honduras has access to the knowledge and financial and technical resources needed to plan for ambitious climate action. A4 is relevant to the transition from project outcome to intermediate state. The Government of Honduras still relies on external resources to support climate planning, monitoring and reporting. However, initiatives such as the CBIT-HN Project are building the country's climate planning capacities. **A4 partially holds.**
225. Assumption 5. The Government of Honduras has access to the knowledge and financial and technical resources needed to plan for ambitious climate action. A5 is relevant to the transition from the intermediate state to impact. The assessment of A5 has a significant uncertainty. According to BTR1, Honduras is elaborating a climate financing strategy scheduled for the second semester of 2025.<sup>103</sup> That strategy is one of the commitments in Honduras 2021 NDC. The strategy is expected to provide clarity on the needs and resources available to Honduras to implement climate action. **A5 partially holds.**
226. Assumption 6. Climate-related impacts do not erode Honduras' climate change mitigation and adaptation efforts. A6 is relevant to the transition from the intermediate state to impact. The assessment of A6 also has a significant uncertainty. Honduras is highly vulnerable to climate change, due to its exposure to extreme weather events, drought, flooding, and other climate hazards that risk reverting the country's progress towards a low-carbon, climate-resilient development pathway. **A6 partially holds.**
227. Following the delivery and implementation of the ICCCM, stakeholders in Honduras will have access to quality climate-related data to support climate planning, and the country will be on track to achieve the intermediate state. However, the intermediate state has not been achieved yet.
228. Based on this assessment and supported by UNEP's tool for assessing the likelihood of impact (reproduced in Figure 5), **the rating for the likelihood of impact of the CBIT Project is Moderately Likely.**

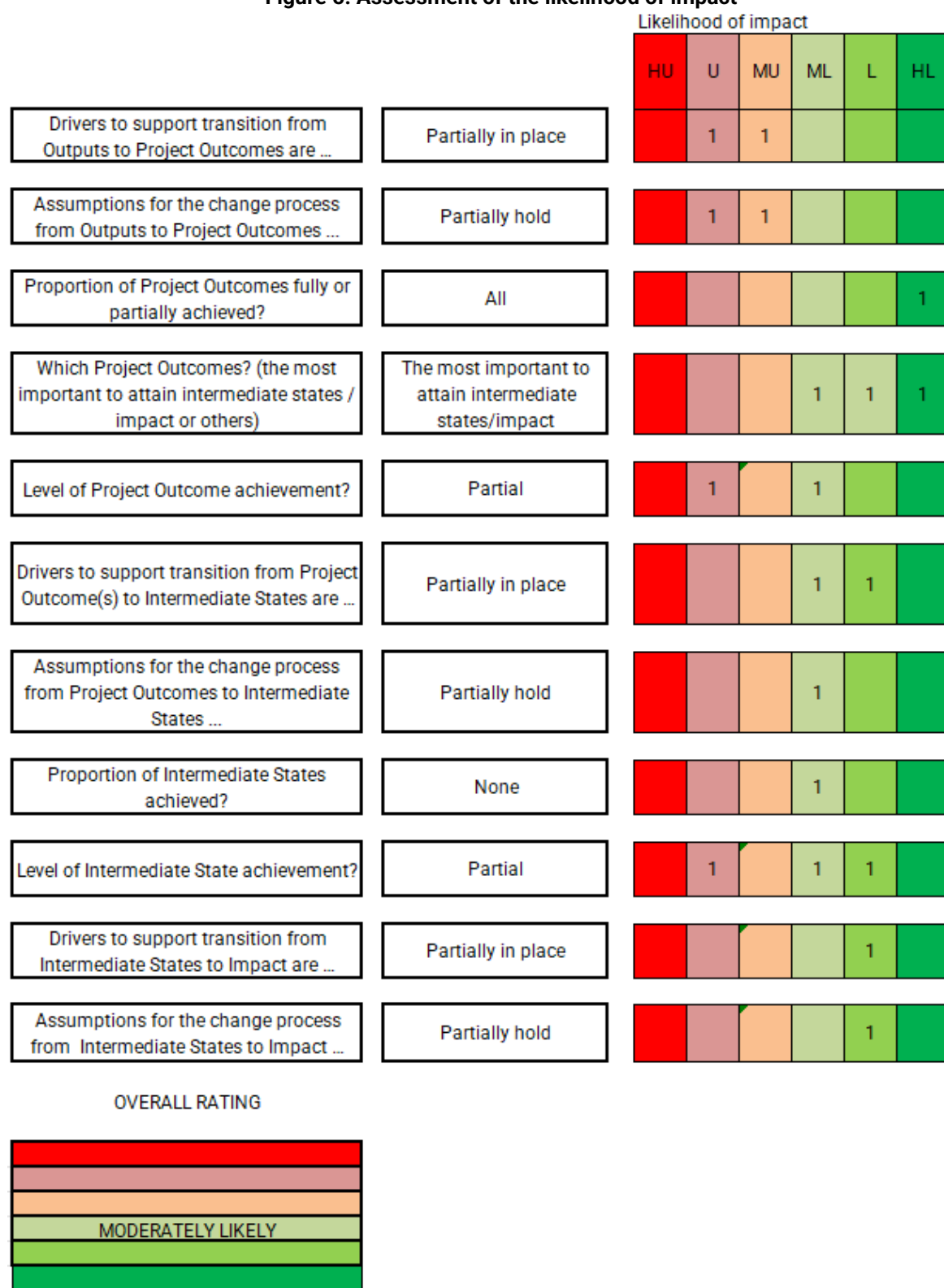
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<sup>101</sup> Government of Honduras. Segunda Actualización de la Contribución Nacional Determinada de Honduras. 2025. Available under <[https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizacio%CC%81n%20de%20su%20NDC%20%282021-2030%29serna\\_rev\\_final.pdf](https://unfccc.int/sites/default/files/2025-09/Honduras%20Segunda%20Actualizacio%CC%81n%20de%20su%20NDC%20%282021-2030%29serna_rev_final.pdf)>

<sup>102</sup> Government of Honduras. *Primer Informe Bienal de Transparencia de la República de Honduras (1990 – 2022)*. 2024.

<sup>103</sup> *Ibid.* p. 110.

**Figure 5. Assessment of the likelihood of impact**



229. Achievement of Likelihood of Impact: Moderately Likely.

Rating for Effectiveness: **Moderately Satisfactory**



## E. Financial Management

### Adherence to UNEP's Financial Policies and Procedures

230. SERNA followed the financial management policies and procedures required by the Government of Honduras and the PCA signed in March 2021 between SERNA and UNEP (Implementing Agency for the CBIT-HN Project).
231. At the time of PCA signature, the project budget was revised to allocate resources directly to UNEP LACO (US\$76,304) and UNEP's Copenhagen Climate Centre (US\$88,830). Following the Centre's withdrawal from the Project, the budget was revised in April 2022 to reallocate resources previously committed to it to SERNA. The budget was revised a third time in December 2023 to reflect the project's extension and a fourth time in November 2024 to reallocate resources initially planned to support UNAH's hosting of the ONCC-DS. The changes to the budget in 2021 were not clearly described and documented. The budget revisions in 2022, 2023 and 2024 were adequately documented and approved.
232. The project team at SERNA produced quarterly expenditure reports, classifying expenditures under component 1, monitoring and evaluation, and project management costs. Expenditures were listed by budget category and individual budget items. Reports included helpful explanations of expenses incurred in the reporting period and justifications for deviations, as applicable. Reports produced by SERNA did not include expenditures related to the resources managed directly by UNEP LACO. Expenditure reports were signed and dated by the project manager and a representative of SERNA. Finalised reports were dated and signed by the Task Manager and Administrative Officer at UNEP CM-Unit (GEF Implementing Agency entity).
233. UNEP LACO produced bi-annual expenditure reports for 2022 and 2023, and the first semester of 2024. The report for the first semester of 2024 listed no expenditures during that period and an unconsumed budget of US\$35,937 (47% of the total amount managed by UNEP LACO).

The CBIT-HN Project consistently disbursed below its annual budgets. Under component 1, during 2021 – 2024, the ratio of yearly disbursements to planned budget never exceeded 51% (2024), and in 2023, it was as low as 35% in a year when the planned budget was just under US\$200,000. By the end of 2024, the CBIT-HN Project had disbursed only 58% of the GEF grant and had approximately US\$440,000 of unspent grant resources. Figure 6 shows annual disbursements vs. actual disbursements for the Project from 2021 to 2024. During 2025, the rate of expenditure of grant resources accelerated, and SERNA disbursed a total of US\$273,249.<sup>104</sup> In that year, UNEP LACO disbursed an additional US\$47,868 in GEF funds it directly managed.

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<sup>104</sup> This figure will be validated during the approval of the last expenditure report submitted by the CBIT-HN Project.

**Figure 6. Planned vs. actual disbursements 2021 – 2024 [US\$]**



234. Two key factors contributed to the slow rate of budget execution by the CBIT-HN Project. The first factor was related to the prominent role assigned to UNEP's Copenhagen Climate Centre for the delivery of key project deliverables, including the strategy for the implementation of the ICCMS (see paragraph 130). Following their withdrawal from the Project, the role was filled through a competitive selection process that shifted the start of the related activities to March 2023. That delay had a cascading effect on other major procurement processes, including the selection of a firm to develop the IT solution for the ICCMS. A second factor contributing to the slow disbursement of grant resources was the failure to reach a consensus for the participation of ONCC-DS in the operation of the ICCMS. Resources allocated to strengthening the role of UNAH as host of the ONCC-DS were reallocated to a new activity focused on REDD+ safeguards. The project team was effective in reflecting these changes in updated project tools, including the project budget and procurement plan.

235. The stakeholders interviewed for this TR reported no delays or other issues regarding the disbursement of funds. On the other hand, stakeholders noted that an extended time was required to process and approve the project and budget revision, which concluded in December 2023.

236. **Rating for Adherence to UNEP's Financial Policies and Procedures: Satisfactory.**

#### **Completeness of Financial Information**

237. The financial information made available for this review included a detailed budget at project approval, revised budgets, quarterly (SERNA) and biannual (UNEP LACO) expenditures reports, as well as audit, cash advances, cofinancing, and inventory reports. Evidence of fund transfers from UNEP to SERNA was also available for this review.

238. Three financial audits of the CBIT-HN Project were conducted, and audit reports were available to this TR (2021, 2022, and 2023). By the time of this TR, a fourth audit for 2024 was progressing but had not been finalised. The audits reported that the Project's financial management and reporting complied with the applicable accounting standards and the terms in the cooperation agreement signed by SERNA and UNEP. SERNA satisfactorily addressed two sets of relatively minor findings and recommendations issued in the audit reports of 2022 and 2023.<sup>105</sup>
239. SERNA provided annual signed statement indicating the amount of co-financing contributed to the CBIT-HN Project. The total amount contributed was reported as US\$151,303 (100% of the amount pledged at CEO endorsement). The in-kind co-financing contributed by SERNA was used to cover the costs related to SERNA's staff contributing to the Project, as well as the use of office space and supplies, utilities, and transportation provided by SERNA to Project staff.
240. **Rating for Completeness of Financial Information: Satisfactory.**

#### Communication Between Finance and Project Management Staff

241. UNEP, through the CM-Unit, supported the financial planning, monitoring, and reporting of the CBIT-HN Project. Interviews with UNEP staff members indicated that communications on matters related to the financial management of the grant were periodic and effective. Routine questions related to the financial management of the Project were addressed through online trainings provided by UNEP and direct communications between the project team and UNEP's financial management staff.
242. No major issues related to financial management were identified during project implementation.
243. **Rating for Communication Between Finance and Project Management Staff: Satisfactory.**
244. Table 19 provides a summary of the findings of the assessment of the financial management of the CBIT-HN Project.

Table 19. Financial Management Table

| Financial management components:                                                                                                  |                                                                    | Rating   | Evidence/ Comments                                                                                                                                                                        |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1. Adherence to UNEP's policies and procedures:</b>                                                                            |                                                                    | <b>S</b> | Budget revisions, expenditure reports, and audit reports were produced and submitted by SERNA in accordance with the policies and procedures of the Government of Honduras and UNEP.      |
| Any evidence that indicates shortcomings in the project's adherence <sup>106</sup> to UNEP or donor policies, procedures or rules |                                                                    | No       |                                                                                                                                                                                           |
| <b>2. Completeness of project financial information<sup>107</sup>:</b>                                                            |                                                                    | <b>S</b> |                                                                                                                                                                                           |
| Provision of key documents to the reviewer (based on the responses to A-H below)                                                  |                                                                    |          |                                                                                                                                                                                           |
| A.                                                                                                                                | Co-financing and project cost's tables at design (by budget lines) | Yes      | At project approval, a project budget disaggregated by year, component, and budget category was completed. The budget included entries for individual contracts and budget items. SERNA's |

<sup>105</sup> One finding in the 2022 report was related to the reimbursement of travel costs not approval by UNEP (US\$1,546), and one finding in the 2023 report was related to minor unreported expenses (US\$2,136).

<sup>106</sup> If the Review raises concerns over adherence with policies or standard procedures, a recommendation maybe given to cover the topic in an upcoming audit, or similar financial oversight exercise.

<sup>107</sup> See also the document 'Criterion Rating Description' for reference.

| Financial management components:                                                                                                                 |                                                                                                                                         | Rating | Evidence/ Comments                                                                                                                                                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                  |                                                                                                                                         |        | The co-financing letter at project approval was included as an annexe to the ProDoc.                                                                                                                                                                                                                                                                                              |
| B.                                                                                                                                               | Revisions to the budget                                                                                                                 | Yes    | Budget revisions were produced on time and were available to this TR.                                                                                                                                                                                                                                                                                                             |
| C.                                                                                                                                               | All relevant project legal agreements (e.g. SSFA, PCA, ICA)                                                                             | Yes    | A signed Project Cooperation Agreement (PCA) between SERNA and UNEP was available.<br>A signed Internal Cooperation Agreement (ICA) between UNEP CM-Unit and UNEP LACO was available.                                                                                                                                                                                             |
| D.                                                                                                                                               | Proof of fund transfers                                                                                                                 | Yes    | An example of evidence of cash transfers from UNEP's CM-Unit to SERNA (2025) was available.                                                                                                                                                                                                                                                                                       |
| E.                                                                                                                                               | Proof of co-financing (cash and in-kind)                                                                                                | Yes    | A signed co-financing statement indicating the amount (US\$151,303) and purpose (staff and personnel, transportation, communications, office space and supplies) of the co-financing contributed by SERNA was available.                                                                                                                                                          |
| F.                                                                                                                                               | A summary report on the project's expenditures during the life of the project (by budget lines, project components and/or annual level) | Yes    | Quarterly reports by SERNA, disaggregating expenditures by year and budget category, were available for 2021– 2024. UNEP LACO produced bi-annual expenditure reports for 2022, 2023, and the first semester of 2024. Reports included expenditures at the level of individual contracts and budget items.                                                                         |
| G.                                                                                                                                               | Copies of any completed audits and management responses                                                                                 | Yes    | Three financial audit reports were available: 2021 (7 June 2022), 2022 (3 November 2023), and 2023 (21 June 2024).                                                                                                                                                                                                                                                                |
| H.                                                                                                                                               | Any other financial information that was required for this project (list):                                                              | Yes*   | Reports of the inventory of non-expendable equipment purchased by the Project were available (2022, 2023).<br>* A final inventory report is under review by UNEP CM-Unit but was not available to the TR. Items in the inventories for 2022 and 2023 were personal computers, a printer and a TV. No additional purchases of non-expendable items were planned for 2024 and 2025. |
| <b>2. Communication between finance and project management staff</b>                                                                             |                                                                                                                                         | S      | UNEP and project staff interviewed for this terminal review reported periodic and effective communications between financial management staff and the project team.                                                                                                                                                                                                               |
| Project Manager and/or Task Manager's level of awareness of the project's financial status.                                                      |                                                                                                                                         | S      |                                                                                                                                                                                                                                                                                                                                                                                   |
| Fund Management Officer's knowledge of project progress/status when disbursements are done.                                                      |                                                                                                                                         | S      |                                                                                                                                                                                                                                                                                                                                                                                   |
| Level of addressing and resolving financial management issues among Fund Management Officer and Project Manager/Task Manager.                    |                                                                                                                                         | S      |                                                                                                                                                                                                                                                                                                                                                                                   |
| Contact/communication between by Fund Management Officer, Project Manager/Task Manager during the preparation of financial and progress reports. |                                                                                                                                         | S      |                                                                                                                                                                                                                                                                                                                                                                                   |
| Project Manager, Task Manager and Fund Management Officer responsiveness to                                                                      |                                                                                                                                         | S      |                                                                                                                                                                                                                                                                                                                                                                                   |

| Financial management components:             | Rating | Evidence/ Comments |
|----------------------------------------------|--------|--------------------|
| financial requests during the review process |        |                    |
| Overall rating                               | S      |                    |

**Rating for Financial Management: Satisfactory**

## F. Efficiency

245. The CBIT-HN Project was approved on 12 March 2021 with an original duration of 36 months and an expected closing date of March 2024. Actual implementation started promptly on 17 March 2021, and most project staff were hired during the period July – November 2021. Two no-cost extensions were granted to the CBIT-HN Project (December 2023 and November 2024).
246. As discussed in section E and shown in Figure 6, disbursements during 2021 and 2024 were a fraction of the planned budget. A balance of approximately US\$80,000<sup>108</sup> of the GEF grant was not spent and will be returned to the GEF Trust Fund.
247. The Project handover following the general elections in 2021 significantly disrupted activities. The Project underwent a prolonged revision, and all PMU's staff changed. Very few results achieved before the polls were carried over to the later phase of the Project.
248. The CBIT-HN Project experienced major delays in implementing key activities (e.g., development of the ICCMS strategy). These delays put at risk the achievement of the main project result (i.e., a finalised and operational ICCMS). Challenges during the elaboration of the ICCMS strategy had a powerful impact on the timing and quality of project results. The elaboration of the strategy, initially commissioned to UNEP's Copenhagen Climate Centre, was awarded to a consortium of consulting firms and individuals following UNEP DTU's withdrawal from the Project. As discussed in section V.D., the timing and quality of the results by the consortium were unsatisfactory and much of the work had to be redone.
249. The shortcomings in the timing and quality of the strategy for the development of the ICCMS were compounded by the duplication of efforts and poor sequencing of activities by SERNA and UNEP LACO (e.g., design of the modules of the ICCMS for GHG inventories and carbon markets.)
250. A highlight of the CBIT-HN Project was the effective collaboration with the project for the elaboration of Honduras' NC4 and BTR1/BTR2. That collaboration contributed to overcoming some of the shortcomings from the poor timing and quality of the ICCMS strategy.

**Rating for Efficiency: Unsatisfactory**

## G. Monitoring and Reporting

### Monitoring Design and Budgeting

251. The ProDoc included in Part II, section C, a short discussion of the Project's approach to monitoring and evaluation (M&E). However, this section of the ProDoc was relatively weak, as the discussion was limited to introducing PIRs as a reporting tool to be used during project implementation, and a description of the TR to be conducted by the end of the project. In Annex I, the ProDoc included a table with budgeted M&E-related activities

<sup>108</sup> The final figures will be validated during the financial closure of the project.

and milestones. However, the budget included only GEF funds for an inception workshop and a TR. No budget for setting a baseline and for tracking the progress of capacity-building activities was set aside. No mid-term review was foreseen, and the budget for the TR was adequate.

252. No stand-alone M&E plan was available at the project start, nor was one produced during the implementation of the CBIT-HN Project. A stand-alone M&E plan would have clarified the approach to monitoring and assessing the indicators in the PRF. Also, the PRF did not include indicators, targets or means of verification at the output level. Monitoring GEF Core indicator 11, which was required for the CBIT-HN Project, was not included in the PRF or M&E provisions of the Project (still, the indicator was reported in PIR). A stand-alone M&E plan would have assigned responsibilities for data collection and reporting of individual indicators.
253. The details on M&E in the ProDoc followed UNEP's standard practice, and both GEF and UNEP approved the document. Other projects financed by CBIT and implemented with support by UNEP have not elaborated a stand-alone M&E plan. This TR concluded that this practice could be improved in the future, requiring CBIT-financed projects to develop detailed M&E plans to guide project implementation and enhance M&E.
254. **Rating for Monitoring Design and Budgeting: Unsatisfactory.**

### Monitoring of Project Implementation

255. As stated in paragraph 252, no stand-alone monitoring plan was developed during project implementation. This approach was a relevant weakness of the CBIT-HN Project, especially concerning the monitoring, reporting and assessment of the Project's objective. As discussed in paragraphs 206 – 212, indicator A, used to track the Project's objectives, was ambiguous, and it was not clarified during project implementation. The value of the indicator at project end could not be validated by this TR.
256. Assessing indicators B – D would have required a more nuanced definition and additional data collection and analysis. Indicator E, on the fraction of staff who are qualified for transparency activities, was reported without a consistent definition of the target audience for capacity building and without a systematic compilation of participants in training activities and the assessment of their capacities pre- and post-training. The project team did not update and report progress using the GEF CBIT Tracking Tool.<sup>109</sup>
257. An inception workshop was held on 31 August 2021 with the participation of 57 representatives from SERNA, SAG, SEN, SEFIN, ICF, INE, UNAH, UNEP and others. The inception was relatively short (60 minutes), allowing no time to discuss roles and responsibilities, and to review the work plan, PRF or elaborate on a monitoring plan.
258. Funds allocated to the inception workshop were not needed, and the TR was completed below the cost estimated in the ProDoc. No mid-term review was planned, and none was conducted.
259. **Rating for Monitoring of Project Implementation: Unsatisfactory.**

### Project Reporting

260. The Project completed three annual PIR reports (2022 – 2024), two half-yearly progress reports (HYPR) (2023 and 2024), and a terminal report. The drafting of reports was mainly clear and concise. However, ratings in PIRs for progress towards outcomes and outputs, and the assessment of the project risks were overly optimistic, underestimating the risks from delays in project activities. While PIRs reported some delays and increased

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<sup>109</sup> GEF CBIT Tracking Tool. 2017. Available under <<https://www.thegef.org/documents/gef-cbit-tracking-tool-gef-6>>.

risks to the project activities and results, the actual status and the extent of the challenges facing the Project were not accurately described in reports.

- 261. When appropriate, PIRs reported indicators with gender disaggregated details (e.g., indicator E on staff trained, GEF core indicator 11 on project beneficiaries).
- 262. PIRs covered adequately the scope of the CBIT-HN Project, including activities implemented by LACO.
- 263. **Rating for Project Reporting: Moderately Satisfactory.**

|                                             |                                  |
|---------------------------------------------|----------------------------------|
| <b>Rating for Monitoring and Reporting:</b> | <b>Moderately Unsatisfactory</b> |
|---------------------------------------------|----------------------------------|

## **H. Sustainability**

### **Socio-political Sustainability**

- 264. The sustainability of the Project's outcome is highly dependent on political factors driven by the level of interest and priority given to climate action by national authorities in Honduras. Currently, that interest and priority are high, as Honduras is actively committed to international negotiations under the UNFCCC and is meeting its obligations under the Convention and the Paris Agreement. For example, in December 2024, Honduras submitted to the UNFCCC its BTR1. In May 2021 and September 2025, Honduras submitted updated NDCs to the UNFCCC. In its NDCs and BTR1, the Government of Honduras reiterated the high priority given to climate change and its commitment to climate action. In September 2025, SERNA reiterated their commitment to finalise and upgrade the ICCMS (see paragraph 150 of this report).
- 265. With support from the CBIT-HN Project, the Government of Honduras prepared a draft revised climate change law that is now under consideration by Congress. The draft law defines roles and responsibilities for climate change planning, implementation, monitoring, and reporting, and adequately reflects the requirements of the ETF under the Paris Agreement.
- 266. **Rating for Socio-political Sustainability: Likely.**

### **Financial Sustainability**

- 267. Climate transparency activities under the ETF in Honduras still depend on external financial sources, especially CBIT and enabling activities financed by GEF. The CBIT-HN Project was expected to produce a financial strategy and an agreement between SERNA and SEFIN to secure financing for these activities, including the operation of the ICCMS. While the Project made some progress towards this objective, results fell short of the goals set in the ProDoc.
- 268. However, under the terms of the UNFCCC, developing country Parties should continue receiving financial support for climate action planning, implementation, monitoring and reporting. Honduras is actively engaged with sources of international climate finance that support these actions (e.g., GEF, Green Climate Fund, NDC Partnership, etc.). This external context favours the financial sustainability of the Project's outcomes.
- 269. **Rating for Financial Sustainability: Moderately Likely.**

### **Institutional Sustainability**

- 270. The Project's strategy to strengthen the institutional framework for climate transparency included creating a dedicated climate monitoring unit within SERNA (UGMCC), and establishing the ONCC-DS, hosted by UNAH. The Project failed in establishing the ONCC-DS but made progress towards the creation of UGMCC.



271. The draft climate change law and its regulations will incorporate responsibilities on climate action implementation and reporting into the role of government entities at the national and subnational levels.
272. However, political instability and staff turnover remain a pervasive risk to the institutional sustainability of the Project's results. Future efforts to strengthen the ETF in Honduras will need to monitor the risk and implement appropriate risk management measures.
273. **Rating for Institutional Sustainability: Moderately Likely.**

**Rating for Sustainability (Likelihood): Moderately Likely**

## **I. Factors Affecting Performance and Cross-Cutting Issues**

### **Preparation and Readiness**

274. The CBIT-HN Project was approved by GEF's CEO on 10 September 2020 and by UNEP on 12 March 2021. A PCA between UNEP and SERNA was signed on 17 March 2021, marking the start of the project. A procurement plan was included as an annex to the PCA. A multi-year work plan was included as part of the ProDoc (Annex K), but it was not updated at project start. Such an update could have included the newly agreed roles of LACO and UNEP's Copenhagen Climate Centre.
275. The first disbursement was completed on 17 May 2021, and the project manager was hired on 5 July 2021. The PMU was entirely staffed by 22 November 2021.
276. The first PSC meeting was held on 26 August 2021, and an inception workshop took place five days after that. The PSC included members from CONED, SAG, SERNA, INE, SRECI, and UNEP, which was a good representation of the Project's key partners.
277. An environmental, social and economic safeguards screening, completed during project preparation, had deemed the Project as low risk. Given the scope of the Project, no update to that assessment was necessary. A stakeholders' analysis completed during project preparation was not updated. Such an update would have improved the focus of capacity-building activities, especially under output 5.
278. The national elections held in November 2021 contributed to the delay in the elaboration and approval of ToRs during 2022.<sup>110</sup>
279. **Rating for Preparation and Readiness: Moderately Satisfactory.**

### **Quality of Project Management and Supervision**

280. The PSC met annually, and proceedings were reported in minutes (except for the third meeting (April 2022), for which no minutes were available). According to interviews with PSC members, the PSC's meetings helped review progress and produce decisions and recommendations.
281. The staffing of the PMU was changed once, coinciding with a revision to the Project during 2022 – 2023, following changes after general elections in Honduras. At nine members, the PMU was most likely initially overstaffed (see paragraph 75). During the project revision, the number of members of the PMU was brought down to two (a Project Manager and an IT expert). The transition from the outgoing to the incoming PMU teams was not entirely smooth, with most activities losing momentum (e.g., elaboration and approval of ToRs) and some information potentially lost during the transition (e.g., minutes of the third PSC meeting). The PMU was located at SERNA's offices in

<sup>110</sup> PIR 2022, p.5.



Tegucigalpa, which facilitated Project operations. Stakeholders interviewed for this TR confirmed that the PMU in office until the Project's closure was competent, enthusiastic and committed.

282. The shortcomings in the timing and quality of the strategy for developing the ICCMS (see paragraphs 129 - 130) had profound consequences on dependent Project activities and results, including the implementation of the ICCMS and the adoption of a financial strategy and agreement to operate the ICCMS. In that context, this TR observed that the supervision of that contract would have benefited from a closer and firmer oversight by SERNA, supported by UNEP, to remedy the shortcomings or to otherwise terminate the contract agreement with the consortium.
283. The 2022 PIR rated progress towards outcomes and outputs as satisfactory and moderately satisfactory, respectively. The risk rating given was moderate. By then, the project had been implemented for 15 months and had made little progress, thus raising questions about the accuracy of the ratings.
284. Rating for Quality of Project Management and Supervision, UNEP CM-UNIT/Implementing Agency: **Moderately Satisfactory.**
285. Rating for Quality of Project Management and Supervision, Executing Agency (SERNA): **Moderately Satisfactory.**
286. **Rating for Quality of Project Management and Supervision: Moderately Satisfactory.**

#### Stakeholders Participation and Cooperation

287. The CBIT-HN Project organised 47 workshops to gather inputs for elaborating and validating key products (e.g., draft climate change law, ICCMS strategy, climate change indicators, etc.). These activities included stakeholders from government institutions, private sector entities, academia, and civil society.
288. However, in some cases, project activities were impacted by low levels of stakeholders' participation. For example, during the identification of mitigation and adaptation measures to be tracked by the ICCMS, no mitigation measures could be identified for the IPPU and LULUCF categories. The adaptation measures included in the NDC could not be discussed with the entities responsible for their implementation. The activities to assess the technical and human resources needs for the operation of the ICCMS were also affected by low participation from key stakeholders (50% of the entities reached out by the Project declined to participate).<sup>111</sup>
289. Ownership of Project results by SERNA is strong, as demonstrated by the entity's championing of the draft climate change law (currently under consideration by the Honduran Congress) and its support for establishing a climate change monitoring unit (UGMCC). Interviews with project stakeholders evidence a strong interest by SERNA in operating the ICCMS to support climate monitoring and reporting functions. The levels of interest and ownership by stakeholders demonstrated by other entities vary from strong to moderate.
290. The collaboration between the CBIT-HN Project and the project for developing Honduras' NC4 and BTR1/BTR2 was a highlight of the project implementation process, contributing to overcoming the shortcomings from the poor design of the strategy for the development of the ICCMS and ensuring that plans for the implementation of the system could move forward.

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<sup>111</sup> CLICKIT!. *Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC). Diagnóstico Inicial de la NDCC-SERNA y Actores Claves Vinculados a Cambio Climático.* October 2024. p. 46.

291. **Rating for Stakeholders Participation and Cooperation: Moderately Satisfactory.**

**Responsiveness to Human Rights and Gender Equality**

292. Honduras' NDCs (2021, 2025) have a strong component on gender equality and social inclusion (chapter III of the NDC).<sup>112</sup> Those provisions were incorporated into the project activities, including the draft climate change law and regulations, and specific recommendations for the design of a national ETF system that considers gender equality and a just transition of the workforce.<sup>113</sup> A gender mainstreaming workshop was held in January 2022 (25 participants, 48% female).
293. The Project did not prepare a gender action plan, and the PMU did not include experts on gender equality, Indigenous Peoples, or social safeguards.
294. In some cases, training activities collected gender-disaggregated information on participants. The indicators developed under output 2 included eight indicators related to gender equality and vulnerable groups.<sup>114</sup>
295. The CBIT-HN Project was not given a gender score at project appraisal and approval.
296. **Rating for Responsiveness to Human Rights and Gender Equality: Satisfactory.**

**Environmental and Social Safeguards**

297. The ProDoc included in annex O an environmental, social and economic safeguards screening report that concluded that the Project was low risk. During project implementation, the project team monitored safeguards-related risks and reported findings in PIR reports. No safeguards-related risks emerged during project implementation, and this TR deemed the approach appropriate.
298. The project team followed social distancing and other preventive measures adopted in response to the COVID-19 pandemic.
299. There were no significant options available to the project team to reduce the Project's carbon footprint. Training outside Tegucigalpa did not take place, and there was no other travel required by the Project.
300. **Rating for Environmental and Social Safeguards: Satisfactory.**

**Country Ownership and Drivenness**

301. Interviews with project stakeholders confirmed that SERNA actively led activities by the CBIT-HN Project. The PMU was hosted in SERNA's offices in Tegucigalpa, and there was active involvement by DNCC throughout the implementation period.
302. Stakeholders interviewed for this TR confirmed that government entities, including SAG, SEFIN, SEN, and SERNA, participated in the elaboration and validation of project results. Participation of other entities lagged.
303. Failure to establish the ONCC-DS under the leadership of UNAH was a significant shortcoming of the CBIT-HN Project.
304. SERNA provided co-financing resources that contributed to the Project's objectives (see paragraph 239).

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<sup>112</sup> Government of Honduras. *Actualización de la Contribución Nacional Determinada de Honduras*. 2021. pp. 9 – 16.

<sup>113</sup> JURADO, M. *Informe de mejores prácticas regionales para el diseño y desarrollo de sistemas integrados de transparencia para el seguimiento de la acción climática*. Agosto 2022.

JURADO, M. *Informe con recomendaciones sobre los marcos conceptuales nacionales de MRV/M&E para Panamá, Honduras y República Dominicana*. October 2022.

<sup>114</sup> PIR 2024, p. 11.

**305. Rating for Country Ownership and Drivenness: Satisfactory.**

**Communication and Public Awareness**

306. The CBIT-HN Project did not develop a project-specific communications strategy. Communications with key audiences, including staff at participating Ministries, were direct through frequent remote and in-person meetings and workshops. The PMU did not include a communications expert. Given the Project's objectives on capacity building, this TR deemed this approach adequate and effective.
307. The CBIT-HN Project developed a project-specific website to disseminate project activities and results.<sup>115</sup> The site, however, is no longer accessible.<sup>116</sup> The Project also created a YouTube channel that hosts only two videos with a small number of reproductions (170 and 8).<sup>117</sup> The Project also used social media to disseminate activities and results. For example, SERNA informed of progress updating Honduras climate change law.<sup>118</sup>
308. The CBIT-HN Project participated in activities organized by the CBIT Global Coordination Platform<sup>119</sup> sharing knowledge and lessons learned generated the Project. The CBIT Global Coordination Platform facilitated collaboration by the CBIT-HN Project and similar initiatives in Panama and the Dominican Republic. The experience by Panama and the Dominican Republic implementing the ETF informed the activities of the CBIT-HN Project.<sup>120</sup>
309. The Project did not use the knowledge dissemination features available from the CBIT Global Coordination Platform.<sup>121</sup> The Project could have used these features better to disseminate relevant project results.
310. **Rating for Communication and Public Awareness: Satisfactory.**

**Rating for Factors Affecting Performance: Satisfactory**

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<sup>115</sup> PIR 2022.

<sup>116</sup> URL <(https://cbithn.com/>. Visited on 16 April 2025.

<sup>117</sup> YouTube, Proyecto CBIT Honduras. <https://www.youtube.com/@proyectocbithonduras7343>. Site visited on 16 April 2025.

<sup>118</sup> E.g.,

<https://www.facebook.com/story.php?story\_fbid=843553211143201&id=100064655893290&mibextid=WC7FNe&rdid=N2ZL8Tf2pMWSmj4V#>, <https://www.instagram.com/p/C67C7800Pzr/?igsh=ZXUzZmxreDFjdXVx>

<sup>119</sup> Climate Transparency Platform. Knowledge Centre. Website. Available under <https://climate-transparency-platform.org/knowledge-centre>.

<sup>120</sup> UNEP. Final Report. (Activities implemented by LACO). February 2025.

<sup>121</sup> Climate Transparency Platform. Knowledge Centre. Website. Available under <https://climate-transparency-platform.org/knowledge-centre>.

## VI. CONCLUSIONS AND RECOMMENDATIONS

### A. Conclusions

311. **Conclusion 1.** The objective of the CBIT-HN Project was to design, implement, and operate a climate information system (i.e., ICCMS) to enhance Honduras' capacity to plan, monitor, and report on climate action. The design, implementation and operation of the ICCMS were to be enabled by improved institutional arrangements for climate transparency, improved data collection, analysis and reporting methodologies, access to financial resources, and strengthened technical capacities in entities with transparency-related responsibilities. The CBIT-HN Project delivered partial results in these areas:
- a. Some modules of the ICCMS were delivered and accepted by its primary user, SERNA. However, some modules could not be completed by the end of the project implementation period, and there was little time to introduce other intended users to the system. The time available for testing the system's functionalities was also limited.
  - b. The two main expected project contributions to Honduras' institutional arrangements for climate transparency could not be fully delivered during the implementation period. The Project was expected to support the implementation of a dedicated climate monitoring and reporting unit within SERNA (i.e., UGMCC). The Project made significant progress towards creating such a unit, but by the end of the project, the unit had not been established. Stakeholders expect that, following the approval by Congress of a revised climate change law, the unit will be established and funded with resources from the national budget. A proposed climate observatory (i.e., ONCC-DS), intended to serve as a technical resource for the transparency system, could not be implemented due to administrative and technical challenges, as well as disagreements between SERNA and the potential host (UNAH), and within UNAH itself. Plans to implement the observatory have not been abandoned, but the Project has made little progress towards that goal.
  - c. The process for delivering improved data collection and analysis processes was hampered by delays and the poor quality of a key project deliverable of the CBIT-HN Project (i.e., strategy for the development of the ICCMS). This product was intended to provide substantive technical input for the software development stage of the ICCMS. However, these inputs were of poor quality, and most had to be reworked. Fortunately, the project team developed a successful partnership with a related initiative and corrected most of the issues, enabling the implementation of the ICCMS (with the above caveats).
  - d. As part of the strategy for the development of the ICCMS, the CBIT-HN project was expected to elaborate a financial strategy to sustain transparency-related activities in Honduras, including the operation of the ICCMS, UGMCC and ONCC-DS. This result was also affected by the poor quality of the consultants' deliverables. While no financial strategy was produced, the draft climate change law incorporated some relevant financial aspects that, if adopted, could address critical funding issues, including those related to the creation and staffing of UGMCC. Other financial-related questions, including the operation of the ONCC-DS, remained unanswered.
  - e. The CBIT-HN Project delivered training to staff of entities of the national government with transparency-related responsibilities. Stakeholders interviewed for this TR confirmed that these trainings were useful for their climate planning, monitoring and reporting functions. On the other hand, the time available to train the intended users of the ICCMS on the use of the implemented modules of the system was limited, possibly challenging the seamless adoption of the system

312. **Conclusion 2. Key strategic question 1: Did State and non-State actors in Honduras adopt the ETF under the Paris Agreement, ensuring its long-term sustainability within national systems?** The draft climate change law and regulations, prepared with support from the CBIT-HN, include provisions to establish the ETF in Honduras. These provisions include the establishment of the ICCMS —under the coordination of SERNA— and climate change units in key government entities with transparency-related responsibilities. If adopted, the law and regulations would put Honduras on a path to adopt the ETF. However, Honduras has yet to adopt and secure the sustainability of the ETF fully.
313. The role of non-State actors in transparency activities in Honduras remains limited. The Project was expected to boost this through the establishment of the ONCC-DC. Unfortunately, this goal could not be achieved, and the task to design and implement a mechanism to enable non-State actors to participate effectively in transparency activities remains to be completed.
314. **Conclusion 3. Key strategic question 2: Did the project strengthen the transparency mechanisms of national institutions, ensuring their capacity for continuous domestic and international climate reporting?** The (partial) implementation of the ICCMS is a significant contribution by the CBIT-HN Project to the transparency-related capabilities of Honduras. Some modules still need to be completed, and Honduras needs to secure the financial and technical resources to achieve that. The task of finishing testing the completed modules and handing them over to their intended users is incomplete, and its importance should not be overlooked (see recommendations #1 and #2).
315. The CBIT-HN Project contributed to building the capacities of the staff of entities of the national government with transparency-related responsibilities. Training activities and the active participation of these staff in project activities for the design of the ICCMS contributed to building and refreshing knowledge and skills needed to fulfil these responsibilities. The Project produced training materials that could support future capacity-building efforts and should therefore be preserved and made available to potential users (see recommendation #2).
316. **Conclusion 4. Key strategic question 3: Did State and non-State actors adopt the new tools developed by the project, and are there measures in place to ensure their future use and functionality?** The ICCMS, the main tool developed with support from the CBIT-HN Project, was partially delivered. However, the process of testing and handing it over to their intended user within SERNA and other entities with transparency-related responsibilities has not yet concluded. Key expected users of the ICCMS have yet to familiarise themselves with the system and provide their feedback. It is critically important that SERNA concludes the pending steps of testing, providing feedback and handing over the ICCMS to its intended users to ensure the effective adoption of the system (see recommendations #1 and #2).
317. The draft climate change law and regulations include provisions to contribute to the sustainability of the transparency system in Honduras, including actions to secure funding from the national budget for transparency-related activities by national government entities.
318. Government entities in Honduras have not yet firmly adopted a set of indicators to track climate change and climate action (output 2). These indicators were elaborated as part of the strategy for implementing the ICCMS and were affected by the quality and timing shortcomings of that process. Some of these shortcomings were addressed during the implementation of the ICCMS.
319. **Conclusion 5 Key strategic question 4: Was the project executed efficiently, with a focus on enhancing institutional capacity for the long-term sustainability of climate data systems?** The CBIT-HN Project was implemented with a focus on improving

Honduras' transparency-related capabilities. However, this TR concluded that the Project was not executed efficiently. The transition between government administrations created significant challenges that impacted the quality and timeliness of project results. The time required by the project team, including SERNA and UNEP, to address these challenges (including a lengthy project revision) compounded the issues the Project had to address in the remaining implementation period.

320. The CBIT-HN Project had shortcomings in sequencing activities and integrating inputs from preceding activities into subsequent products. Few results obtained during the first government administration were effectively incorporated into the activities implemented during the second administration. Also, input from activities implemented directly by LACO was not integrated seamlessly into the processes managed by SERNA.
321. Shortcomings in the quality and timing of the elaboration of the strategy for the implementation of the ICCMS impacted the efficiency and quality of subsequent processes, including the implementation of the ICCMS. Stricter contract management by SERNA, with support from UNEP, which may have included the option of terminating the consultants' contract, could have prevented or mitigated the effects of the consultants' unsatisfactory performance.
322. During the final 12 –18 months of project implementation, the PMU was most likely understaffed. As the project team focused on delivering the ICCMS, it had to rework several inputs that did not meet the required quality standards and expectations. Given that the staff of the PMU was reduced from the original seven members to two, and that the CBIT-HN Project will close with a relatively large amount of unspent grant resources, it appears that allocating additional staff to the PMU during the final stages may have yielded positive results.
323. **Conclusion 6. Key strategic question 5: How did the project adapt to the effects of COVID-19, and what was the impact of these adaptations on the sustainability of the monitoring system?** The global COVID-19 pandemic did not have a significant effect on the CBIT-HN Project. The Project started implementation in mid-2021, when the vaccination programme against COVID-19 was underway in Honduras. There were no key project activities that required travel or fieldwork. The ProDoc did not include a risk related to the pandemic, and the project team did not include or report such a risk in PIRs.
324. **Conclusion 7. Strategic relevance.** The CBIT-HN Project was well aligned with the development and climate-related policies of Honduras. Under the Paris Agreement, Honduras committed to developing national systems that meet the requirements of the ETF. The Project made a significant contribution towards that commitment. Supporting the development of these systems is also a priority for the GEF and UNEP.
325. The approval of the draft climate change law and regulation, produced with support from the Project, would represent a significant step towards effective climate action planning, implementation, monitoring and reporting in Honduras. Such an accomplishment would have benefits beyond the immediate scope of the CBIT-HN Project.
326. As a result, the Project's strategic relevance was highly satisfactory.
327. **Conclusion 8. Coordination with related initiatives.** A highlight of the CBIT-HN Project was the effective collaboration with the GEF-financed project for the elaboration of Honduras' NC4 and BTR1/BTR2. That collaboration contributed to the project team's efforts to address the shortcomings in the technical inputs available for the elaboration of the software solutions for the implementation of the ICCMS.
328. **Conclusion 9. Gender and Human-Rights.** Under the approved project scope, the CBIT-HN Project had limited opportunities to have an impact on Human Rights, gender equality, or the rights and participation of Indigenous Peoples, youth, and/or marginalized

groups. However, the project team seized opportunities to increase the Project's results in these areas. For example, the design of the ICCMS incorporated the gender and social inclusion dimensions that are part of Honduras' current NDC and the draft climate change law and regulations included relevant provisions on gender equality and social inclusion.

329. **Conclusion 10. Supervision and implementation.** The project team was competent and effectively navigated the challenges of project implementation. The core team was embedded in SERNA's climate change department and was supported by the staff of the Secretariat. Stakeholders interviewed for this TR often praised the commitment and skills of members of the project team. Specific project management functions demonstrated shortcomings, particularly in monitoring and reporting.
330. The PSC held five meetings during the implementation period, primarily for informational purposes. Given the complex institutional context for the implementation of the CBIT Project (which included several institutions from the national and subnational governments, private sector entities, academia and civil society) and the changes to the project scope and schedule, the Project could have benefited from a more active role of the PSC, meeting more often and discussing and approving plans and changes.

## B. Summary of project findings and ratings

331. The table below provides a summary of the ratings and findings discussed in Chapter V. Overall, the project demonstrates a rating of **Moderately Satisfactory**.

### UNEP Evaluation Office Validation of Performance Ratings:

The UNEP Evaluation Office formally quality assesses (see Annex X) management led Terminal Review reports and validates the performance ratings therein by ensuring that the performance judgments made are consistent with the evidence presented in the Review report and in line with the performance standards set out for independent evaluations.

The Evaluation Office assesses a Terminal Review report in the same way as it assesses the initial draft of a Terminal Evaluation report. It applies the following assumptions in its validation process:

- That what is being assessed is the contents of the report and the extent to which it makes a consistent and justifiable case for the performance ratings it records.
- That the consultant has, within the report, presented all the evidence that was made available to them.
- That the Review has been based on a robust Theory of Change, reconstructed where necessary, which reflects UNEP's definitions at all levels of results.
- That the project team and key stakeholders have already reviewed a draft version of the report and provided substantive comments and made factual corrections to the Review Consultant, who has responded to them. The Evaluation Office assumes, therefore, that it has received the Final (revised) version of the report.

In this instance the Evaluation Office assesses the quality of the Report at the 'Satisfactory' level and validates the overall project performance rating at the **'Moderately Satisfactory'** level.

**Table 4: Summary of project findings and ratings<sup>122</sup>**

| Criterion                                                                            | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Rating    | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|--------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------------------------------------------------------------------------------------------------|----------------------|
| <b>Strategic Relevance</b>                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>HS</b> | <b>The rating is validated.</b>                                                                          | <b>HS</b>            |
| 1. Alignment to UNEP MTS, POW and strategic priorities                               | The project contributed to the priorities in UNEP's Medium Term Strategy (MTS) (2018 – 2021) and Programme of Work (POW) (2020 – 2021) related to the implementation of national adaptation plans (NAPs), and low-carbon development strategies. The project was also aligned with UNEP's MTS for 2022 – 2025 and POW for 2022 – 2023, contributing to outcomes 1 and 3 of the strategy and outcomes 1.A. and 1.C. of the POW related to the adoption of low-carbon, climate-resilient pathways (outcomes 1 and 1.A.) and the implementation of the enhanced transparency framework (ETF) by state and non-state actors (outcomes 3 and 1.C.). | <b>HS</b> | <b>The rating is validated.</b>                                                                          | <b>HS</b>            |
| 2. Alignment to Donor/Partner strategic priorities                                   | The project was approved under GEF's Capacity-Building Initiative for Transparency (CBIT), a dedicated funding window to support the implementation of the ETF by beneficiary countries. The project's objectives, outputs and activities were well aligned with GEF's programming directions for CBIT,                                                                                                                                                                                                                                                                                                                                        | <b>HS</b> | <b>The rating is validated.</b>                                                                          | <b>HS</b>            |
| 3. Relevance to global, regional, sub-regional and national environmental priorities | The project contributed to the objectives of Honduras' current climate change law (Decree No. 297 of 2013) and contributed to the elaboration of draft climate change law and regulations. The proposed law and regulations, if adopted, would provide a solid legal background for climate-transparency in Honduras. The project contributed to commitments in Honduras' nationally determined contributions (NDC) of 2021 and 2025 related to the implementation of the enhanced transparency framework in the country.                                                                                                                      | <b>HS</b> | <b>The rating is validated.</b>                                                                          | <b>HS</b>            |
| 4. Complementarity with relevant existing interventions/coherence                    | The project collaborated with initiatives supported by the NDC Partnership, Climate Promise, and most notably, with the GEF-financed enabling activities for the elaboration of Honduras' fourth NC and first BTR (GEF Project ID 11312). Collaboration and complementarity with that enabling activity were substantial factors contributing to the results of the CBIT-HN Project.                                                                                                                                                                                                                                                           | <b>S</b>  | <b>The rating is validated.</b>                                                                          | <b>S</b>             |

<sup>122</sup> Most criteria will be rated on a six-point scale as follows: Highly Satisfactory (HS); Satisfactory (S); Moderately Satisfactory (MS); Moderately Unsatisfactory (MU); Unsatisfactory (U); Highly Unsatisfactory (HU). Sustainability and Likelihood of Impact are rated, also on a six-point scale, from Highly Likely (HL) down to Highly Unlikely (HU) and Nature of External Context is rated from Highly Favourable (HF) to Highly Unfavourable (HU).



| Criterion                         | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Rating    | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------------------------------------------------------------------------------------------------|----------------------|
| <b>Quality of Project Design</b>  | <p>The project document analysis concluded that it provided a sound presentation of the background and justification for developing a climate monitoring system in Honduras.</p> <p>The document elaborated on the baseline and starting situation, providing references to Honduras' national determined contribution, national communications and biennial update report to the United Nations Framework Convention on Climate Change. Activities, outputs, and outcomes were clearly described and linked together.</p> <p>However, no explicit discussion of the project's theory of change was included in the project document. The project results framework was mostly adequate, including indicators and targets that were relevant to assessing the project's progress towards achieving the proposed results. However, in the project document, outcomes and outputs were defined as lower-level results (i.e., outcomes and outputs were defined as deliverables). Key project management tools (i.e., project budget, workplan, and risk log) were included in the project document and were of high quality and relevance.</p>                                                                                                                                                                                                                           | <b>S</b>  | <b>The rating is validated.</b>                                                                          | <b>S</b>             |
| <b>Nature of External Context</b> | <p>Honduras held national elections in 2021 that resulted in changes to SERNA's administration. In the context of these changes, the Project underwent an internal review that included changes in the PMU staffing. The elections, however, were part of Honduras' regular election cycle and should have been incorporated into project management plans and schedules.</p> <p>The CBIT-HN Project started implementation in March 2021, during the COVID-19 outbreak. However, there is no evidence of significant COVID-related challenges to project activities.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>HF</b> | <b>The rating is validated.</b>                                                                          | <b>HF</b>            |
| <b>Effectiveness</b>              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>MS</b> | <b>The rating is validated.</b>                                                                          | <b>MS</b>            |
| 1. Availability of outputs        | <p>The project document listed five main outputs. The availability of outputs 1 (climate transparency information system and institutional framework), 2 (climate-related indicators) and 4 (operating guidelines for the climate transparency information system) was rated as moderately satisfactory. The information system for climate transparency was partially delivered (some modules could not be finalised on time), and the institutional arrangements could not be implemented as proposed in the project document. Climate indicators were delivered with support from the project, but they evidenced some quality shortcomings and were not widely adopted by their intended users. Guidelines for the transparency information system were delivered, but they reflected the reduced scope of the information system that was implemented.</p> <p>The financial mechanism proposed under Output 3 was not delivered, and the output was rated as unsatisfactory. Activities under output 5 were expected to provide training to the anticipated users of the transparency information system. Although some training sessions were delivered, their scope fell short of the expectations outlined in the project document. Most critically, there was limited time to train the intended users of the transparency information system on its use.</p> | <b>MU</b> | <b>The rating is validated.</b>                                                                          | <b>MU</b>            |

| Criterion                                                     | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Rating | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|---------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|----------------------------------------------------------------------------------------------------------|----------------------|
| 2. Achievement of project outcomes                            | <p>Progress towards the outcome was tracked using five indicators, including three that were based on the GEF Tracking Tool for CBIT-supported projects (indicators B, C and D) that rate the quality of the climate transparency system and the supporting institutional arrangements. While the project contributed to improving the quality of the transparency system and institutional framework in Honduras, the targets for indicators B, C and D were not met. Indicator E tracked the results of capacity-building activities targeting staff with transparency functions in Honduras. Establishing the value of indicator E required self-assessments of participants in training activities. Still, these assessments were not completed consistently, and this TR could not validate the value of the indicator at project end.</p> <p>Indicator F tracked the progress in identifying resources to finance climate transparency functions in Honduras. This TR concluded that the target of indicator F was not met.</p> | MS     | The rating is validated.                                                                                 | MS                   |
| 3. Likelihood of impact                                       | The likelihood of the project's impact was assessed against the reconstructed theory of change. Since the project outcome was only partially achieved, along with partial achievement of intermediate states, partial presence of drivers, and assumptions only partially holding, this TR concluded that the likelihood of the project's impact materialising is moderately likely.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | ML     | The rating is validated.                                                                                 | ML                   |
| <b>Financial Management</b>                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | S      | The rating is validated.                                                                                 | S                    |
| 1. Adherence to UNEP's financial policies and procedures      | SERNA followed UNEP's and its own financial management policies and procedures. Initial shortcomings in financial planning and reporting were overcome with technical backstopping and training sessions delivered by the implementing agency. Financial reports were timely and complete and included helpful explanations of expenditures incurred in the reporting period and justifications for deviations, as applicable. Annual disbursements were consistently below annual budgets. During the first five months of 2025, the project disbursed over 25% of the total GEF grant. No delays or other issues regarding the disbursement of funds were reported by stakeholders interviewed for this TR.                                                                                                                                                                                                                                                                                                                         | S      | The rating is validated.                                                                                 | S                    |
| 2. Completeness of project financial information              | The financial information available for this review included a detailed budget at project approval, revised budgets, annual expenditure reports, annual financial audit reports, annual inventory reports, and an example of a fund transfer from UNEP to SERNA. SERNA provided annual statements of its co-financing contributions, and, by the end of the project, the co-financing contributions were in line with amounts pledged at project approval.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | S      | The rating is validated.                                                                                 | S                    |
| 3. Communication between finance and project management staff | The implementing agency supported the financial planning, monitoring, and reporting of the project. Interviews with UNEP staff members indicated that communications on matters related to the financial management of the grant were periodic. Stakeholders interviewed for this TR reported long review and approval processes during some of the project revisions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | S      | The rating is validated.                                                                                 | S                    |
| <b>Efficiency</b>                                             | The project's implementation period was initially planned from March 2021 to March 2024 but was extended twice (at no additional cost) until May 2025. The project handover following the 2021 general elections significantly disrupted activities. The project underwent a prolonged revision, and all PMU staff changed. Very few results were achieved before the polls were carried over to the project's later phase. The shortcomings in the timing and quality of the strategy for the development of the transparency information system significantly delayed subsequent activities. The project collaborated effectively with the GEF-financed project for the elaboration of Honduras's fourth national communication and first and second biennial transparency reports to the United Nations Framework Convention on Climate Change.                                                                                                                                                                                    | U      | The rating is validated.                                                                                 | U                    |

| Criterion                               | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Rating    | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------------------------------------------------------------------------------------------------------|----------------------|
| <b>Monitoring and Reporting</b>         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>MU</b> | <b>The rating is validated.</b>                                                                          | <b>MU</b>            |
| 1. Monitoring design and budgeting      | The project document included a short discussion of planned M&E activities. However, this section of the document was relatively weak. No stand-alone M&E plan was available at the project start, nor was one produced during the implementation of the project. The details on M&E in the project document followed UNEP's common practice, and the document was approved by both GEF and UNEP. However, this TR concluded that projects financed by CBIT could benefit from detailed M&E plans to guide project implementation and improve the M&E function.                                                                                                                            | <b>U</b>  | The rating is validated.                                                                                 | <b>U</b>             |
| 2. Monitoring of project implementation | No stand-alone M&E plan was developed during project implementation. This was a significant weakness of the project, as key indicators, including indicator A to track the project's objective and indicator E to assess capacity building efforts, were not monitored and reported appropriately. In annual PIRs, the progress report towards objectives was overly optimistic, and risks were underestimated. Funds allocated to the inception workshop were not needed and the TR was completed below the cost estimated in the ProDoc. No mid-term review was planned and none was conducted. The project team did not update and report progress using the GEF Tracking Tool.         | <b>U</b>  | The rating is validated.                                                                                 | <b>U</b>             |
| 3. Project reporting                    | The project completed three annual project implementation review reports (2022 – 2024), two half-yearly progress reports (2023 and 2024), and a terminal report. The drafting of reports was mainly clear and concise. As noted above, ratings in PIRs for progress towards outcomes and outputs, and the assessment of the project risks were overly optimistic, underestimating the risks from delays in project activities.                                                                                                                                                                                                                                                             | <b>MS</b> | The rating is validated.                                                                                 | <b>MS</b>            |
| <b>Sustainability</b>                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>ML</b> | <b>The rating is validated.</b>                                                                          | <b>ML</b>            |
| 1. Socio-political sustainability       | The sustainability of the project's outcomes is closely tied to political dynamics, particularly the extent to which climate action is prioritised by national and subnational authorities in Honduras. At present, this political will is strong, as evidenced by Honduras's active engagement in international climate negotiations under the United Nations Framework Convention on Climate Change and its ongoing efforts to fulfil its commitments under both the Convention and the Paris Agreement. SERNA has committed in writing to complete the implementation of the climate transparency information system.                                                                   | <b>L</b>  | The rating is validated.                                                                                 | <b>L</b>             |
| 2. Financial sustainability             | Transparency functions in Honduras continue to rely heavily on external financial sources. The financial strategy and mechanism proposed in the project document to secure financing for transparency functions were not delivered as planned. However, there are sources of international climate finance that support national efforts to implement the enhanced transparency framework, including GEF's CBIT and enabling activities, the NDC Partnership, the Green Climate Fund, and others. In addition, the draft climate change law, developed with support from the project, includes key provisions to mobilise financial resources to support climate transparency in Honduras. | <b>ML</b> | The rating is validated.                                                                                 | <b>ML</b>            |

| Criterion                                        | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Rating | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|----------------------------------------------------------------------------------------------------------|----------------------|
| 3. Institutional sustainability                  | The key contributions to the institutional framework for climate transparency expected from the project were not delivered as planned (i.e., climate change observatory and dedicated climate monitoring unit at SERNA). Still, the draft climate change law and its regulations will incorporate responsibilities for climate action implementation and reporting into the role of government entities at the national and subnational levels. If approved, the law will contribute significantly to the institutional sustainability of project results. Political instability and staff turnover remain pervasive risks to the institutional sustainability of the project results. Future efforts to strengthen the enhanced transparency framework in Honduras will need to monitor these risks and implement appropriate risk management measures. | ML     | The rating is validated.                                                                                 | ML                   |
| <b>Factors Affecting Performance</b>             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | S      | The rating is validated.                                                                                 | S                    |
| 1. Preparation and readiness                     | GEF's CEO and UNEP approved the project in September 2020 and March 2021, respectively. Project implementation started promptly on 17 March 2021. The first disbursement was completed on 17 May 2021, the project manager was hired on 5 July 2021, and the project management was fully staffed by 22 November 2021. The first PSC meeting was held on 26 August 2021, followed by an inception workshop five days later. However, changes to the project, including the inclusion and subsequent removal of UNEP's Copenhagen Climate Centre participation, had a negative impact on the project schedule and activities.                                                                                                                                                                                                                             | MS     | The rating is validated.                                                                                 | MS                   |
| 2. Quality of project management and supervision | The responsibilities of SERNA and UNEP's GEF Climate Mitigation Unit (implementing agency) were detailed in a Project Cooperation Agreement signed in March 2021. The responsibilities and reporting lines of UNEP's Regional Office for Latin America and the Caribbean and UNEP's GEF Climate Mitigation Unit were stipulated in an Internal Cooperation Agreement signed on 29 October 2022.                                                                                                                                                                                                                                                                                                                                                                                                                                                          | MS     | The rating is validated.                                                                                 | MS                   |
| 2.1 UNEP/Implementing Agency:                    | A Project Steering Committee was established to plan and oversee project activities. The membership, responsibilities, and basic procedures of the PSC were adopted at its first meeting on 26 August 2021. The committee met five times (annually from 2021 to 2023, and twice in 2024). A Transparency Working Group was proposed in the project document to provide input and advice to SERNA and the PMU, but the group was not convened and did not play a role in project implementation.                                                                                                                                                                                                                                                                                                                                                          | MS     | The rating is validated.                                                                                 | MS                   |
| 2.2 Partners/Executing Agency:                   | The implementation of specific contracts and project deliverables, especially the strategy for the transparency information system, would have benefited from closer supervision by SERNA, supported by the implementing agency, to remedy the shortcomings of the deliverables. Stakeholders interviewed for this Terminal Review affirmed that the project staff remaining in office up to the project's closure demonstrated both competence and enthusiasm. The review concluded that adaptive project management had shortcomings, especially during the transition following general elections in 2021.                                                                                                                                                                                                                                            | MS     | The rating is validated.                                                                                 | MS                   |

| Criterion                                             | Summary assessment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Rating | Justification for any ratings' changes due to validation (Completed by the UNEP Evaluation Office – EOU) | EOU Validated Rating |
|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|----------------------------------------------------------------------------------------------------------|----------------------|
| 3. Stakeholders' participation and cooperation        | <p>The project document included a comprehensive and robust stakeholder analysis. However, the implementation phase would have benefited from regular updates to this analysis to enhance the targeting of beneficiaries for capacity-building efforts. Ownership of project results by SERNA is strong, as demonstrated by its leadership in advancing the draft climate change law and its support for the establishment of a climate change monitoring unit. Interviews with project stakeholders indicated that the level of interest and ownership among other entities ranged from strong to moderate.</p> <p>The collaboration with the project for developing Honduras fourth national communication and first and second biennial transparency reports to the United Nations Framework Convention on Climate Change was a highlight of the project implementation process, contributing to overcoming shortcomings deriving from late and low-quality deliverables (i.e., strategy for the implementation of the transparency information system).</p> | MS     | The rating is validated.                                                                                 | MS                   |
| 4. Responsiveness to human rights and gender equality | Honduras' nationally determined contributions have meaningful considerations of gender equality and social inclusion. The project incorporated these considerations in key project deliverables, including the design of the climate-related indicators and the transparency information system. The Project did not prepare a gender action plan but organised a gender mainstreaming workshop during the early stages of project implementation. In some cases, training activities collected gender-disaggregated information on participants. The project was not given a gender score at project appraisal and approval.                                                                                                                                                                                                                                                                                                                                                                                                                                   | S      | The rating is validated.                                                                                 | S                    |
| 5. Environmental and social safeguards                | <p>The project document included an annex on environmental, social, and economic safeguards screening that concluded that the project was low risk. During project implementation, the project team monitored safeguards-related risks and reported findings in project reports. No safeguards-related risks emerged during project implementation, and this review deemed the approach followed appropriate.</p> <p>The project team followed social distancing and other preventive measures adopted in response to the COVID-19 pandemic.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | S      | The rating is validated.                                                                                 | S                    |
| 6. Country ownership and driven-ness                  | <p>Interviews with project stakeholders confirmed that SERNA actively led project activities. The project management until was hosted in SERNA's offices in Tegucigalpa, and there was active involvement by SERNA's staff throughout the implementation period. Stakeholders interviewed for this TR confirmed that government entities participated in the validation of project results.</p> <p>Failure to establish the climate change observatory under the leadership of the Autonomous National University of Honduras was a significant shortcoming of the project.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | S      | The rating is validated.                                                                                 | S                    |
| 7. Communication and public awareness                 | The project did not develop a project-specific communications strategy. Communications with key audiences were direct through frequent remote and in-person meetings and workshops. The project developed a project-specific website, but the site is no longer accessible. The project also used social media to disseminate information (e.g., Facebook, YouTube, etc.). The project participated in activities organised by the CBIT Global Coordination Platform but did not use the knowledge dissemination features available on the platform.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | S      | The rating is validated.                                                                                 | S                    |
| <b>Overall Project Performance Rating</b>             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | MS     | The rating is validated.                                                                                 | MS                   |

## C. Lessons learned

|                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Lesson Learned #1:</b> | Developing and adopting a legal framework early on expedites the construction of capacities and institutional frameworks for climate transparency.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>Context/comment:</b>   | <p>The CBIT-HN Project contributed to the development of draft climate change law and regulations that include provisions on climate transparency. However, these products had not been included in the approved ProDoc, and they had not been approved by the end of the project implementation period.</p> <p>Having an appropriate and updated legal framework for climate transparency facilitates the allocation of resources and responsibilities for transparency-related functions, enabling the development of capacities and robust institutional frameworks for climate transparency. Therefore, the design of similar projects to support the implementation of the ETF could benefit from activities aimed at developing an enabling legal framework during the early stages of project implementation.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>Lesson Learned #2:</b> | The development of software solutions for climate transparency requires specialised, multi-disciplinary expertise that can be challenging to procure.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>Context/comment:</b>   | <p>Planning and developing software solutions for climate transparency requires (i) specialised knowledge of the ETF's MPG, (ii) familiarity with the national climate action planning and monitoring context, and (iii) expertise in software and database development.</p> <p>The initial inputs for the planning of the ICCMS were inadequate in terms of adherence to the ETF's MPG and relevance to Honduras' climate planning and monitoring context. Additionally, the consortium responsible for preparing these initial inputs did not collaborate with the firm developing the software solution for the ICCMS, limiting opportunities to revise and improve the system's initial concept and design.</p> <p>Similar projects supporting ETF implementation could benefit from ensuring access to the necessary multidisciplinary expertise for developing or updating software solutions related to climate transparency. Furthermore, the development process would benefit from improved planning and sequencing of activities to facilitate collaboration among the various experts and organisations involved. The role of a (part-time) Chief Technical Advisor with expertise in climate transparency supporting the PMU could also be instrumental in reviewing and ensuring the high quality of project deliverables, including system concepts, designs, prototypes, and final versions.</p> |
| <b>Lesson Learned #3:</b> | Monitoring risks from changes in government and implementing effective risk management responses are essential project management functions that ensure continuity of efforts and prevent delays.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Context/comment:</b>   | Changes in government staff and priorities following the 2021 general elections in Honduras led to significant delays and                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

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|                           | <p>adjustments in the CBIT-HN Project. While the overall objectives and outcomes were maintained, project staff changed, and key activities, such as the development of the ICCMS strategy, experienced delays.</p> <p>Although shifts in government priorities and personnel are common risks for projects like CBIT-HN, project teams can mitigate these effects through effective planning of the handover process to a new administration. Thorough documentation of project activities, results, and decisions can facilitate a smooth transition, reduce delays and minimise the need to redo work already initiated or completed.</p> <p>The GEF Agency and Project Steering Committee can assist during the transition process, reminding stakeholders of key objectives, milestones and deadlines.</p>                                                                                                                                                                                                                                                          |
| <b>Lesson Learned #4:</b> | Strengthening institutional arrangements for climate transparency requires building consensus gradually and periodically assessing their feasibility.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>Context/comment:</b>   | <p>Establishing a climate change observatory (ONCC-DS) to support climate transparency functions in Honduras was a key result of the CBIT-HN Project that ultimately did not materialise. Evidence from this TR revealed that the observatory was understood differently by various stakeholders and that the feasibility of the concept was not formally assessed. These differing expectations, along with the uncertainty surrounding its operation, potential success, financing needs, and sources, contributed to the decision to abandon efforts to establish the observatory under the CBIT-HN Project.</p> <p>Future efforts to establish similar institutional arrangements could benefit from a process that gradually advances from idea to concept, to (pre-)feasibility assessment, and to a detailed design. Throughout this process, engaging stakeholders is essential to building consensus and validating progress. Additionally, thorough documentation of the process can help prevent misunderstandings and support the continuity of efforts.</p> |
| <b>Lesson Learned #5:</b> | GEF agencies can support project teams in identifying and implementing improvements to project management functions.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Context/comment:</b>   | <p>The project team managing the CBIT-HN Project was competent and delivered the project activities effectively. Still, the team demonstrated shortcomings regarding certain formal project management functions, including adaptive project management, financial management, risk management, and monitoring and reporting. The GEF Agency identified challenges regarding the financial management function and provided training and guidance to the project team. A similar approach could have helped streamline the transition following the 2021 elections.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |



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| <b>Lesson learned #6</b> | Engaging with women groups, Indigenous Peoples and other vulnerable groups is a meaningful first step to addressing inequality and exclusion.                                                                                                                                                                                                                                                         |
| <b>Context/comment:</b>  | Under the original scope, the CBIT-HN Project had few opportunities to promote gender equality and human rights. However, the project team seized opportunities to mainstream considerations of gender equality and social inclusion in the design of ICCMS, including the development of indicators with gender-disaggregated information to track the implementation of climate action in Honduras. |

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| <b>Lesson Learned #7:</b> | The CBIT Global Coordination Platform can improve the delivery and dissemination of project results.                                                                                                                                                                                                                                                                                                                                                                             |
| <b>Context/comment:</b>   | The CBIT-HN Project made only limited use of the services offered by the CBIT Global Coordination Platform, particularly for disseminating project results. The platform can be an effective tool for learning and knowledge dissemination, but it requires participation from focal points and active projects financed by CBIT. The platform can also facilitate access to qualified experts by disseminating open vacancies and networking with a community of practitioners. |

#### D. Recommendations

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| <b>Recommendation #1:</b>                                       | SERNA should ensure the completion and delivery of the modules of ICCMS agreed to be delivered under the CBIT-HN Project.                                                                                                                                                                                                                            |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Sustainability of grant results.<br><br><u>Context.</u> The CBIT-HN Project closed before completing and delivering all the ICCMS modules it had under implementation. Both SERNA and the firm implementing the software solutions for the ICCMS have committed to finalising these products. SERNA should ensure that these products are completed. |
| <b>Priority Level:</b>                                          | High (Critical)                                                                                                                                                                                                                                                                                                                                      |
| <b>Type of Recommendation</b>                                   | Sustainability                                                                                                                                                                                                                                                                                                                                       |
| <b>Responsibility:</b>                                          | SERNA                                                                                                                                                                                                                                                                                                                                                |
| <b>Proposed implementation timeframe:</b>                       | 6 months                                                                                                                                                                                                                                                                                                                                             |

332. Cross-reference(s) to the rationale and supporting discussions:

- Section V.D., paragraphs 150, and 170 – 172.
- Section V.H., paragraph 264.
- Section VI.A., paragraphs 311, 314, and 316.



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| <b>Recommendation #2:</b>                                       | SERNA should ensure that the intended users of the ICCMS within SERNA and in other entities with transparency functions are adequately trained to use the system.                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Sustainability of grant results.<br><br><u>Context.</u> The CBIT-HN Project closed before all the modules of the ICCMS under implementation by the Project were completed and delivered, and, critically, before the intended users of the systems could be trained to use them. Both SERNA and the firm implementing the software solutions for the ICCMS have committed to finalising the pending modules of the ICCMS and training their users. SERNA should ensure that these trainings are delivered and that the training materials are preserved for future reference and use. |
| <b>Priority Level:</b>                                          | High (Critical)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>Type of Recommendation</b>                                   | Partners                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>Responsibility:</b>                                          | SERNA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>Proposed implementation timeframe:</b>                       | 6 months                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

333. Cross-reference(s) to the rationale and supporting discussions:

- Section V.D., paragraphs 180 – 181.
- Section V.H., paragraph 264.
- Section VI.A., paragraphs 311, 314, and 316.

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| <b>Recommendation #3:</b>                                       | SERNA should document the process followed to implement the climate change observatory, summarising the challenges encountered, and the options identified during the execution of the CBIT-HN Project.                                                                                                                                                                                                                                                                                      |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Sustainability of grant results.<br><br><u>Context.</u> The climate change observatory (ONCC-DS) was a key outcome of the CBIT-HN Project, which ultimately was not successfully delivered. Stakeholders interviewed for this TR still believe the concept is worth pursuing. Documenting the process undertaken by the CBIT-HN Project would preserve the experience gained and identify key challenges and gaps to be addressed in a potential future effort to establish the observatory. |
| <b>Priority Level:</b>                                          | Medium (Important)                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>Type of Recommendation</b>                                   | Knowledge management                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>Responsibility:</b>                                          | SERNA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>Proposed implementation timeframe:</b>                       | 6 months                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |

334. Cross-reference(s) to the rationale and supporting discussions:

- Section V.D., paragraphs 137, 138 and 139.
- Section V.H., paragraph 270.
- Section VI.A., paragraphs 311 and 313.

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| <b>Recommendation #4:</b>                                       | SERNA should consider pursuing a follow-up project financed by CBIT.                                                                                                                                                                                                                                                                                                                                         |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Sustainability of grant results.<br><br><u>Context.</u> The CBIT-HN Project made significant and relevant progress in strengthening the ETF in Honduras. However, the country needs to continue improving capacities, institutional arrangements, and systems to comply with all the requirements of the ETF. A follow-up project, financed by CBIT, would bring Honduras closer to compliance with the ETF. |
| <b>Priority Level:</b>                                          | Medium (Important)                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>Type of Recommendation</b>                                   | Sustainability                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>Responsibility:</b>                                          | SERNA                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>Proposed implementation timeframe:</b>                       | 6 months                                                                                                                                                                                                                                                                                                                                                                                                     |

335. Cross-reference(s) to the rationale and supporting discussions:

- Section V.D., paragraphs 150, 163, and 170 – 172.
- Section V.H., paragraphs 267, 268 and 271.
- Section VI.A., paragraphs 311, 312, 313, and 325.

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| <b>Recommendation #5:</b>                                       | SERNA should plan for and facilitate the implementation of the provisions on gender equality and social inclusion included in the (draft) climate change law.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Gender equality and social inclusion.<br><br><u>Context.</u> The draft climate change law, developed with support from the CBIT-HN Project, includes relevant and ambitious actions to promote gender equality and social inclusion in the context of climate action planning and implementation. These actions are to be implemented by several stakeholders, including SERNA, the Ministry for Social Development (i.e., <i>Secretaría de Desarrollo Social</i> ), and the Ministry of Women (i.e., <i>Secretaría de la Mujer</i> ).<br><br>While SERNA monitors the law's approval process, it should plan for the implementation of the provision on gender equality and social inclusion, and facilitate their implementation, as appropriate. Plans for the implementation of these considerations could include targeted activities under a possible CBIT follow-up project (see recommendation #4). |
| <b>Priority Level:</b>                                          | Medium (Important)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>Type of Recommendation</b>                                   | Gender                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>Responsibility:</b>                                          | SERNA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>Proposed implementation timeframe:</b>                       | 12 months (possible in the context of the design of a CBIT follow-up project)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |

336. Cross-reference(s) to the rationale and supporting discussions:

- Section V.I., paragraph 292.
- Section VI.A. paragraph 328.

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| <b>Recommendation #6:</b>                                       | UNEP should ensure that project teams implementing projects financed by the Capacity Building Initiative for Transparency elaborate and implement monitoring and evaluation plans as part of standard project management functions. These plans could be informed capacity-building plans detailing the scope, topics, audience, and targets of capacity-building activities. |
| <b>Challenge/problem to be addressed by the recommendation:</b> | Improve the quality of project monitoring and reporting.                                                                                                                                                                                                                                                                                                                      |
| <b>Priority Level:</b>                                          | Medium (Important)                                                                                                                                                                                                                                                                                                                                                            |
| <b>Type of Recommendation</b>                                   | Monitoring plan                                                                                                                                                                                                                                                                                                                                                               |
| <b>Responsibility:</b>                                          | UNEP                                                                                                                                                                                                                                                                                                                                                                          |
| <b>Proposed implementation time-frame:</b>                      | 6 months                                                                                                                                                                                                                                                                                                                                                                      |

Cross-reference(s) to the rationale and supporting discussions:

- Section V.D., paragraphs 196, 197, 207 and 208.
  - Section V.G., paragraphs 252, 253, 255 and 256.
1. Conclusion 10, paragraph 329.
  2. Lesson learned #5.

## ANNEX I. RESPONSE TO STAKEHOLDER COMMENTS

**Table I.1. Response to stakeholder comments received but not (fully) accepted by the reviewers, where appropriate**

| Page Ref                                                                       | Stakeholder comment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Reviewer Response                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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| <p>Page 12, paragraph 7.</p> <p>Repeated on page 44, paragraphs 122 – 124.</p> | <p>Original comment: Este punto debe ser revisado, ya que sí se presentaron impactos derivados tanto de la pandemia de COVID-19 como del cambio de gobierno, los cuales afectaron la adecuada implementación del proyecto y generaron retrasos. Estos efectos están documentados en los reportes de monitoreo del proyecto y en la revisión REV3.</p> <p>Además de lo anterior, al final del proyecto, la salida del viceministro y la salida de la directora de cambio climático por incapacidad médica, también afectaron los procesos de revisión y aprobación de los productos del proyecto.</p> <p>Machine translation of the comment: This point should be reviewed, as there were indeed impacts resulting from both the COVID-19 pandemic and the change of government, which affected the proper implementation of the project and caused delays. These effects are documented in the project's monitoring reports and in the REV3 review.</p> <p>In addition to the above, at the end of the project, the departure of the deputy minister and the exit of the climate change director due to medical incapacity also affected the processes of review and approval of the project's deliverables.</p> | <p>None of the PIR reports discusses the impact of the COVID-19 pandemic on project activities. Stakeholders interviewed for the TR did not attribute project delays to the COVID-19 pandemic.</p> <p>The design of the project and the project team need to make provisions for changes due to scheduled elections and address those risks through effective adaptive project management. Therefore, effects from scheduled elections are not a factor to be considered in the assessment of the project's external context.</p>                                                                                                                                                                                                                                                                         |
| <p>Page 47, paragraph 133.</p>                                                 | <p>Original comment: Esto nuevamente lleva al punto del primer paso, un diseño conceptual del sistema de transparencia. Tener en cuenta que el país aún esta en fases muy tempranas de conceptualización de la integración de los mecanismos de mercados, en este sentido, puede ser que diseñar conceptualmente este modulo no haya sido apropiado para el nivel de avance del país</p> <p>Machine translation of the comment: This again brings us to the point of the first step, a conceptual design of the transparency system. It is important to note that the country is still in very early phases of conceptualizing the integration of market mechanisms. In this sense, it may not have been appropriate to conceptually design this module given the country's level of progress.</p>                                                                                                                                                                                                                                                                                                                                                                                                               | <p>The discussion of Article 6.4 of the Paris Agreement in the design of the climate transparency information system contains several factual errors that reflect a lack of quality in the deliverables, rather than the actual state of development of the system in Honduras.</p> <p>As noted in paragraph 133 of the report, prior to the submission of the design for the climate transparency information system, the CBIT HN Project had produced inputs that accurately addressed Article 6.4 of the Paris Agreement. These inputs were developed at an even earlier stage of Honduras' transparency system and were accurate.</p> <p>In comparison to those early inputs, the subsequent design of the system represented a step back rather than a step forward in the system's development.</p> |

## ANNEX II. REVIEW FRAMEWORK/MATRIX

The following table describes the key criteria, questions, sources of information and methodology used for the terminal review.

**Table II.1. Review framework**

| Review criteria and questions                                                                                                                                                                                                                 | Indicators                                                                                                                                                                                                                                                   | Sources of information                                    | Methodology                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| <b>Key Strategic Questions</b>                                                                                                                                                                                                                |                                                                                                                                                                                                                                                              |                                                           |                                                                                                               |
| 1. Did State and non-State actors in Honduras adopt the ETF under the Paris Agreement, ensuring its long-term sustainability within national systems? (assessed under effectiveness, sustainability, and stakeholders' participation)         | Evidence of stakeholders participating in ETF-related activities and processes. Evidence of institutional arrangements and agreements to secure the sustainability of project outcomes. Statements provided by decision-makers and stakeholders.             | Project reports<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| 2. Did the project strengthen the transparency mechanisms of national institutions, ensuring their capacity for continuous domestic and international climate reporting? (assessed under effectiveness)                                       | Evidence of capacity gains by partner entities. Evidence of improvements in transparency mechanisms. Statements provided by decision-makers and stakeholders.                                                                                                |                                                           |                                                                                                               |
| 3. Did State and non-State actors adopt the new tools developed by the project, and are there measures in place to ensure their future use and functionality? (assessed under effectiveness, sustainability, and stakeholders' participation) | Evidence of stakeholders adopting tools and processes developed with the project's support. Evidence of institutional arrangements and agreements to secure the sustainability of project outcomes. Statements provided by decision-makers and stakeholders. |                                                           |                                                                                                               |
| 4. Was the project executed efficiently, with a focus on enhancing institutional capacity for the long-term sustainability of climate data systems? (assessed under efficiency and sustainability)                                            | Assessment of project extension(s). Evidence of measures to increase the cost-efficiency of project activities. Evidence of effective coordination of activities and results. Statements provided by decision-makers and stakeholders.                       | Project reports<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |

| Review criteria and questions                                                                                                                                                                                                                          | Indicators                                                                                                                                          | Sources of information                                                                   | Methodology                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------------------------------|
| 5. How did the project adapt to the effects of COVID-19, and what was the impact of these adaptations on the sustainability of the monitoring system?<br>(assessed under nature of external context, quality of project management and sustainability) | Evidence of compliance with COVID-19-related measures.<br>Evidence of adequate adaptive project management.<br>Statements provided by stakeholders. |                                                                                          |                                                 |
| <b>Strategic relevance</b>                                                                                                                                                                                                                             |                                                                                                                                                     |                                                                                          |                                                 |
| <b>i. Alignment to UNEP's MTS, POW and strategic priorities</b>                                                                                                                                                                                        |                                                                                                                                                     |                                                                                          |                                                 |
| To what extent was the CBIT-HN Project aligned to UNEP's Medium-Term Strategy (MTS), Programme of Work (POW) and Strategic Priorities?                                                                                                                 | Consistency between the CBIT-HN Project's objectives/results and MTS, POW, and Strategic Priorities.                                                | ProDoc<br>Project reports<br>UNEP's MTS, POW, and Strategic Priorities                   | Desk review<br>Interviews with the project team |
| <b>ii. Alignment to donor/partner strategic priorities</b>                                                                                                                                                                                             |                                                                                                                                                     |                                                                                          |                                                 |
| To what extent was the Project aligned with GEF's CBIT priorities?                                                                                                                                                                                     | Consistency between the Project's objectives/results and CBIT programming documents.                                                                | ProDoc<br>Project reports<br>CBIT programming documents                                  | Desk review<br>Interviews with stakeholders     |
| <b>iii. Relevance to regional, sub-regional and national environmental priorities</b>                                                                                                                                                                  |                                                                                                                                                     |                                                                                          |                                                 |
| How relevant was the CBIT-HN Project to global, regional, sub-regional and national environmental priorities?                                                                                                                                          | Consistency between the Project's objectives/results and Honduras' climate change policies and plans, NDC, BTR's, etc.                              | ProDoc<br>Project reports<br>Honduras' NDC, BTR, climate change policies and plans, etc. | Desk review<br>Interviews with stakeholders     |
| <b>iv. Complementarity with relevant existing interventions</b>                                                                                                                                                                                        |                                                                                                                                                     |                                                                                          |                                                 |
| To what extent was the CBIT-HN Project complementary to other climate change initiatives in Honduras?                                                                                                                                                  | Consistency between the Project's objectives/results and other climate change initiatives.                                                          | ProDoc<br>Project reports                                                                | Desk review<br>Interviews with stakeholders     |
| <b>Quality of project design</b>                                                                                                                                                                                                                       |                                                                                                                                                     |                                                                                          |                                                 |
| <i>Please refer to annex C to this inception report.</i>                                                                                                                                                                                               |                                                                                                                                                     |                                                                                          |                                                 |
| <b>Nature of external context</b>                                                                                                                                                                                                                      |                                                                                                                                                     |                                                                                          |                                                 |
| i. How did the project team cope with government change and instability in participating entities?                                                                                                                                                     | Evidence of communications with participating government entities to plan and implement project activities.                                         | ProDoc<br>Project reports                                                                | Desk review<br>Interviews with the project team |
| ii. How well did the project team implement and document adaptive management measures?                                                                                                                                                                 | Evidence of documented revisions to the ProDoc and/or annual work plans to adjust project activities to changing circumstances and risks.           | ProDoc<br>Project reports                                                                | Desk review<br>Interviews with the project team |
| <b>Effectiveness</b>                                                                                                                                                                                                                                   |                                                                                                                                                     |                                                                                          |                                                 |
| <b>i. Availability of Outputs</b>                                                                                                                                                                                                                      |                                                                                                                                                     |                                                                                          |                                                 |

| Review criteria and questions                                                                                                                                                                                                                                                                                                                 | Indicators                                                                                                                                                                                                                                                     | Sources of information                                                              | Methodology                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| <b>Output 1.</b><br>i. Did the Project deliver a design of the monitoring system?<br>ii. Was the legal framework for the operation of the monitoring systems defined and adopted?<br>ii. Was the monitoring system delivered/ commissioned?<br>iii. Was a roadmap for the further development of Honduras' ETF delivered?                     | Evidence of the delivery of the design of the monitoring system.<br>Evidence of legal arrangements for the operation of the monitoring system.<br>Evidence of an operational monitoring system.<br>Evidence of a roadmap for the development of Honduras' ETF. | Project deliverables                                                                | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| <b>Output 2.</b><br>i. Were the proposed climate-related indicators defined with participation from key stakeholders?<br>ii. Were the indicators validated by key stakeholders?<br>iii. Were guidelines and training for the use of indicators delivered How many participants were trained and what was the fraction of female participants? | Evidence of climate-related indicators defined and validated with support from the Project.<br>Evidence of guidelines for the use of indicators.<br>Evidence of training provided to stakeholders.<br>Statements provided by stakeholders.                     | Project deliverables<br>Project contracts<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| <b>Output 3.</b><br>i. Was a proposal for strategy/roadmap to secure the financial sustainability of the monitoring system delivered with support from the Project?<br>ii. Did SEFIN provide input to the proposal?<br>iii. Was progress made towards the adoption of the strategy/roadmap?                                                   | Evidence of a proposal for securing the financial sustainability of the monitoring system.<br>Statements provided by stakeholders.                                                                                                                             |                                                                                     |                                                                                                               |
| <b>Output 4.</b><br>i. Did the Project deliver the guidelines and technical requirements for the design and operation of the monitoring system?<br>ii. Was the IT hardware and software purchased and delivered in accordance with the technical specifications?                                                                              | Evidence of guidelines and technical requirements.<br>Evidence of the procurement of software and hardware.                                                                                                                                                    |                                                                                     |                                                                                                               |

| Review criteria and questions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Indicators                                                                                                                                                                                                                                            | Sources of information                                                                                                                   | Methodology                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| <b>Output 5.</b><br>i. Did the Project design a training programme based on the assessment of capacity development needs?<br>ii. Was the training programme delivered?<br>iii. How many people were trained and how many of these were women?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GEF core indicator 11.<br>Evidence of a capacity development needs assessment.<br>Evidence of training provided to stakeholders.<br>Statements provided by stakeholders.                                                                              | Project deliverables.<br>List of participants in training activities.<br>Project beneficiaries and stakeholders                          | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| <b>ii. Achievement of Project Outcomes</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                       |                                                                                                                                          |                                                                                                               |
| <b>Objective.</b><br>i. How many entities participate in climate monitoring and reporting?<br>ii. How did the Project measure compliance with integrating reporting?<br>iii. How many entities were deemed in compliance?<br><b>Outcome.</b><br>i. How did the Project contribute to improving the quality of the MRV system and reporting of mitigation and adaptation actions in Honduras.<br>ii. How did the Project contribute to develop the institutional arrangements for transparency in Honduras.<br>iii. How did the Project improve the capacities of beneficiaries for climate action monitoring and reporting?<br>iv. How has staff turnover affected the development and permanence of capacities?<br>v. Have financial resources been identified to operate/maintain the monitoring system and other project results? | Objective indicator A.<br>Outcome indicators B – F.<br>Evidence of climate action reporting by relevant entities.<br>Evidence of allocation of financial resources to climate monitoring and reporting.<br>Statements provided by stakeholders.       | Project deliverables<br>GEF tracking tool at terminal review<br>Reports of training activities<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| <b>iii. Likelihood of Impact</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                       |                                                                                                                                          |                                                                                                               |
| i. How likely is it that the results (e.g., procedures, tools, etc.) from the CBIT-HN Project will be replicated and adopted by authorities planning and reporting climate action in Honduras?<br>ii. Are roles and responsibilities related to climate action planning and reporting clear                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Evidence of planning and reporting following tools and procedures developed with support from the CBIT-HN Project.<br>Evidence of formal or informal partnerships and collaboration arrangements.<br>Evidence of allocation of financial resources to | Project reports.<br>Project beneficiaries and stakeholders.                                                                              | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |



| Review criteria and questions                                                                                                                                                                                                                                                       | Indicators                                                                                                         | Sources of information                    | Methodology                                                                                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------|----------------------------------------------------------------------------------------------------|
| and understood by stakeholders?<br>iii. Is there evidence that stakeholders are establishing or strengthening partnerships to improve climate action planning and reporting in Honduras?<br>iv. Are the financial resources allocated to climate monitoring and reporting adequate? | climate monitoring and reporting.<br>Statements provided by decision-makers and stakeholders.                      |                                           |                                                                                                    |
| Financial management                                                                                                                                                                                                                                                                |                                                                                                                    |                                           |                                                                                                    |
| i. To what extent was the grant's financial management done following UNEP's financial management standards?                                                                                                                                                                        | Evidence of adherence to financial policies and standards.                                                         | Project reports                           | Desk review<br>Interviews with the project team                                                    |
| ii. Were there appropriate financial controls and mechanisms in place to allow effective project management?                                                                                                                                                                        | Adequacy of financial control mechanisms<br>Findings from auditors                                                 | Project report<br>Audit reports           |                                                                                                    |
| iii. How complete and accurate was the project's financial reporting?                                                                                                                                                                                                               | Quality of financial reports.                                                                                      | Project reports<br>Project communications |                                                                                                    |
| iv. To what degree did project expenditures match the approved budget?                                                                                                                                                                                                              | Degree of alignment of grant expenditures to the approved budget.                                                  |                                           |                                                                                                    |
| v. Were changes to the project budget adequately approved and documented?                                                                                                                                                                                                           | Evidence of approval and documentation of changes to grant budget.                                                 |                                           |                                                                                                    |
| vi. To what degree did the expected co-financing materialize and contribute to the project's objectives                                                                                                                                                                             | Evidence of co-financing.                                                                                          |                                           |                                                                                                    |
| vii. How effective and timely were the communications between the project and financial management staff?                                                                                                                                                                           | Evidence of communication between project and financial management staff.                                          |                                           |                                                                                                    |
| Efficiency                                                                                                                                                                                                                                                                          |                                                                                                                    |                                           |                                                                                                    |
| i. Were grant funds spent according to plans?                                                                                                                                                                                                                                       | Degree of consistency between grant expenditures, grant budget and annual work plans.                              | ProDoc<br>Project reports                 | Desk review<br>Interviews with the project team<br>Desk review<br>Interviews with the project team |
| ii. To what extent was the grant implemented in a cost-effective and timely manner?                                                                                                                                                                                                 | Adherence of grant activities to grant budget and schedules.                                                       |                                           |                                                                                                    |
| iii. How well were significant delays managed by the project team and implementing partners?                                                                                                                                                                                        | Evidence of actions taken to anticipate and respond to sources of delay to the implementation of grant activities. |                                           |                                                                                                    |
| iv. Did the CBIT-HN Project leverage partnerships with                                                                                                                                                                                                                              |                                                                                                                    |                                           |                                                                                                    |

| Review criteria and questions                                                                                                                                    | Indicators                                                                                                                                                     | Sources of information                                   | Methodology                                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| partner organizations and related projects and initiatives to improve efficiency?                                                                                | Evidence of cost-efficient collaborations.                                                                                                                     |                                                          | Desk review<br>Interviews with the project team                                                                     |
| v. Are there any relevant lessons or recommendations regarding efficiency to be codified?                                                                        | Lessons and recommendations identified by stakeholders.                                                                                                        | ProDoc<br>Project reports                                | Desk review<br>Interviews with project stakeholders<br>Interviews with the project team                             |
| Monitoring and Reporting                                                                                                                                         |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. Monitoring Design and Budgeting                                                                                                                               |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. Did the monitoring plan have SMART indicators?                                                                                                                | Smart indicators in the results framework.                                                                                                                     | ProDoc                                                   | Desk review                                                                                                         |
| ii. Did the budget include resources for monitoring, reporting and evaluation activities?                                                                        | The budget includes adequate budget lines for monitoring and reporting activities.                                                                             |                                                          |                                                                                                                     |
| ii. Monitoring of Project Implementation                                                                                                                         |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. Was the monitoring plan implemented as intended?                                                                                                              | Project reports submitted following the provisions in the monitoring plan                                                                                      | ProDoc<br>Project reports<br>GEF Tracking Tool           | Desk review<br>Interviews with the project team                                                                     |
| ii. Were resources allocated for M&E expended as planned?                                                                                                        | Project budgets and expenditures conform to provisions in the monitoring plan                                                                                  |                                                          |                                                                                                                     |
| iii. Did the project monitor and report against GEF Core Indicator Targets (i.e. core indicator 11) and indicators in the GEF Tracking Tool?                     | GEF Core Indicator 11 GEF Tracking Tool                                                                                                                        |                                                          |                                                                                                                     |
| Iv. What was the performance at project's completion against Core Indicator Targets?<br>(GEF Portal question)                                                    | GEF Core Indicator 11 GEF Tracking Tool                                                                                                                        |                                                          |                                                                                                                     |
| iii. Project Reporting                                                                                                                                           |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. Were project reports produced in a timely manner and following requirements from GEF and UNEP?                                                                | Evidence of submission of reports following requirements and agreed schedule.                                                                                  | ProDoc<br>Project reports                                | Desk review<br>Interviews with the project team                                                                     |
| ii. Was the quality of project reports adequate to assess progress and risks to implementation?                                                                  | Assessment of the quality of project reports.<br>Evidence of shortcomings in project reports                                                                   |                                                          |                                                                                                                     |
| H. Sustainability                                                                                                                                                |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. Socio-political Sustainability                                                                                                                                |                                                                                                                                                                |                                                          |                                                                                                                     |
| i. How likely is it that the Government of Honduras will sustain or expand efforts to build national capacities to plan and report climate action under the ETF? | Evidence of actions by the Government of Honduras to sustain/expand climate capacities under the ETF. Statements provided by decision-makers and stakeholders. | Press releases<br>Project beneficiaries and stakeholders | Internet research<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| ii. How likely is it that the ONCC-DS will continue supporting                                                                                                   | Evidence of ONCC-DS committing to participate                                                                                                                  |                                                          |                                                                                                                     |

| Review criteria and questions                                                                                                                                                                                       | Indicators                                                                                                                              | Sources of information                                    | Methodology                                                                                                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| ETF-related activities in Honduras                                                                                                                                                                                  | in ETF-related activities. Statements provided by decision-makers and stakeholders.                                                     |                                                           |                                                                                                                     |
| iii. Are there any social or political risks to the permanence of the project’s outcomes?                                                                                                                           | Statements provided by decision-makers and stakeholders.                                                                                | Project beneficiaries and stakeholders                    | Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders                      |
| ii. Financial Sustainability                                                                                                                                                                                        |                                                                                                                                         |                                                           |                                                                                                                     |
| i. Are (will be) there financial provisions in place to support climate action planning and reporting functions in participating governmental entities?                                                             | Evidence of financial provisions to secure planning and reporting functions. Statements provided by decision-makers and stakeholders.   | Press releases<br>Project beneficiaries and stakeholders  | Internet research<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| ii. Has the Government of Honduras made progress in mobilising resources for the operation of the monitoring system?                                                                                                | Evidence of a proposal to mobilise resources to operate the monitoring system. Statements provided by decision-makers and stakeholders. |                                                           |                                                                                                                     |
| iii. Institutional Sustainability                                                                                                                                                                                   |                                                                                                                                         |                                                           |                                                                                                                     |
| i. Do SERNA, SAG, SEN and other participating government entities perceive value/benefit in planning and reporting climate action?                                                                                  | Statements provided by decision-makers and stakeholders.                                                                                | Press releases<br>Project beneficiaries and stakeholders  | Internet research<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| ii. Have/will participating government entities make provisions to incorporate the climate action planning and reporting functions into the entities’ functional organisational structure and operating procedures? | Evidence of entities adopting climate action planning and reporting functions. Statements provided by decision-makers and stakeholders. |                                                           |                                                                                                                     |
| iii. How likely is it that ONCC-DS will continue supporting ETF-related activities in Honduras                                                                                                                      | Evidence of ONCC-DS committing to participate in ETF-related activities. Statements provided by decision-makers and stakeholders.       |                                                           |                                                                                                                     |
| I. Factors Affecting Project Performance and Cross-Cutting Issues                                                                                                                                                   |                                                                                                                                         |                                                           |                                                                                                                     |
| i. Preparation and Readiness                                                                                                                                                                                        |                                                                                                                                         |                                                           |                                                                                                                     |
| i. Was an inception meeting held, and a report produced?                                                                                                                                                            | Inception meeting report.                                                                                                               | Project reports<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team.                                                                    |
| ii. Was an annual, costed workplan prepared at the start of the project?                                                                                                                                            | Annual workplan.                                                                                                                        |                                                           |                                                                                                                     |
| iii. Was a procurement plan prepared at the start of the project?                                                                                                                                                   | Procurement plan.                                                                                                                       |                                                           |                                                                                                                     |

| Review criteria and questions                                                                                                                                           | Indicators                                                                                                                                              | Sources of information                                                            | Methodology                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| iv. Was the PSC established in a timely manner and with adequate representation?                                                                                        | Minutes of first PSC meeting.                                                                                                                           |                                                                                   |                                                                                                               |
| v. What was the time between project approval and first disbursement?                                                                                                   | Evidence of first disbursement                                                                                                                          |                                                                                   |                                                                                                               |
| ii. Quality of Project Management and Supervision                                                                                                                       |                                                                                                                                                         |                                                                                   |                                                                                                               |
| i. How effective was the oversight by the PSC?                                                                                                                          | Minutes of PSC meetings.                                                                                                                                | Minutes of PSC meetings.<br>Members of the PSC.                                   | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| ii. How effective was the implementing partner (SERNA) in the execution of their assigned activities?                                                                   | The extent to which implementing partners adhered to work plans, budgets, and schedules.                                                                | Project reports.<br>Project partners.<br>Project team members.                    |                                                                                                               |
| iii. Was the supervision and guidance provided by UNEP’s team effective and timely?                                                                                     | Oversight missions were conducted as planned.<br>Evidence that responses to emerging issues were clear and timely                                       | Mission reports.<br>Project reports.<br>Project team members.                     |                                                                                                               |
| iv. How effective was the project team in adapting to changes in circumstances (potentially) affecting the project?                                                     | Evidence of project team anticipating and responding to changes.                                                                                        | Project communications.<br>Project reports.<br>Project team members.              |                                                                                                               |
| iii. Stakeholder Participation and Cooperation                                                                                                                          |                                                                                                                                                         |                                                                                   |                                                                                                               |
| i. Were all relevant stakeholders adequately identified at grant design?                                                                                                | The extent to which the stakeholders’ analysis in the ProDoc was complete and adequate.                                                                 | ProDoc                                                                            | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| ii. Were project activities consulted with relevant stakeholders?                                                                                                       | Evidence of consultations with stakeholders at various stages of project implementation.                                                                | Project reports<br>Project team members<br>Project beneficiaries and stakeholders |                                                                                                               |
| iii. Did the Project develop effective partnerships?                                                                                                                    | Evidence of continuous support for and participation in grant activities by stakeholders.                                                               |                                                                                   |                                                                                                               |
| iv. Responsiveness to Human Rights and Gender Equality                                                                                                                  |                                                                                                                                                         |                                                                                   |                                                                                                               |
| i. How well did the CBIT-HN Project contribute to gender equality and women’s empowerment?                                                                              | Levels of participation of women in grant activities.<br>Incorporation of gender considerations in the planning and execution of grant activities.      | Project reports<br>Project beneficiaries and stakeholders                         | Desk review<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| ii. How well did the project team take into consideration the specific needs and roles of women, disadvantaged individuals, and vulnerable groups in the implementation | Evidence of consideration of women, disadvantaged individuals, and vulnerable groups in the design, implementation, and monitoring of grant activities. |                                                                                   |                                                                                                               |

| Review criteria and questions                                                                                                                                                                                                                                                                                                            | Indicators                                                                                                                                      | Sources of information                                                            | Methodology                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
| and monitoring of grant activities?                                                                                                                                                                                                                                                                                                      |                                                                                                                                                 |                                                                                   |                                                                                                               |
| iii. What were the completed gender-responsive measures and, if applicable, actual gender result areas? ( <i>GEF Portal question</i> )                                                                                                                                                                                                   | Levels of participation of women in grant activities. Incorporation of gender considerations in the planning and execution of grant activities. |                                                                                   |                                                                                                               |
| <b>v. Environmental and Social Safeguards</b>                                                                                                                                                                                                                                                                                            |                                                                                                                                                 |                                                                                   |                                                                                                               |
| i. Were risks related to environmental and social safeguards (ESS) adequately screened at the stages of project design and approval?                                                                                                                                                                                                     | Evidence of ESS risks screening and ratings during grant design and approval.                                                                   | ProDoc                                                                            | Desk review<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| ii. Were ESS risks adequately monitored and reported during project implementation?                                                                                                                                                                                                                                                      | Evidence of ESS risks monitoring and reporting.                                                                                                 | Project reports                                                                   |                                                                                                               |
| iii. Were there any issues related to ESS that had to be addressed during project implementation?<br>If that was the case, how adequate was the response by the project team and relevant partners and stakeholders?                                                                                                                     | Evidence of adequate response by the project team and relevant partners and stakeholders to ESS-related issues.                                 | Project reports<br>Project communications                                         |                                                                                                               |
| iv. To what extent did the project team minimise the project's environmental footprint?                                                                                                                                                                                                                                                  | Evidence of measures to reduce the project's environmental footprint                                                                            | Project reports                                                                   | Desk review<br>Interviews with the project team                                                               |
| v. What was the progress made in the implementation of the management measures against the Safeguards Plan submitted at CEO Approval? ( <i>GEF Portal question</i> )                                                                                                                                                                     | Evidence of adequate response by the project team, relevant partners and stakeholders to ESS-related issues.                                    | Project reports<br>Project communications                                         | Desk review<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| <b>vi. Country Ownership and Drivenness</b>                                                                                                                                                                                                                                                                                              |                                                                                                                                                 |                                                                                   |                                                                                                               |
| i. What was the role of SERNA, SEFIN, SAG, SEN and other government entities related to:<br>a. Guiding project delivery.<br>b. Advocating for achieving ambitious results.<br>c. Validating and accepting deliverables.<br>d. Initiating additional or complementary activities.<br>e. Providing co-financing resources (incl. in-kind). | Evidence of participation of relevant entities.                                                                                                 | Project reports<br>Project deliverables<br>Project beneficiaries and stakeholders | Desk review<br>Interviews with the project team<br>Interviews with key Project beneficiaries and stakeholders |
| ii. What was the level of interest by government entities adopting climate action/finance reporting procedures/tools developed with support from the project?                                                                                                                                                                            | Evidence of interest by government entities in adopting planning and reporting procedures/tools.                                                |                                                                                   |                                                                                                               |

| Review criteria and questions                                                                                                                                                                                                                                                                                                              | Indicators                                                                                                          | Sources of information                                                                                                    | Methodology                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| iii. What was the level of participation of representatives from government agencies in training and knowledge-sharing activities delivered by the CBIT-HN Project?                                                                                                                                                                        | Evidence of participation from representatives of government agencies in training and knowledge-sharing activities. |                                                                                                                           |                                                                                                                                    |
| <b>vii. Communication and Public Awareness</b>                                                                                                                                                                                                                                                                                             |                                                                                                                     |                                                                                                                           |                                                                                                                                    |
| i. Did the Project adopt and implement a communication strategy?                                                                                                                                                                                                                                                                           | Communication strategy.                                                                                             | Project communications<br>Project reports<br>Project beneficiaries and stakeholders<br>Internet sites of Project partners | Desk review<br>Internet research<br>Interviews with the project team<br>Interviews with key project beneficiaries and stakeholders |
| ii. Did project team communications with stakeholders have a positive effect on stakeholder engagement and participation in project activities?                                                                                                                                                                                            | Evidence of timely and appropriate communication to a diversity of stakeholders.                                    |                                                                                                                           |                                                                                                                                    |
| iii. Will the knowledge materials, including trainings, continue to be available to the public after project end?                                                                                                                                                                                                                          | Evidence of the availability of knowledge materials.                                                                |                                                                                                                           |                                                                                                                                    |
| iv. What were the challenges and outcomes regarding the project's completed Knowledge Management Approach, including: Knowledge and Learning Deliverables (e.g. website/platform development); Knowledge Products/Events; Communication Strategy; Lessons Learned and Good Practice; Adaptive Management Actions?<br>(GEF Portal question) | Evidence of timely and appropriate communication to a diversity of stakeholders                                     |                                                                                                                           |                                                                                                                                    |

### ANNEX III. PEOPLE CONSULTED DURING THE REVIEW

The following table provides a list of people interviewed for this terminal review (*The names of individuals interviewed have been removed for confidentiality*).

**Table III.1: People consulted during the Review**

| Organisation                                             | Position                                                            | Role in the project                                           | Gender         |
|----------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------|----------------|
| Secretariat of Natural Resources and Environment (SERNA) | Financial Manager, Project Coordination Office                      | SERNA representative at PSC.                                  | Female         |
|                                                          | Water General Director                                              | Data provider for climate indicators and scenarios.           | Male           |
|                                                          | Technical Assistant. Water General Directorate.                     | Data provider for climate indicators and scenarios.           | Female         |
|                                                          | Environment General Director                                        | Data provider for GHG inventories.                            | Female         |
|                                                          | Climate Change Director                                             | Project coordinator.                                          | Female         |
|                                                          | Staff <i>Centro de Estudios y Control de Contaminantes (CESCCO)</i> | Data provider for GHG inventories.                            | Female         |
| Project team                                             | IT expert - PMU                                                     | IT expert.                                                    | Female         |
|                                                          | Project Manager - PMU                                               | Project Manager.                                              | Male           |
| Autonomous National University of Honduras (UNAH)        | Faculty of Earth Sciences                                           | Stakeholder climate change observatory.                       | Female         |
| Secretariat of Agriculture and Livestock (SAG)           | Climate Change Coordinator                                          | MAG representative at PSC.                                    | Female         |
| Secretariat of Energy (SEN)                              | Climate Change Director                                             | Data provider for GHG inventories and climate change actions. | Male           |
| Secretariat of Finance (SEFIN)                           | Head of Climate Change and Financial Unit                           | Stakeholders draft climate change law and ICCMS development.  | Male           |
|                                                          | Staff Climate Change and Financial Unit                             |                                                               | Female         |
|                                                          | Staff Climate Change and Financial Unit                             |                                                               | Female         |
| Secretariat of Strategic Planning (SEP)                  | Staff Land Use Planning Directorate                                 | Data provider for climate indicators and scenarios.           | Female         |
| Forest Conservation Institute (ICF)                      | Staff ICF                                                           | Data provider for GHG inventories and climate change actions. | Male           |
|                                                          | Former staff ICF                                                    |                                                               | Male           |
| <i>Alianza Hondureña ante el Cambio Climático (AHCC)</i> | Staff AHCC                                                          | Stakeholders draft climate change law and ICCMS development.  | Male<br>Female |

| Organisation                                             | Position                                       | Role in the project                                                                        | Gender         |
|----------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------------------|----------------|
| <i>Consejo Hondureño de la Empresa Privada (COHEP)</i>   | Economic Policy Officer                        | Stakeholders draft climate change law, climate change indicator, and ICCMS implementation. | Male           |
| CLICKIT!                                                 | Chief Operations Officer                       | Software development firm for ICCMS.                                                       | Male           |
| Global Water Partnership (GWP)                           | Staff GWP                                      | Consultants for legal framework and draft climate change law.                              | Male<br>Female |
| UNEP Regional Office for Latin America and the Caribbean | Regional Focal Point CBIT, UNEP LACO           | Programme manager.                                                                         | Female         |
| UNEP Climate Change Division                             | Financial Management Officer                   | Financial management.                                                                      | Female         |
|                                                          | CBIT Coordinator, UNEP Climate Change Division | Implementation oversight.                                                                  | Female         |



## ANNEX IV. KEY DOCUMENTS CONSULTED

The following table lists the documents consulted during the review.

**Table IV.1. List of documents consulted for this review**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Project document                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Project proposal (ProDoc)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Project outputs / deliverables                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <p>Technical reports</p> <ul style="list-style-type: none"> <li>• ALVITES, R. <i>Producto No. 1 Formulario de medidas nacionales de adaptación y su manual explicativo para el usuario</i>. (Draft). n.d.</li> <li>• ALVITES, R. <i>Producto No. 2 Formulario de medidas nacionales de Adaptación y Mitigación y su manual explicativo para el usuario</i>. (Draft). n.d.</li> <li>• ALVITES, R. <i>Producto No. 3 Paquete de material de capacitación y lista de participantes de las sesiones de capacitación realizadas para mejorar la capacidad de las partes interesadas pertinentes para recopilar información y utilizar formularios de medidas de adaptación y mitigación</i>. n.d.</li> <li>• ANSELMO, E. <i>Sistema Integrado de Transparencia: Implementación Transparente del Artículo 6 del Acuerdo de París</i>. 2022.</li> <li>• CLICKIT!. <i>Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC). Diagnóstico Inicial de la NDCC-SERNA y Actores Claves Vinculados a Cambio Climático</i>. October 2024.</li> <li>• CLICKIT!. <i>Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC). Presupuesto de Adquisiciones de Tecnologías de Información</i>. December 2024.</li> <li>• CLICKIT!. <i>Plataforma del Sistema Nacional de Monitoreo de Cambio Climático (SNMCC). Documento de Directrices y Protocolos para la Recopilación y Transferencia de Información de Cambio Climático</i>. February 2025.</li> <li>• CLICKIT!. <i>Sistematización de los Talleres de Capacitación de la Plataforma del SNMCC</i>. May 2025.</li> <li>• FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. <i>Producto 1. Diagnóstico inicial de la normativa nacional e internacional vigente sobre cambio climático, mapeo de actores en base al marco legal y sistemas de información nacionales y regionales, existentes en materia de cambio climático</i>. February 2022.</li> <li>• FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. <i>Producto 2. Hoja ruta de implementación para mejorar la gobernanza climática en Honduras en base al análisis sobre las brechas, limitantes y vacíos existentes en la legislación nacional relacionada al cambio climático</i>. April 2023.</li> <li>• FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. <i>Reglamento del Sistema Nacional de Monitoreo del Cambio Climático (SNMCC)</i>. March 2024.</li> <li>• FUNDACION VIDA. GLOBAL WATER PARTNERSHIP. <i>Propuesta de Reglamento de la Ley de Cambio Climático – Honduras</i>. June 2024.</li> <li>• GLOBAL WATER PARTNERSHIP. <i>Producto 5. Hoja ruta para el desarrollo e implementación de un marco normativo y de regulación complementario, coherente, armonizado y robusto en materia de cambio climático</i>. June 2024.</li> <li>• GLOBAL WATER PARTNERSHIP. <i>Informe Final de Consultoría. CS/OCP/CBIT/026-2022 Análisis jurídico para elaborar una propuesta actualizada sobre el marco normativo nacional y el establecimiento de un sistema de monitoreo en cambio climático de Honduras</i>. June 2024.</li> </ul> |

- GUIFARRO, L. *Informe de Producto 1. Informe de análisis del marco de salvaguardas REDD+: Situación actual, áreas críticas, brechas/desafíos y recomendaciones de mejora en las salvaguardas REDD+*. March 2025.
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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>SERNA. <i>Manual de Usuario. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático</i>. n.d.</li> <li>SERNA. <i>Manual de Usuario Privado. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático</i>. n.d.</li> <li>SERNA. <i>Manual Técnico. Plataforma Informática del Sistema Nacional de Monitoreo de Cambio Climático</i>. n.d.</li> </ul> <p>Knowledge and communication products</p> <ul style="list-style-type: none"> <li>SERNA. <i>Logros del Proyecto CBIT 2022</i>. n.d.</li> </ul> <p>Other</p> <ul style="list-style-type: none"> <li>SERNA. <i>Acta de Compromiso</i>. 19 September 2025.</li> </ul> |
| Project monitoring and reporting                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <p>Inception workshop agenda, report, and presentations (31 August 2021)</p> <p>Project implementation review (PIR) reports</p> <ul style="list-style-type: none"> <li>2022</li> <li>2023</li> <li>2024</li> </ul> <p>Half-yearly progress reports</p> <ul style="list-style-type: none"> <li>July – December 2023</li> <li>July – December 2024</li> </ul> <p>Project completion report. February 2025.</p> <p>UNEP. Final Report. (Activities implemented by LACO). February 2025.</p>                                                                                                                                                                                                                 |
| Project financial reports                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <p>Expenditure reports</p> <ul style="list-style-type: none"> <li>2021, 31 January 2022</li> <li>2022 SERNA, 1 February 2023</li> <li>2022 UNEP LACO, 18 August 2023</li> <li>2023 SERNA, 28 February 2024</li> <li>2023 UNEP LACO, 20 November 2024</li> <li>2024 SERNA, 26 January 2025</li> <li>1<sup>st</sup> Semester 2024 UNEP LACO, 20 November 2024</li> <li>2025. SERNA. Draft.</li> </ul> <p>Audit reports</p> <ul style="list-style-type: none"> <li>2021, 7 June 2022</li> <li>2022, 3 November 2023</li> <li>2023, 21 June 2024</li> </ul>                                                                                                                                                  |
| Project procurement                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <p>Terms of reference</p> <ul style="list-style-type: none"> <li>Project manager</li> <li>Development of ICCMS strategy</li> <li>Implementation of ICCMS</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Project governance and oversight                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <p>Project Cooperation Agreement between SERNA and UNEP. 12 March 2021.</p> <p>Internal Cooperation Agreement between UNEP CM-Unit and UNEP LACO. 29 October 2021.</p> <p>Agenda, materials and minutes of Project Steering Committee</p> <ul style="list-style-type: none"> <li>First meeting, 26 August 2021</li> <li>Second meeting, 8 December 2022</li> <li>Fourth meeting, 24 September 2024</li> <li>Fifth meeting, 18 October 2024</li> </ul> <p>Note: Minutes of the third meeting of the Project Steering Committee were not available to the TR.</p>                                                                                                                                          |
| UNEP medium, term strategies, programmes of work and other information,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

|                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------|
| UNEP Medium Term Strategy (MTS) 2014 - 2017                                                                             |
| UNEP Medium Term Strategy (MTS) 2018 - 2021                                                                             |
| UNEP Programme of Work (POW) 2022 - 2023                                                                                |
| UNEP Evaluation policies and standards                                                                                  |
| UNEP. 2022. Evaluation Policy.                                                                                          |
| UNEP. 2023. Evaluation Criteria Rating Matrix.                                                                          |
| Other information                                                                                                       |
| GEF. Programming Directions for the Capacity-Building Initiative for Transparency. 2016.                                |
| GEF. The Capacity Building Initiative for Transparency (CBIT). 2022.                                                    |
| GEF. Tracking Tool for GEF 6 Capacity-building Initiative for Transparency Projects.                                    |
| Government of Honduras. <i>Actualización de la Contribución Nacional Determinada de Honduras</i> . 2021                 |
| Government of Honduras. <i>Primer Informe Bienal de Transparencia de la República de Honduras (1990 – 2022)</i> . 2024. |
| Government of Honduras. <i>Segunda Actualización de la Contribución Nacional Determinada de Honduras</i> . 2025         |
| OECD. Glossary of Key Terms in Evaluation and Results Based Management. 2010.                                           |
| UNEP. Internal Cooperation Agreement (ICA) for the Medium-Size Project. 9 April 2019.                                   |
| UNEP. Amendment No 1 to the Internal Cooperation Agreement (ICA). 30 July 2021.                                         |
| UNEP. Terminal Evaluation of Second National Communications Synthesis of Lessons Learned. 2013.                         |
| United Nations. <i>Marco de Asistencia de las Naciones Unidas para el Desarrollo Honduras 2017-2021</i> . n.d.          |
| United Nations. <i>Marco de Cooperación de las Naciones Unidas para el Desarrollo. Honduras 2022-2026</i> . n.d.        |

## ANNEX V. PROJECT BUDGET AND EXPENDITURES

**Table V.1. Project Funding Sources Table**

| Funding source                                              | Planned funding       | % of planned funding | Secured funding       | % of secured funding |
|-------------------------------------------------------------|-----------------------|----------------------|-----------------------|----------------------|
| <b>All figures as US\$</b>                                  |                       |                      |                       |                      |
| <b>Cash</b>                                                 |                       |                      |                       |                      |
| Funds from the Environment Fund                             | -                     | -                    | -                     | -                    |
| Funds from the Regular Budget                               | -                     | -                    | -                     | -                    |
| Extra-budgetary funding (listed per donor):                 |                       |                      |                       |                      |
| Global Environment Facility (GEF)                           | US\$ 1,050,000        | 87.5%                | US\$ 1,050,000        | 87.5%                |
| <b>Sub-total: Cash contributions</b>                        | <b>US\$ 1,050,000</b> | <b>87.5%</b>         | <b>US\$ 1,050,000</b> | <b>87.5%</b>         |
| <b>In-kind</b>                                              |                       |                      |                       |                      |
| Environment Fund staff-post costs                           | -                     | -                    | -                     | -                    |
| Regular Budget staff-post costs                             | -                     | -                    | -                     | -                    |
| Extra-budgetary funding for staff-posts (listed per donor)  | -                     | -                    | -                     | -                    |
| <b>Sub-total: In-kind contributions</b>                     | <b>-</b>              | <b>-</b>             | <b>-</b>              | <b>-</b>             |
| <b>Co-financing*</b>                                        |                       |                      |                       |                      |
| Co-financing cash contribution                              | -                     | -                    | -                     | -                    |
| Co-financing in-kind contribution                           |                       |                      |                       |                      |
| Secretariat of Natural Resources and Environment (Honduras) | US\$ 150,000          | 12.5%                | US\$ 150,000          | 12.5%                |
| <b>Sub-total: Co-financing contributions</b>                | <b>US\$ 150,000</b>   | <b>12.5%</b>         | <b>US\$ 150,000</b>   | <b>12.5%</b>         |
| <b>Total</b>                                                | <b>US\$ 1,200,000</b> | <b>100%</b>          | <b>US\$ 1,200,000</b> | <b>100%</b>          |

\*Funding from a donor to a partner which is not received into UNEP accounts but is used by a UNEP partner or collaborating centre to deliver the results in a UNEP – approved project.

**Table V.2. Expenditure by Outcome/Output**

| Component/sub-component/output | Estimated cost at design | Actual Cost/ expenditure <sup>123</sup> |
|--------------------------------|--------------------------|-----------------------------------------|
| Component 1                    | US\$ 904,446             | US\$ 859,430                            |
| Monitoring and evaluation      | US\$ 50,100              | US\$ 25,130                             |
| Project Management             | US\$ 94,454              | US\$ 85,273                             |
| Total                          | US\$ 1,050,000           | US\$ 969,833                            |

<sup>123</sup> Final figures will be validated during the project's financial closure.

## ANNEX VI. BRIEF CV OF THE REVIEWER

|                           |                                                                                                                                                                                                                                                                                                                                                                                                                      |
|---------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Name</b>               | Francisco Arango                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>Profession</b>         | Environment and climate change consultant                                                                                                                                                                                                                                                                                                                                                                            |
| <b>Nationality</b>        | Colombian                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>Country experience</b> | <ul style="list-style-type: none"> <li>• Africa: Ethiopia, Kenya, Tanzania</li> <li>• Americas: Argentina, Bahamas, Barbados, Bolivia, Brazil, Chile, Colombia, Costa Rica, Cuba, Dominican Republic, Ecuador, Haiti, Honduras, Jamaica, Mexico, Nicaragua, Peru, Trinidad and Tobago, United States of America, Venezuela</li> <li>• Asia: China, India, Indonesia, Lao PDR, Malaysia, Myanmar, Thailand</li> </ul> |
| <b>Education</b>          | <ul style="list-style-type: none"> <li>• M.Sc. Environmental and Resource Management</li> <li>• Industrial Engineering, Mechanical Engineering</li> <li>• Project Management Professional (PMP)<sup>®</sup></li> <li>• LEED<sup>®</sup> Green Associate<sup>™</sup></li> </ul>                                                                                                                                       |

Francisco is an international climate change and environment consultant with more than twenty years of professional experience in countries in Africa, Asia, Europe, Latin America and the Caribbean. Francisco has expertise in policy development, project design and evaluation, climate and carbon finance, training and capacity development, greenhouse gas accounting, and climate change vulnerability and risk assessment. Francisco has held staff positions at the Inter-American Development Bank (IDB), the United Nations Development Programme (UNDP), and the secretariat of the United Nations Framework Convention on Climate Change (UNFCCC). As a consultant, he has contributed to the work of multilateral development banks, United Nations agencies, the secretariat of the Green Climate Fund (GCF) and international NGOs.

### Expertise:

Climate change mitigation and adaptation  
 Design and evaluation of climate change policies, programmes, and projects  
 Technology assessment, economic analysis of mitigation and adaptation measures  
 Vulnerability and risk assessment  
 Greenhouse gas (GHG) emissions accounting  
 Measurement, reporting and verification (MRV)

### Selected evaluation assignments:

Mid-term review of the project “Fourth National Communication and First Biennial Transparency Report to the United Nations Framework Convention on Climate Change (UNFCCC) (NC4-BTR1 Project)” of Indonesia, financed by GEF.  
 Terminal evaluation of the project “Capacity Building for Peru’s Transparency System for Climate Change Mitigation and Adaptation” financed by GEF.  
 Terminal evaluation of the grant “Advancing and Measuring Sustainable Consumption and Production (SCP) for a Low-Carbon Economy in Middle-Income and Newly Industrialised Countries” financed by the International Climate Initiative (IKI).  
 Terminal evaluation of the project “Brazil’s Fourth National Communication and Biennial Update Reports to the United Nations Framework Convention on Climate Change (UNFCCC)” financed by the Global Environment Facility (GEF).  
 Mid-term review of the project “Energy Efficiency Improvement in Public Sector Buildings in China (PSBEE)” financed by GEF.  
 Terminal evaluation of the project “Greening the Logistics Industry in Zhejiang Province, China” financed by GEF.

## ANNEX VII. REVIEW TORS

### TERMS OF REFERENCE

#### Terminal Review of the UNEP/GEF project 9942:

“Project Title:

**Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras”**

#### Section 1: PROJECT BACKGROUND AND OVERVIEW

##### Project General Information

Table 1. Project summary

|                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                 |
|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>UNEP Sub-programme:</b>                                      | Climate action                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>UNEP Division/Branch:</b>                                                                      | UNEP's Climate Change Division, Mitigation Branch, GEF Climate Mitigation Unit                                                                                                                                                                                                                                                                                                  |
| <b>Expected Accomplishment(s):</b>                              | Climate stability:<br>Countries increasingly transition to low-emission economic development pathways and enhance their adaptation and resilience to climate change                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>Programme of Work Output(s):</b><br>Programme of Work 2022-2023<br>Climate action subprogramme | Climate : (i) Number of national, subnational and private-sector actors that adopt climate change mitigation and/or adaptation and disaster risk reduction strategies and policies with UNEP support. Climate: (iii) Number of national, subnational and private-sector actors reporting under the enhanced transparency arrangements of the Paris Agreement with UNEP support. |
| <b>SDG(s) and indicator(s)</b>                                  | <p>The project contributes to the following strategic objective of the UNSDCF:</p> <p>Goal 2 – Establish the foundations for seizing the structural opportunities of tomorrow.</p> <p>Outcome 2.3 – The Honduran State implements policies, strategies and programs that strengthen the sustainability and resilience of its development</p> <p>Goal 5: Achieve gender equality and empower all women and girls</p> <p>Goal 13: Take urgent action to combat climate change and its impacts</p> <ul style="list-style-type: none"> <li>○ 5.1 End all forms of discrimination against all women and girls everywhere</li> <li>○ 13.1 Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries</li> <li>○ 13.2 Integrate climate change measures into national policies, strategies and planning</li> <li>○ 13.3 Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning</li> <li>○ 13.b Promote mechanisms for raising capacity for effective climate change-related planning and management in least developed countries and small island developing States, including focusing on women, youth, and local and marginalized communities</li> </ul> |                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>GEF Core Indicator Targets (identify these for projects)</b> | 11. Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                 |

|                                          |     |                                  |     |
|------------------------------------------|-----|----------------------------------|-----|
| approved prior to GEF-7 <sup>124</sup> ) |     |                                  |     |
| Dates of previous project phases:        | N/A | Status of future project phases: | N/A |

#### FROM THE PROJECT'S PIR REPORT:

|                                                            |                                                                                                             |                                                                             |             |
|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------|-------------|
| <b>Project Title:</b>                                      | Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras |                                                                             |             |
| <b>Executing Agency:</b>                                   | Secretariat of Energy, Natural Resources and Environment and Mines (SERNA- MiAmbiente)                      |                                                                             |             |
| <b>Project partners:</b>                                   | UNEP's Regional Office for Latin America and the Caribbean                                                  |                                                                             |             |
| <b>Geographical Scope:</b>                                 | National                                                                                                    |                                                                             |             |
| <b>Participating Countries:</b>                            | Honduras                                                                                                    |                                                                             |             |
| <b>GEF project ID:</b>                                     | 9942                                                                                                        | <b>IMIS number</b> <sup>*125</sup> :                                        | N/A         |
| <b>Focal Area(s):</b>                                      | Climate Change                                                                                              | <b>GEF OP #:</b>                                                            |             |
| <b>GEF Strategic Priority/Objective:</b>                   | CBIT                                                                                                        | <b>GEF approval date*:</b>                                                  | 10//09/2020 |
| <b>UNEP approval date:</b>                                 | 26/04/2019                                                                                                  | <b>Date of first disbursement*:</b>                                         | 21/05/2019  |
| <b>Actual start date</b> <sup>126</sup> :                  | 16/09/2020                                                                                                  | <b>Planned duration:</b>                                                    | 36 months   |
| <b>Intended completion date*:</b>                          | 28/02/2024                                                                                                  | <b>Actual or Expected completion date:</b>                                  | 28/02/2024  |
| <b>Project Type:</b>                                       | CBIT                                                                                                        | <b>GEF Allocation*:</b>                                                     | \$1,050,000 |
| <b>PPG GEF cost*:</b>                                      | 50,000                                                                                                      | <b>PPG co-financing*:</b>                                                   | 0           |
| <b>Expected MSP/FSP Co-financing*:</b>                     | \$ 150,000                                                                                                  | <b>Total Cost*:</b>                                                         | \$1,050,000 |
| <b>Mid-term Review/eval. (planned date):</b>               | N/A                                                                                                         | <b>Terminal Evaluation (planned date):</b>                                  | 31/05/2025  |
| <b>Mid-term Review/eval. (actual date):</b>                | N/A                                                                                                         | <b>No. of revisions*:</b>                                                   | Rev 4       |
| <b>Date of last Steering Committee meeting:</b>            | 14/05/2025                                                                                                  | <b>Date of Last Revision*:</b>                                              | 29/01/2025  |
| <b>Disbursement as of 30 December 2024*:</b>               | \$ 824.130                                                                                                  | <b>Date of planned financial closure*:</b>                                  | 31/05/2026  |
| <b>Date of planned completion</b> <sup>127</sup> *:        | 31/05/2025                                                                                                  | <b>Actual expenditures reported as of 30 December 2024</b> <sup>128</sup> : | \$ 609.078  |
| <b>Total co-financing realized as of 31 December 2024:</b> | 127,883                                                                                                     | <b>Actual expenditures entered in IMIS as of 31 December 2022*:</b>         | N/A         |
| <b>Leveraged financing</b> <sup>129</sup> :                | 0                                                                                                           |                                                                             |             |

#### Project Rationale<sup>130</sup>

Project Rationale - Honduras

<sup>124</sup> This does not apply to Enabling Activities

<sup>125</sup> Fields with an \* sign (in yellow) should be filled by the Fund Management Officer

<sup>126</sup> Only if different from first disbursement date, e.g., in cases where a long time elapsed between first disbursement and recruitment of project manager.

<sup>127</sup> If there was a "Completion Revision" please use the date of the revision.

<sup>128</sup> Information to be provided by Executing Agency/Task Manager

<sup>129</sup> See above note on co-financing

<sup>130</sup> Grey = Info to be added



This project aims to support Honduras in overcoming significant barriers that currently hinder the country from fulfilling its international commitments under the Paris Agreement, particularly Articles 4 and 13, as well as the Katowice Climate Package. Honduras has recognized the importance of addressing the impacts of climate change as a priority for achieving its development goals and national security. However, critical challenges related to climate change adaptation and the institutional capacity to measure, report, and verify (MRV) mitigation and adaptation actions effectively persist. This project directly addresses those capacity gaps, ensuring that Honduras can meet its national and international commitments efficiently.

Honduras is one of the most vulnerable countries to climate change, a reality exacerbated by its geographical location in the Central American isthmus, which exposes it to extreme climate events. The country's biophysical and topographical characteristics, along with high levels of poverty, increase its vulnerability to these phenomena. Although Honduras's greenhouse gas (GHG) emissions represent less than 0.1% of the global total, the country's primary focus remains on climate change adaptation. Nonetheless, as outlined in its Nationally Determined Contribution (NDC), Honduras is also committed to contributing to global efforts to combat climate change through mitigation measures.

Honduras has begun reporting its climate actions through multiple international commitments signed by the government, as well as through various national platforms and institutional structures. The country ratified the Paris Agreement in September 2016, transforming its Intended Nationally Determined Contribution (INDC) into its NDC. According to Article 13 of the Paris Agreement, the Enhanced Transparency Framework (ETF) requires the establishment of new governance structures for transparency, the development and implementation of measurement and reporting methodologies, and the periodic updating and integration of data and information flows. This framework necessitates improving Honduras's ability to manage information on GHG emissions, track progress on the NDC (including both mitigation and adaptation), and report on support provided and received in financial and technical terms.

Currently, Honduras faces significant challenges in terms of data availability and management for climate change, which complicates the preparation of accurate reports and informed decision-making. The lack of a consolidated climate change monitoring system, the absence of operational guidelines, and the reliance on external consultants limit the country's internal capacity to address climate challenges sustainably in the long term. This project aims to strengthen Honduras's technical, logistical, and institutional capacities, particularly through the establishment of an integrated climate change monitoring unit that can consolidate climate data and generate reports for tracking NDC progress.

The project will address these capacity gaps by strengthening two key entities in Honduras: the Climate Change Management and Monitoring Unit (UGMCC) formally established in the National Directorate of Climate Change (DNCC), and the National Climate Change Observatory (ONCC-DS). These two entities will play a central role in creating a national integrated climate change monitoring system capable of fulfilling the requirements of the Enhanced Transparency Framework and contributing to the development of more effective mitigation and adaptation policies and strategies.

This comprehensive approach will ensure that Honduras has the necessary tools to manage climate-related information effectively, thereby strengthening decision-making processes at all levels of government and society. Additionally, the project will contribute to the development of a long-term climate strategy, aligned with the country's national and international commitments, ensuring that vulnerable sectors receive the necessary support to increase their adaptive capacity.

In summary, this project will provide the critical support needed for Honduras to overcome its current transparency and capacity barriers, enabling it to meet its climate commitments under the Paris Agreement. By doing so, it will contribute to global efforts to enhance transparency, trust, and effective climate action.

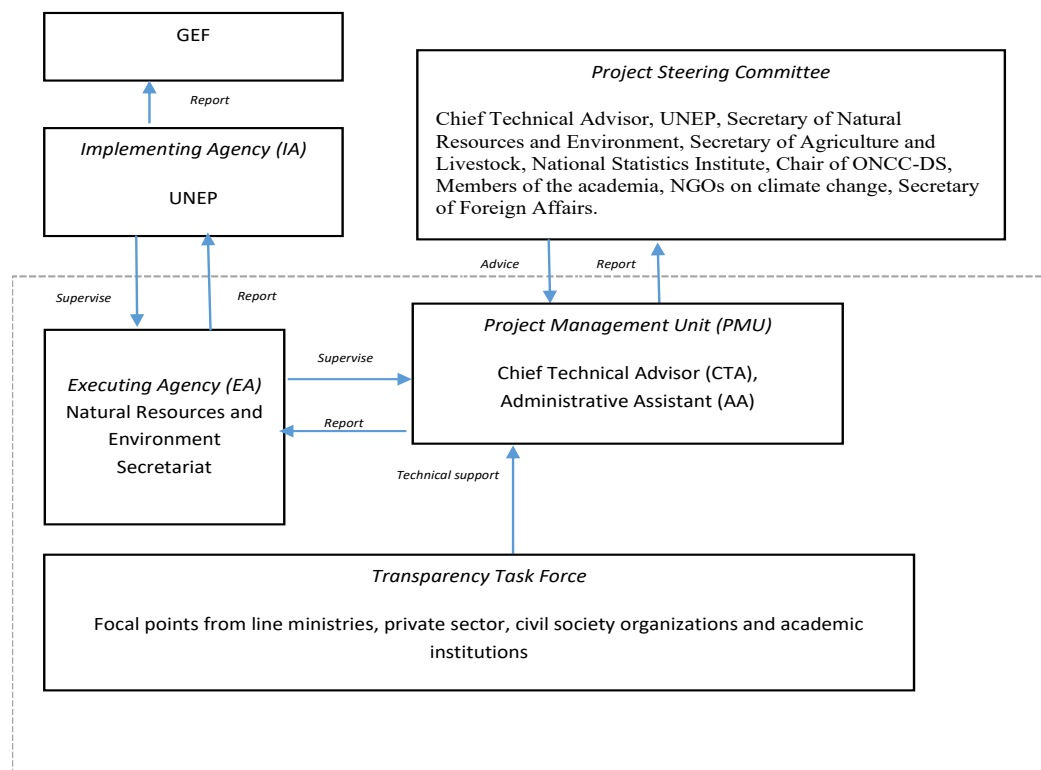
## **Project Results Framework**

See CEO document, annex A (p 45).

## **Executing Arrangements**

Project is funded by the Global Environment Facility (GEF) with the UNEP acting as the GEF Implementing Agency. The Secretariat Natural Resources and Environment (MiAmbiente+) is the Executing Agency through its the Coordinator Office of Projects (OCP) (see Annex J).

The structure is illustrated in the diagram below and roles and responsibilities of each body are detailed in the following table:



For further detailed information see the CEO document: Annex A.6: Project Implementation Arrangements

## Project Cost and Financing

The project's budget consists of a GEF grant and co-finance from various partners. The GEF contributions can be classified according to their contribution to the Fund's Focal Area objectives, as depicted in the table below.

| <b>Project Objective:</b> Develop technical and logistical capacities for creation and operation of an integrated monitoring system of Climate Change |                               |                                                                                   |                                                                                                                                                                  |            |                       |                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------------------|------------------------|
| Project Components /Programs                                                                                                                          | Financing Type <sup>131</sup> | Project Outcomes                                                                  | Project Outputs                                                                                                                                                  | Trust Fund | (in \$)               |                        |
|                                                                                                                                                       |                               |                                                                                   |                                                                                                                                                                  |            | GEF Project Financing | Confirmed Co-financing |
| Component 1: Integrated Monitoring System of Climate Change for Honduras                                                                              | TA                            | An Integrated Monitoring System of Climate Change for Honduras (between Observato | 1. A centralized monitoring system for improved data access and information management established in the Climate Change Management and Monitoring Unit (UGMCC). | CBIT       | 185,603               | 21,200                 |

<sup>131</sup> Financing type can be either investment or technical assistance.

| <b>Project Objective:</b> Develop technical and logistical capacities for creation and operation of an integrated monitoring system of Climate Change |                               |                                                                                                          |                                                                                                                                                                                  |            |                       |                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------------------|------------------------|
| Project Components /Programs                                                                                                                          | Financing Type <sup>131</sup> | Project Outcomes                                                                                         | Project Outputs                                                                                                                                                                  | Trust Fund | (in \$)               |                        |
|                                                                                                                                                       |                               |                                                                                                          |                                                                                                                                                                                  |            | GEF Project Financing | Confirmed Co-financing |
|                                                                                                                                                       |                               | ry –ONCC-DS- (an autonomous public entity)- & UGMCC under the Secretariat ) designed and operationalized | 2. A set of country climate change specific indicators of the monitoring system developed.                                                                                       | CBIT       | 263,735               | 29,200                 |
|                                                                                                                                                       |                               |                                                                                                          | 3. A national financial mechanism for the operation of the Integrated Monitoring System of Climate Change developed.                                                             | CBIT       | 41,711                | 21,200                 |
|                                                                                                                                                       |                               |                                                                                                          | 4. Operating guidelines, protocols and logistical arrangements for data collection, reporting, monitoring and verifying climate change data developed between UGMCC and ONCC-DS. | CBIT       | 127,485               | 21,200                 |
|                                                                                                                                                       |                               |                                                                                                          | 5. A formal program to strengthen capacities and exchange information developed.                                                                                                 | CBIT       | 285,913               | 21,200                 |
| General (M&E and other costs)                                                                                                                         |                               |                                                                                                          |                                                                                                                                                                                  |            | 50,100                | 0                      |
| Subtotal                                                                                                                                              |                               |                                                                                                          |                                                                                                                                                                                  |            | 954,546               | 114,000                |
| Project Management Cost (PMC) <sup>132</sup>                                                                                                          |                               |                                                                                                          |                                                                                                                                                                                  | CBIT       | 95,454                | 36,000                 |
| <b>Total project costs</b>                                                                                                                            |                               |                                                                                                          |                                                                                                                                                                                  |            | <b>1,050,000</b>      | <b>150,000</b>         |

## Implementation Issues

To ensure the successful implementation of the CBIT project in Honduras, it is crucial to address the various implementation issues that have emerged during the project's lifecycle. The project is designed to support Honduras' climate change strategies, specifically facilitating the preparation, implementation, and monitoring of the Nationally Determined Contribution (NDC). However, several challenges have surfaced that could potentially hinder progress.

As of 2022, the overall risk rating for the project was classified as moderate (M), with multiple risks identified, including institutional fragmentation, limited technical capacity, and the delays caused by the restructuring of the project. A key concern has been the inability to secure the necessary political and legal agreements to anchor the climate change monitoring system around the two core institutions: the Secretariat of Natural Resources and Environment (SERNA) and the National Autonomous University of Honduras (UNAH). The absence of these agreements has led to inefficiencies in data integration and decision-making processes. Despite this, mitigation strategies have been implemented, such as the

<sup>132</sup> For GEF Project Financing up to \$2 million, PMC could be up to 10% of the subtotal; above \$2 million, PMC could be up to 5% of the subtotal. PMC should be charged proportionately to focal areas based on focal area project financing amount in Table D below.

restructuring of the project through Project Revision 4, aimed at increasing resource efficiency and improving coordination between key stakeholders.

The project has also faced challenges related to changes in government. The political transition in 2022 brought about new administrative processes and shifts in key personnel, which affected project coordination and the timely execution of planned activities. Additionally, internal conflicts within SERNA and UNAH regarding the leadership of the National Climate Change Observatory (ONCC) have further complicated progress. These institutional issues have delayed the establishment of an integrated climate change monitoring system, which is critical for ensuring transparency and accountability in the country's climate actions.

Another significant factor impacting implementation has been the COVID-19 pandemic. The global health crisis delayed key activities, particularly those that required stakeholder engagement and the collection of data. The pandemic also led to reduced staffing in key ministries, which in turn affected the overall pace of project activities. Moreover, the implementation of the technical and budgetary restructuring strategy in 2023 (Project Rev3) contributed to delays in some key activities, particularly in securing contracts for essential consultancies, such as the development of the SNMCC platform.

Despite these setbacks, the project has made efforts to mitigate risks. A comprehensive review of the project, along with budget adjustments and the reallocation of resources, has helped to streamline operations. Furthermore, the recruitment of a smaller, more focused project team with complementary skills has been designed to enhance efficiency in the execution of the project's activities. These efforts are intended to ensure that the project can still meet its objectives, despite the challenges it faces.

However, the procurement process remains a significant area of concern. Delays in the contracting of consultants, particularly the development of the SNMCC platform, have introduced risks related to the timely delivery of project outputs. To mitigate these risks, the project management has taken proactive measures to expedite procurement and ensure that remaining activities can be completed within the available time frame.

Overall, while the project has faced multiple challenges, particularly in terms of institutional coordination and procurement delays, there is a strong commitment to addressing these issues. The project is focused on strengthening the capacity of the institutions involved, ensuring that governance structures for climate change are effective, and fostering collaboration among stakeholders. This proactive approach aims to lay a strong foundation for overcoming future challenges, ensuring the successful contribution of the project to achieving Honduras' climate change goals and enhancing transparency in climate actions.

## Section 2. OBJECTIVE AND SCOPE OF THE REVIEW

### Objective of the Review

In line with the UNEP Evaluation Policy<sup>133</sup> and the UNEP Programme Manual<sup>134</sup>, the Terminal Review (TR) is undertaken at operational completion of the project to assess project performance (in terms of relevance, effectiveness, and efficiency), and determine outcomes and impacts (actual and potential) stemming from the project, including their sustainability. The Review has two primary purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote operational improvement, learning and knowledge sharing through results and lessons learned among UNEP and UN Environment, Regional Office for Latin America, and the Caribbean (ROLAC) in representation of the Ministry of Environment. Therefore, the Review will identify lessons of operational relevance for future project formulation and implementation, especially for future phases of the project, where applicable.

### Key Review principles

Review findings and judgements will be based on **sound evidence and analysis**, clearly documented in the Review Report. Information will be triangulated (i.e. verified from different sources) as far as

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<sup>133</sup> <https://www.unenvironment.org/about-un-environment/evaluation-office/policies-and-strategies>

<sup>134</sup> <https://wecollaborate.unep.org>

possible, and when verification is not possible, the single source will be mentioned (whilst anonymity is still protected). Analysis leading to evaluative judgements should always be clearly spelled out.

**The “Why?” Question.** As this is a Terminal Review and a follow-up project is possible particular attention will be given to learning from the experience. Therefore, the “why?” question should be at the front of the consultant(s)’ minds all through the review exercise and is supported by the use of a theory of change approach. This means that the consultant(s) need to go beyond the assessment of “what” the project performance was and make a serious effort to provide a deeper understanding of “why” the performance was as it was (i.e. what contributed to the achievement of the project’s results). This should provide the basis for the lessons that can be drawn from the project.

**Attribution, Contribution and Credible Association:** In order to *attribute* any outcomes and impacts to a project intervention, one needs to consider the difference between what has happened with, and what would have happened without, the project (i.e. take account of changes over time and between contexts in order to isolate the effects of an intervention). This requires appropriate baseline data and the identification of a relevant counterfactual, both of which are frequently not available for reviews. Establishing the *contribution* made by a project in a complex change process relies heavily on prior intentionality (e.g. approved project design documentation, logical framework) and the articulation of causality (e.g. narrative and/or illustration of the Theory of Change). Robust evidence that a project was delivered as designed and that the expected causal pathways developed supports claims of contribution and this is strengthened where an alternative theory of change can be excluded. A *credible association* between the implementation of a project and observed positive effects can be made where a strong causal narrative, although not explicitly articulated, can be inferred by the chronological sequence of events, active involvement of key actors and engagement in critical processes.

**Communicating Review Results.** A key aim of the Review is to encourage reflection and learning by UNEP staff and key project stakeholders. The consultant should consider how reflection and learning can be promoted, both through the review process and in the communication of review findings and key lessons. Clear and concise writing is required on all review deliverables. Draft and final versions of the main Review Report will be shared with key stakeholders by the Task Manager. There may, however, be several intended audiences, each with different interests and needs regarding the report. The consultant will plan with the Task Manager which audiences to target and the easiest and clearest way to communicate the key review findings and lessons to them. This may include some, or all, of the following; a webinar, conference calls with relevant stakeholders, the preparation of a review brief or interactive presentation.

## Key Strategic Questions

In addition to the review criteria outlined in Section 10 below, the Review will address the **strategic questions**<sup>135</sup> listed below (no more than 3 questions are recommended). These are questions of interest to UNEP and to which the project is believed to be able to make a substantive contribution. Also included are five questions that are required when reporting in the GEF Portal and these must be addressed in the TR:

**Q1:** Did State and non-State actors in Honduras adopt the enhanced transparency framework under the Paris Agreement, ensuring its long-term sustainability within national systems?

**Q2:** Did the project strengthen the transparency mechanisms of national institutions, ensuring their capacity for continuous domestic and international climate reporting?

**Q3:** Did State and non-State actors adopt the new tools developed by the project, and are there measures in place to ensure their future use and functionality?

**Q4:** Was the project executed efficiently, with a focus on enhancing institutional capacity for the long-term sustainability of climate data systems?

**Q5:** How did the project adapt to the effects of COVID-19, and what was the impact of these adaptations on the sustainability of the monitoring system?

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<sup>135</sup> The strategic questions should not duplicate questions that will be addressed under the standard review criteria described in section 10.

Address the questions required for the GEF Portal in the appropriate parts of the report and provide a **summary of the findings in the Conclusions section of the report:**

a) Under Monitoring and Reporting/Monitoring of Project Implementation:

What was the performance at the project's-completion against Core Indicator Targets? (For projects approved prior to GEF-7, these indicators will be identified retrospectively and comments on performance provided<sup>136</sup>).

b) Under Factors Affecting Performance/Stakeholder Participation and Cooperation:

What were the progress, challenges and outcomes regarding engagement of stakeholders in the project/program as evolved from the time of the MTR? *(This should be based on the description included in the Stakeholder Engagement Plan or equivalent documentation submitted at CEO Endorsement/Approval)*

c) Under Factors Affecting Performance/Responsiveness to Human Rights and Gender Equality:

What were the completed gender-responsive measures and, if applicable, actual gender result areas? *(This should be based on the documentation at CEO Endorsement/Approval, including gender-sensitive indicators contained in the project results framework or gender action plan or equivalent)*

d) Under Factors Affecting Performance/Environmental and Social Safeguards:

What was the progress made in the implementation of the management measures against the Safeguards Plan submitted at CEO Approval? The risk classifications reported in the latest PIR report should be verified and the findings of the effectiveness of any measures or lessons learned taken to address identified risks assessed. *(Any supporting documents gathered by the Consultant during this Review should be shared with the Task Manager for uploading in the GEF Portal)*

e) Under Factors Affecting Performance/Communication and Public Awareness:

What were the challenges and outcomes regarding the project's completed Knowledge Management Approach, including: Knowledge and Learning Deliverables (e.g. website/platform development); Knowledge Products/Events; Communication Strategy; Lessons Learned and Good Practice; Adaptive Management Actions? *(This should be based on the documentation approved at CEO Endorsement/Approval)*

## **Review Criteria**

All review criteria will be rated on a six-point scale. Sections A-I below, outline the scope of the review criteria. The set of review criteria are grouped in nine categories: (A) Strategic Relevance; (B) Quality of Project Design; (C) Nature of External Context; (D) Effectiveness, which comprises assessments of the availability of outputs, achievement of outcomes and likelihood of impact; (E) Financial Management; (F) Efficiency; (G) Monitoring and Reporting; (H) Sustainability; and (I) Factors Affecting Project Performance.

Annex 1 of these Terms of Reference provides a table with a list of various tools, templates and guidelines that can help Review Consultant(s) to follow a thorough review process that meets all of UNEP's needs.

### **A. Strategic Relevance**

The Review will assess the extent to which the activity is suited to the priorities and policies of the donors, implementing regions/countries and the target beneficiaries. The Review will include an assessment of the project's relevance in relation to UNEP's mandate and its alignment with UNEP's policies and strategies at the time of project approval. Under strategic relevance an assessment of the complementarity of the project with other interventions addressing the needs of the same target groups will be made. This criterion comprises four elements:

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<sup>136</sup> This does not apply to Enabling Activities

**i. Alignment to the UNEP's Medium-Term Strategy<sup>137</sup> (MTS), Programme of Work (POW) and Strategic Priorities**

The Review should assess the project's alignment with the MTS and POW under which the project was approved and include, in its narrative, reflections on the scale and scope of any contributions made to the planned results reflected in the relevant MTS and POW. UNEP strategic priorities include the Bali Strategic Plan for Technology Support and Capacity Building<sup>138</sup> (BSP) and South-South Cooperation (S-SC). The BSP relates to the capacity of governments to: comply with international agreements and obligations at the national level; promote, facilitate and finance environmentally sound technologies and to strengthen frameworks for developing coherent international environmental policies. S-SC is regarded as the exchange of resources, technology and knowledge between developing countries.

**ii. Alignment to Donor/GEF/Partner Strategic Priorities**

Donor strategic priorities will vary across interventions. The Review will assess the extent to which the project is suited to, or responding to, donor priorities. In some cases, alignment with donor priorities may be a fundamental part of project design and grant approval processes while in others, for example, instances of 'softly-earmarked' funding, such alignment may be more of an assumption that should be assessed.

**iii. Relevance to Global, Regional, Sub-regional and National Environmental Priorities**

The Review will assess the alignment of the project with global priorities such as the SDGs and Agenda 2030. The extent to which the intervention is suited, or responding to, the stated environmental concerns and needs of the countries, sub-regions, or regions where it is being implemented will also be considered. Examples may include UN Development Assistance Frameworks (UNDAF) or, national or sub-national development plans, poverty reduction strategies or Nationally Appropriate Mitigation Action (NAMA) plans or regional agreements etc. Within this section consideration will be given to whether the needs of all beneficiary groups are being met and reflects the current policy priority to leave no-one behind.

**iv. Complementarity with Relevant Existing Interventions/Coherence<sup>139</sup>**

An assessment will be made of how well the project, either at design stage or during the project inception or mobilization<sup>140</sup>, took account of ongoing and planned initiatives (under the same sub-programme, other UNEP sub-programmes, or being implemented by other agencies within the same country, sector or institution) that address similar needs of the same target groups. The Review will consider if the project team, in collaboration with Regional Offices and Sub-Programme Coordinators, made efforts to ensure their own intervention was complementary to other interventions, optimized any synergies and avoided duplication of effort. Examples may include work within UNDAFs or One UN programming. Linkages with other interventions should be described and instances where UNEP's comparative advantage has been particularly well applied should be highlighted.

*Factors affecting this criterion may include:*

- Stakeholders' participation and cooperation
- Responsiveness to human rights and gender equity
- Country ownership and driven-ness

**B. Quality of Project Design**

The quality of project design is assessed using an agreed template during the review inception phase. Ratings are attributed to identified criteria and an overall Project Design Quality rating is established. The complete Project Design Quality template should be annexed in the Review Inception Report. Later,

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<sup>137</sup> UNEP's Medium Term Strategy (MTS) is a document that guides UNEP's programme planning over a four-year period. It identifies UNEP's thematic priorities, known as Sub-programmes (SP), and sets out the desired outcomes, known as Expected Accomplishments (EAs), of the Sub-programmes. <https://www.unenvironment.org/about-un-environment/evaluation-office/our-evaluation-approach/un-environment-documents>

<sup>138</sup> <http://www.unep.fr/ozoneaction/about/bsp.htm>

<sup>139</sup> This sub-category is consistent with the new criterion of 'Coherence' introduced by the OECD-DAC in 2019.

<sup>140</sup> A project's inception or mobilization period is understood as the time between project approval and first disbursement. Complementarity during project implementation is considered under Efficiency, see below.

the overall Project Design Quality rating<sup>141</sup> should be entered in the final review ratings table (as item B) in the Main Review Report and a summary of the project's strengths and weaknesses at design stage should be included within the body of the Main Review Report.

*Factors affecting this criterion may include (at the design stage):*

- Stakeholders participation and cooperation
- Responsiveness to human rights and gender equity

### **C. Nature of External Context**

At review inception stage a rating is established for the project's external operating context (considering the prevalence of conflict, natural disasters and political upheaval<sup>142</sup>). This rating is entered in the final review ratings table as item C. Where a project has been rated as facing either an *Unfavourable* or *Highly Unfavourable* external operating context, and/or a negative external event has occurred during project implementation, the ratings for Effectiveness, Efficiency and/or Sustainability may be increased at the discretion of the Review Consultant and Task Manager together. A justification for such an increase must be given.

### **D. Effectiveness**

#### **i. Availability of Outputs<sup>143</sup>**

The Review will assess the project's success in producing the programmed outputs and making them available to the intended beneficiaries as well as its success in achieving milestones as per the project design document (ProDoc). Any formal modifications/revisions made during project implementation will be considered part of the project design. Where the project outputs are inappropriately or inaccurately stated in the ProDoc, reformulations may be necessary in the reconstruction of the Theory of Change (TOC). In such cases a table should be provided showing the original and the reformulation of the outputs for transparency. The availability of outputs will be assessed in terms of both quantity and quality, and the assessment will consider their ownership by, and usefulness to, intended beneficiaries and the timeliness of their provision. It is noted that emphasis is placed on the performance of those outputs that are most important to achieve outcomes. The Review will briefly explain the reasons behind the success or shortcomings of the project in delivering its programmed outputs available and meeting expected quality standards.

*Factors affecting this criterion may include:*

- Preparation and readiness
- Quality of project management and supervision<sup>144</sup>

#### **ii. Achievement of Project Outcomes<sup>145</sup>**

The achievement of project outcomes is assessed as performance against the outcomes as defined in the reconstructed<sup>146</sup> Theory of Change. These are outcomes that are intended to be achieved by the end of the project timeframe and within the project's resource envelope. Emphasis is placed on the achievement of project outcomes that are most important for attaining intermediate states. As with

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<sup>141</sup> In some instances, based on data collected during the review process, the assessment of the project's design quality may change from Inception Report to Main Review Report.

<sup>142</sup> Note that 'political upheaval' does not include regular national election cycles, but unanticipated unrest or prolonged disruption. The potential delays or changes in political support that are often associated with the regular national election cycle should be part of the project's design and addressed through adaptive management of the project team. From March 2020 this should include the effects of COVID-19.

<sup>143</sup> Outputs are the availability (for intended beneficiaries/users) of new products and services and/or gains in knowledge, abilities and awareness of individuals or within institutions (UNEP, 2019).

<sup>144</sup> For GEF funded projects 'project management and supervision' will refer to the project management performance of the Executing Agency and the technical backstopping provided by UNEP, as Implementing Agency.

<sup>145</sup> Outcomes are the use (i.e. uptake, adoption, application) of an output by intended beneficiaries, observed as changes in institutions or behavior, attitude or condition (UNEP, 2019)

<sup>146</sup> UNEP staff are currently required to submit a Theory of Change with all submitted project designs. The level of 'reconstruction' needed during a review will depend on the quality of this initial TOC, the time that has lapsed between project design and implementation (which may be related to securing and disbursing funds) and the level of any changes made to the project design. In the case of projects pre-dating 2013 the intervention logic is often represented in a logical framework, and a TOC will need to be constructed in the inception stage of the review.



outputs, a table can be used to show where substantive amendments to the formulation of project outcomes is necessary to allow for an assessment of performance. The Review should report evidence of attribution between UNEP's intervention and the project outcomes. In cases of normative work or where several actors are collaborating to achieve common outcomes, evidence of the nature and magnitude of UNEP's 'substantive contribution' should be included and/or 'credible association' established between project efforts and the project outcomes realised.

*Factors affecting this criterion may include:*

- Quality of project management and supervision
- Stakeholders' participation and cooperation
- Responsiveness to human rights and gender equity
- Communication and public awareness

### **iii. Likelihood of Impact**

Based on the articulation of long-lasting effects in the reconstructed TOC (*i.e. from project outcomes, via intermediate states, to impact*), the Review will assess the likelihood of the intended, positive impacts becoming a reality. Project objectives or goals should be incorporated in the TOC, possibly as intermediate states or long-lasting impacts. The Evaluation Office's approach to the use of TOC in project reviews is outlined in a guidance note and is supported by an excel-based flow chart, 'Likelihood of Impact Assessment Decision Tree'. Essentially the approach follows a 'likelihood tree' from project outcomes to impacts, taking account of whether the assumptions and drivers identified in the reconstructed TOC held. Any unintended positive effects should also be identified and their causal linkages to the intended impact described.

The Review will also consider the likelihood that the intervention may lead, or contribute to, unintended negative effects (e.g. will vulnerable groups such as those living with disabilities and/or women and children, be disproportionately affected by the project?). Some of these potential negative effects may have been identified in the project design as risks or as part of the analysis of Environmental and Social Safeguards.

The Review will consider the extent to which the project has played a catalytic role<sup>147</sup> or has promoted scaling up and/or replication as part of its Theory of Change (either explicitly as in a project with a demonstration component or implicitly as expressed in the drivers required to move to outcome levels) and as factors that are likely to contribute to greater or long lasting impact.

Ultimately UNEP and all its partners aim to bring about benefits to the environment and human well-being. Few projects are likely to have impact statements that reflect such long-lasting or broad-based changes. However, the Review will assess the likelihood of the project to make a substantive contribution to the long-lasting changes represented by the Sustainable Development Goals, and/or the intermediate-level results reflected in UNEP's Expected Accomplishments and the strategic priorities of funding partner(s).

*Factors affecting this criterion may include:*

- Quality of Project Management and Supervision (including adaptive management)
- Stakeholders participation and cooperation
- Responsiveness to human rights and gender equity
- Country ownership and driven-ness

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<sup>147</sup> The terms catalytic effect, scaling up and replication are inter-related and generally refer to extending the coverage or magnitude of the effects of a project. Catalytic effect is associated with triggering additional actions that are not directly funded by the project – these effects can be both concrete or less tangible, can be intentionally caused by the project or implied in the design and reflected in the TOC drivers, or can be unintentional and can rely on funding from another source or have no financial requirements. Scaling up and Replication require more intentionality for projects, or individual components and approaches, to be reproduced in other similar contexts. Scaling up suggests a substantive increase in the number of new beneficiaries reached/involved and may require adapted delivery mechanisms while Replication suggests the repetition of an approach or component at a similar scale but among different beneficiaries. Even with highly technical work, where scaling up or replication involves working with a new community, some consideration of the new context should take place and adjustments made as necessary.

- Communication and public awareness

### **E. Financial Management**

Financial management will be assessed under three themes: *adherence* to UNEP's financial policies and procedures, *completeness* of financial information and *communication* between financial and project management staff. The Review will establish the actual spend across the life of the project of funds secured from all donors. This expenditure will be reported, where possible, at output/component level and will be compared with the approved budget. The Review will verify the application of proper financial management standards and adherence to UNEP's financial management policies. Any financial management issues that have affected the timely delivery of the project or the quality of its performance will be highlighted. The Review will record where standard financial documentation is missing, inaccurate, incomplete or unavailable in a timely manner. The Review will assess the level of communication between the Project Manager and the Fund Management Officer as it relates to the effective delivery of the planned project and the needs of a responsive, adaptive management approach.

*Factors affecting this criterion may include:*

- Preparation and readiness
- Quality of project management and supervision

### **F. Efficiency**

Under the efficiency criterion the Review will assess the extent to which the project delivered maximum results from the given resources. This will include an assessment of the cost-effectiveness and timeliness of project execution.

Focusing on the translation of inputs into outputs, *cost-effectiveness* is the extent to which an intervention has achieved, or is expected to achieve, its results at the lowest possible cost. *Timeliness* refers to whether planned activities were delivered according to expected timeframes as well as whether events were sequenced efficiently. The Review will also assess to what extent any project extension could have been avoided through stronger project management and identify any negative impacts caused by project delays or extensions. The Review will describe any cost or time-saving measures put in place to maximise results within the secured budget and agreed project timeframe and consider whether the project was implemented in the most efficient way compared to alternative interventions or approaches.

The Review will give special attention to efforts made by the project teams during project implementation to make use of/build upon pre-existing institutions, agreements and partnerships, data sources, synergies and complementarities<sup>148</sup> with other initiatives, programmes and projects etc. to increase project efficiency.

The factors underpinning the need for any project extensions will also be explored and discussed. Consultants should note that as management or project support costs cannot be increased in cases of 'no cost extensions', such extensions represent an increase in unstated costs to UNEP and Executing Agencies.

*Factors affecting this criterion may include:*

- Preparation and readiness (e.g. timeliness)
- Quality of project management and supervision
- Stakeholders participation and cooperation

### **G. Monitoring and Reporting**

The Review will assess monitoring and reporting across three sub-categories: monitoring design and budgeting, monitoring implementation and project reporting.

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<sup>148</sup> Complementarity with other interventions during project design, inception or mobilization is considered under Strategic Relevance above.

### ***i. Monitoring Design and Budgeting***

Each project should be supported by a sound monitoring plan that is designed to track progress against SMART<sup>149</sup> results towards the achievement of the project's outputs and outcomes, including at a level disaggregated by gender, marginalisation or vulnerability, including those living with disabilities. In particular, the Review will assess the relevance and appropriateness of the project indicators as well as the methods used for tracking progress against them as part of conscious results-based management. The Review will assess the quality of the design of the monitoring plan as well as the funds allocated for its implementation. The adequacy of resources for Mid-Term and Terminal Evaluation/Review should be discussed, where applicable.

### ***ii. Monitoring of Project Implementation***

The Review will assess whether the monitoring system was operational and facilitated the timely tracking of results and progress towards project objectives throughout the project implementation period. This assessment will include consideration of whether the project gathered relevant and good quality baseline data that is accurately and appropriately documented. This should include monitoring the representation and participation of disaggregated groups, including gendered, marginalised or vulnerable groups, such as those living with disabilities, in project activities. It will also consider the quality of the information generated by the monitoring system during project implementation and how it was used to adapt and improve project execution, achievement of outcomes and ensure sustainability. The Review should confirm that funds allocated for monitoring were used to support this activity.

The performance at project completion against Core Indicator Targets should be reviewed. For projects approved prior to GEF-7, these indicators will be identified retrospectively and comments on performance provided.

### ***iii. Project Reporting***

UNEP GEF projects are required to report periodically to UNEP and the GEF through half-yearly and project implement review reports. These will be supplied by the project team (e.g. the Project Implementation Reviews and Tracking Tool for GEF-funded projects). The Review will assess the extent to which both UNEP and GEF reporting commitments have been fulfilled. Consideration will be given as to whether reporting has been carried out with respect to the effects of the initiative on disaggregated groups.

*Factors affecting this criterion may include:*

- Quality of project management and supervision
- Responsiveness to human rights and gender equity (e.g disaggregated indicators and data)

## ***H. Sustainability***

Sustainability<sup>150</sup> is understood as the probability of the benefits derived from the achievement of project outcomes being maintained and developed after the close of the intervention. The Review will identify and assess the key conditions or factors that are likely to undermine or contribute to the endurance of achieved project outcomes (i.e. 'assumptions' and 'drivers'). Some factors of sustainability may be embedded in the project design and implementation approaches while others may be contextual circumstances or conditions that evolve over the life of the intervention. Where applicable an assessment of bio-physical factors that may affect the sustainability of direct outcomes may also be included.

### ***i. Socio-political Sustainability***

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<sup>149</sup> SMART refers to results that are specific, measurable, achievable, relevant and time oriented. Indicators help to make results measurable.

<sup>150</sup> As used here, 'sustainability' means the long-term maintenance of outcomes and consequent impacts, whether environmental or not. This is distinct from the concept of sustainability in the terms 'environmental sustainability' or 'sustainable development', which imply 'not living beyond our means' or 'not diminishing global environmental benefits' (GEF STAP Paper, 2019, Achieving More Enduring Outcomes from GEF Investment)

The Review will assess the extent to which social or political factors support the continuation and further development of the benefits derived from project outcomes. It will consider the level of ownership, interest and commitment among government and other stakeholders to take the project achievements forwards. In particular the Review will consider whether individual capacity development efforts are likely to be sustained.

## **ii. Financial Sustainability**

Some project outcomes, once achieved, do not require further financial inputs, e.g. the adoption of a revised policy. However, in order to derive a benefit from this outcome further management action may still be needed e.g. to undertake actions to enforce the policy. Other project outcomes may be dependent on a continuous flow of action that needs to be resourced for them to be maintained, e.g. continuation of a new natural resource management approach. The Review will assess the extent to which project outcomes are dependent on future funding for the benefits they bring to be sustained. Secured future funding is only relevant to financial sustainability where the project outcomes have been extended into a future project phase. Even where future funding has been secured, the question still remains as to whether the project outcomes are financially sustainable.

## **iii. Institutional Sustainability**

The Review will assess the extent to which the sustainability of project outcomes (especially those relating to policies and laws) is dependent on issues relating to institutional frameworks and governance. It will consider whether institutional achievements such as governance structures and processes, policies, sub-regional agreements, legal and accountability frameworks etc. are robust enough to continue delivering the benefits associated with the project outcomes after project closure. In particular, the Review will consider whether institutional capacity development efforts are likely to be sustained.

*Factors affecting this criterion may include:*

- Stakeholders participation and cooperation
- Responsiveness to human rights and gender equity (e.g. where interventions are not inclusive, their sustainability may be undermined)
- Communication and public awareness
- Country ownership and driven-ness

## **I. Factors Affecting Project Performance and Cross-Cutting Issues**

*(These factors are rated in the ratings table but are discussed within the Main Review Report as cross-cutting themes as appropriate under the other review criteria, above. If these issues have not been addressed under the Review Criteria above, then independent summaries of their status within the reviewed project should be given in this section)*

### **i. Preparation and Readiness**

This criterion focuses on the inception or mobilisation stage of the project (i.e. the time between project approval and first disbursement). The Review will assess whether appropriate measures were taken to either address weaknesses in the project design or respond to changes that took place between project approval, the securing of funds and project mobilization. In particular, the Review will consider the nature and quality of engagement with stakeholder groups by the project team, the confirmation of partner capacity and development of partnership agreements as well as initial staffing and financing arrangements. *(Project preparation is included in the template for the assessment of Project Design Quality).*

### **ii. Quality of Project Management and Supervision**

For GEF funded projects 'project management and supervision' may refer to the project management performance of the Executing Agency and the technical backstopping and supervision provided by UNEP as Implementing Agency. The performance of parties playing different roles should be discussed and a rating provided for both types of supervision (UNEP/Implementing Agency; Partner/Executing Agency) and the overall rating for this sub-category established as a simple average of the two.

The Review will assess the effectiveness of project management with regard to: providing leadership towards achieving the planned outcomes; managing team structures; maintaining productive partner

relationships (including Steering Groups etc.); maintaining project relevance within changing external and strategic contexts; communication and collaboration with UNEP colleagues; risk management; use of problem-solving; project adaptation and overall project execution. Evidence of adaptive management should be highlighted.

### **iii. Stakeholder Participation and Cooperation**

Here the term 'stakeholder' should be considered in a broad sense, encompassing all project partners, duty bearers with a role in delivering project outputs, target users of project outputs and any other collaborating agents external to UNEP and the executing partner(s). The assessment will consider the quality and effectiveness of all forms of communication and consultation with stakeholders throughout the project life and the support given to maximise collaboration and coherence between various stakeholders, including sharing plans, pooling resources and exchanging learning and expertise. The inclusion and participation of all differentiated groups, including gender groups should be considered.

The progress, challenges and outcomes regarding engagement of stakeholders in the project/program occurring since the MTR should be reviewed. This should be based on the description included in the Stakeholder Engagement Plan or equivalent documentation submitted at CEO Endorsement/Approval.

### **iv. Responsiveness to Human Rights and Gender Equality**

The Review will ascertain to what extent the project has applied the UN Common Understanding on the human rights-based approach (HRBA) and the UN Declaration on the Rights of Indigenous People. Within this human rights context the Review will assess to what extent the intervention adheres to UNEP's Policy and Strategy for Gender Equality and the Environment<sup>151</sup>.

The report should present the extent to which the intervention, following an adequate gender analysis at design stage, has implemented the identified actions and/or applied adaptive management to ensure that Gender Equality and Human Rights are adequately taken into account. In particular the Review will consider to what extent project, implementation and monitoring have taken into consideration: (i) possible inequalities (especially those related to gender) in access to, and the control over, natural resources; (ii) specific vulnerabilities of disadvantaged groups (especially women, youth and children and those living with disabilities) to environmental degradation or disasters; and (iii) the role of disadvantaged groups (especially women, youth and children and those living with disabilities) in mitigating or adapting to environmental changes and engaging in environmental protection and rehabilitation.

The completed gender-responsive measures and, if applicable, actual gender result areas should be reviewed. This should be based on the documentation at CEO Endorsement/Approval, including gender-sensitive indicators contained in the project results framework or gender action plan or equivalent.

### **v. Environmental and Social Safeguards**

UNEP projects address environmental and social safeguards primarily through the process of environmental and social screening at the project approval stage, risk assessment and management (avoidance, minimization, mitigation or, in exceptional cases, offsetting) of potential environmental and social risks and impacts associated with project and programme activities. The Review will confirm whether UNEP requirements<sup>152</sup> were met to: *review* risk ratings on a regular basis; *monitor* project implementation for possible safeguard issues; *respond* (where relevant) to safeguard issues through risk avoidance, minimization, mitigation or offsetting and *report* on the implementation of safeguard management measures taken. UNEP requirements for proposed projects to be screened for any safeguarding issues; for sound environmental and social risk assessments to be conducted and initial risk ratings to be assigned are reviewed above under Quality of Project Design).

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<sup>151</sup>The Evaluation Office notes that Gender Equality was first introduced in the UNEP Project Review Committee Checklist in 2010 and, therefore, provides a criterion rating on gender for projects approved from 2010 onwards. Equally, it is noted that policy documents, operational guidelines and other capacity building efforts have only been developed since then and have evolved over time. [https://wedocs.unep.org/bitstream/handle/20.500.11822/7655/-Gender\\_equality\\_and\\_the\\_environment\\_Policy\\_and\\_strategy-2015Gender\\_equality\\_and\\_the\\_environment\\_policy\\_and\\_strategy.pdf.pdf?sequence=3&isAllowed=y](https://wedocs.unep.org/bitstream/handle/20.500.11822/7655/-Gender_equality_and_the_environment_Policy_and_strategy-2015Gender_equality_and_the_environment_policy_and_strategy.pdf.pdf?sequence=3&isAllowed=y)

<sup>152</sup> For the review of project concepts and proposals, the Safeguard Risk Identification Form (SRIF) was introduced in 2019 and replaced the Environmental, Social and Economic Review note (ESERN), which had been in place since 2016. In GEF projects safeguards have been considered in project designs since 2011.

The Review will also consider the extent to which the management of the project minimised UNEP's environmental footprint.

Implementation of the management measures against the Safeguards Plan submitted at CEO Approval should be reviewed, the risk classifications verified and the findings of the effectiveness of any measures or lessons learned taken to address identified risks assessed. Any supporting documents gathered by the Consultant should be shared with the Task Manager.

**vi. Country Ownership and Driven-ness**

The Review will assess the quality and degree of engagement of government / public sector agencies in the project. While there is some overlap between Country Ownership and Institutional Sustainability, this criterion focuses primarily on the forward momentum of the intended projects results, i.e. either: a) moving forwards from outputs to project outcomes or b) moving forward from project outcomes towards intermediate states. The Review will consider the involvement not only of those directly involved in project execution and those participating in technical or leadership groups, but also those official representatives whose cooperation is needed for change to be embedded in their respective institutions and offices (e.g. representatives from multiple sectors or relevant ministries beyond Ministry of Environment). This factor is concerned with the level of ownership generated by the project over outputs and outcomes and that is necessary for long term impact to be realized. Ownership should extend to all gender and marginalised groups.

**vii. Communication and Public Awareness**

The Review will assess the effectiveness of: a) communication of learning and experience sharing between project partners and interested groups arising from the project during its life and b) public awareness activities that were undertaken during the implementation of the project to influence attitudes or shape behavior among wider communities and civil society at large. The Review should consider whether existing communication channels and networks were used effectively, including meeting the differentiated needs of gendered or marginalised groups, and whether any feedback channels were established. Where knowledge sharing platforms have been established under a project the Review will comment on the sustainability of the communication channel under either socio-political, institutional or financial sustainability, as appropriate

The project's completed Knowledge Management Approach, including: Knowledge and Learning Deliverables (e.g. website/platform development); Knowledge Products/Events; Communication Strategy; Lessons Learned and Good Practice; Adaptive Management Actions should be reviewed. This should be based on the documentation approved at CEO Endorsement/Approval.

### **Section 3. REVIEW APPROACH, METHODS AND DELIVERABLES**

The Terminal Review will be an in-depth review using a participatory approach whereby key stakeholders are kept informed and consulted throughout the review process. Both quantitative and qualitative review methods will be used as appropriate to determine project achievements against the expected outputs, outcomes and impacts. It is highly recommended that the consultant(s) maintains close communication with the project team and promotes information exchange throughout the review implementation phase in order to increase their (and other stakeholder) ownership of the review findings. Where applicable, the consultant(s) should provide a geo-referenced map that demarcates the area covered by the project and, where possible, provide geo-reference photographs of key intervention sites (e.g. sites of habitat rehabilitation and protection, pollution treatment infrastructure, etc.)

The findings of the Review will be based on the following:

**(a) A desk review of:**

Relevant background documentation, inter alia.

Project Document and Appendices. This includes, among others, relevant work plans, budget and supervision plans, as well as any revisions introduced after approval.

Theory of change, problem tree, and logical framework.

Project reports, including: half year progress reports, project implementation reports (PIRs), expenditure reports, financial statements, audits, inventory reports, progress

reports from collaborating partners, meeting minutes, relevant correspondence and Tracking Tool;

Evaluations/Reviews of similar projects.

Project design documents (including minutes of the project design review meeting at approval); Annual Work Plans and Budgets or equivalent, revisions to the project (Project Document Supplement), the logical framework, and its budget;

Project reports, including half year progress reports, project implementation reports (PIRs), expenditure reports, financial statements, audits, inventory reports, progress reports from collaborating partners, meeting minutes, relevant correspondence, and the Tracking Tool

Evaluations/Reviews of similar projects.

- (b) **Interviews** (individual or in group) with:
  - UNEP Task Manager (TM);
  - Project Manager (PM)
  - Project management team;
  - UNEP Fund Management Officer (FMO);
  - Portfolio Manager and Sub-Programme Coordinator, where appropriate;
  - Project partners, including;
  - Relevant resource persons;
  - Representatives from civil society and specialist groups (such as women's, farmers and trade associations etc).
- (c) **Other data collection tools: If needed, to be agreed between the Task Manager, the Project Manager and the MTR consultant at the inception phase.**
- (d) **Surveys**
- (e) **Field visits**
- (f) **Other data collection tools**

## Review Deliverables and Review Procedures

The Review Consultant will prepare:

- **Inception Report:** (see Annex 1 for a list of all templates, tables, and guidance notes) containing an assessment of project design quality, a draft reconstructed Theory of Change of the project, project stakeholder analysis, review framework, and a tentative review schedule.
- **Preliminary Findings Note:** typically in the form of a PowerPoint presentation, the sharing of preliminary findings is intended to support the participation of the project team, act as a means to ensure all information sources have been accessed, and provide an opportunity to verify emerging findings.
- **Draft and Final Review Report:** containing an executive summary that can act as a stand-alone document; detailed analysis of the review findings organized by review criteria and supported with evidence; lessons learned and recommendations and an annotated rating table.

A **Review Brief** (a 2-page overview of the evaluation and review findings) for wider dissemination through the UNEP website may be required. This will be discussed with the Task Manager no later than during the finalization of the Inception Report.

**Review of the Draft Review Report.** The Review Consultant will submit a draft report to the Task Manager and revise the draft in response to their comments and suggestions. The Task Manager will then forward the revised draft report to other project stakeholders, for their review and comments. Stakeholders may provide feedback on any errors of fact and may highlight the significance of such errors in any conclusions as well as providing feedback on the proposed recommendations and lessons. Any comments or responses to draft reports will be sent to the Task Manager for consolidation. The Task Manager will provide all comments to the Review Consultant for consideration in preparing the final report, along with guidance on areas of contradiction or issues requiring an institutional response.

The final version of the Terminal Review report will be assessed for its quality by the UNEP Evaluation Office using a standard template and this assessment will be annexed to the final Terminal Review report.

At the end of the review process, the Task Manager will prepare a **Recommendations Implementation Plan** in the format of a table, to be completed and updated at regular intervals, and circulate the **Lessons Learned**.

### The Review Consultant

The Review Consultant will work under the overall responsibility of the Task Manager Asher Lessels, supported by project specialist Ana Celeste Schweiger, in consultation with the Fund Management Officer Fatma Twahir, the Head of Unit/Branch Mark Radka the Portfolio Managers Ruth Zugman Do Coutto and Geordie Colville and the Climate Change Coordinator of the Regional Office for Latin America and the Caribbean, Gustau Manez.

The Review Consultant will liaise with the Task Manager on any procedural and methodological matters related to the Review. It is, however, the consultant's individual responsibility (where applicable) to arrange for their visas and immunizations as well as to plan meetings with stakeholders, organize online surveys, obtain documentary evidence, and any other logistical matters related to the assignment. The UNEP Task Manager and the project team will, where possible, provide logistical support (introductions, meetings etc.) allowing the consultants to conduct the Review as efficiently and independently as possible.

The Review Consultant will be hired over a period of 9 months and should have the following: a university degree in environmental sciences, international development, or other relevant political or social sciences area is required and an advanced degree in the same areas is desirable; a minimum of 7 years of technical / evaluation experience is required, preferably including evaluating large, regional or global programmes and using a Theory of Change approach; and a good/broad understanding of Climate Changes Transparency Projects is desired. English, French and Spanish are the working languages of the United Nations Secretariat. For this consultancy, fluency in oral and written English and Spanish is a requirement. Working knowledge of the UN system and specifically the work of UNEP is an added advantage. The work will be home-based with possible field visits.

The Review Consultant will be responsible, in close consultation with the Task Manager, for overall quality of the review and timely delivery of its outputs, described above in Section 11 Review Deliverables, above. The Review Consultant will ensure that all review criteria and questions are adequately covered.

### Schedule of the Review

The table below presents the tentative schedule for the Review.

**Table 3. Tentative schedule for the Review**

| <b>Milestone</b>                                                    |
|---------------------------------------------------------------------|
| Inception Report                                                    |
| Review Mission                                                      |
| E-based interviews, surveys etc.                                    |
| PowerPoint/presentation on preliminary findings and recommendations |
| Draft Review Report to Task Manager (and Project Manager)           |
| Draft Review Report shared with wider group of stakeholders         |
| Final Review Report                                                 |
| Final Review Report shared with all respondents                     |

### Contractual Arrangements

The Review Consultant(s) will be selected and recruited by the Task Manager under an individual Special Service Agreement (SSA) on a "fees only" basis (see below). By signing the service contract with UNEP/UNON, the consultant certifies that they have not been associated with the design and implementation of the project in any way which may jeopardize their independence and impartiality towards project achievements and project partner performance. In addition, they will not have any future interests (within six months after completion of the contract) with the project's executing or implementing units. All consultants are required to sign the Code of Conduct Agreement Form.



Fees will be paid on an installment basis, paid on acceptance and approval by the Task Manager of expected key deliverables. The schedule of payment is as follows:

Schedule of Payment:

US\$ 24,000 payable for technical work in accordance with the following deliverables.

Fees only contracts: Where applicable, air tickets will be purchased by UNEP and 75% of the Daily Subsistence Allowance for each authorized travel mission will be paid up front. Local in-country travel will only be reimbursed where agreed in advance with the Task Manager and on the production of acceptable receipts. Terminal expenses and residual DSA entitlements (25%) will be paid after mission completion.

The consultant may be provided with access to UNEP's information management systems (e.g. PIMS, Anubis, SharePoint, etc.) and, if such access is granted, the consultants agree not to disclose information from that system to third parties beyond information required for, and included in, the Review Report.

In case the consultant is not able to provide the deliverables in accordance with these guidelines, and in line with the expected quality standards by UNEP, payment may be withheld at the discretion of the Head of Branch or Portfolio Manager until the consultants have improved the deliverables to meet UNEP's quality standards.

If the consultant fails to submit a satisfactory final product to the Project Manager in a timely manner, i.e. before the end date of their contract, UNEP reserves the right to employ additional human resources to finalize the report, and to reduce the consultant's fees by an amount equal to the additional costs borne by the project team to bring the report up to standard or completion.

## ANNEX VIII. GEF PORTAL INPUTS

The following table contains text to be uploaded to the GEF Portal.

**Table 20. GEF Portal Inputs**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Question:</b> What was the performance at the project's completion against Core Indicator Targets?</p> <p><b>Response:</b> The CBIT-HN Project reported in PIRs against Core Indicator 11, on the number of direct beneficiaries. Direct beneficiaries were defined as individuals who benefited from capacity-building or awareness-raising activities, or who participated in the validation of project results. The project reported a total of 286 beneficiaries (152 men and 134 women). This TR is of the opinion that the definition of project beneficiary used by the project was too broad, as it included individuals with only a marginal participation in its activities and results.<br/>See paragraph 213 (page 61) of the terminal review report.</p>                                                                                                                                                                                                                                                                                |
| <p><b>Question:</b> What were the progress, challenges and outcomes regarding engagement of stakeholders in the project/program as evolved from the time of the MTR?</p> <p><b>Response:</b><br/>Engagement with key stakeholders, including the Secretariats of Agriculture, Energy and Finance, progressed as planned. These entities actively participated in project activities, contributing to the country's strong ownership of the project's outcomes.<br/>Engagement with the Autonomous National University of Honduras did not produce the expected results, as the climate change observatory to be hosted by the University was not established as planned. The observatory was also going to promote engagement with other non-State actors, including the private sector and academia. Failure to implement the observatory limited the project's engagement with these groups of stakeholders.<br/>No mid-term review was completed.<br/>See paragraphs 60 (page 24), 137 (p. 46) and 301 – 303 (p. 74) of the terminal review report.</p> |
| <p><b>Question:</b> What were the completed gender-responsive measures and, if applicable, actual gender result areas?</p> <p><b>Response:</b> Honduras' nationally determined contributions have relevant considerations on gender equality and social inclusion. Those provisions were incorporated into the project activities, including specific recommendations for the design of a national transparency system that considers gender equality. The Project did not prepare a gender action plan but organised a gender mainstreaming workshop during the early stages of project implementation. In some cases, training activities collected gender-disaggregated information on participants. The project was not given a gender score at project appraisal and approval. The project partially collected gender-disaggregated information on capacity-building activities to report against GEF Core Indicator 11.<br/>See paragraphs 292 – 294 (page 74) and 213 (p. 61) of the terminal review report.</p>                                    |
| <p><b>Question:</b> What was the progress made in the implementation of the management measures against the Safeguards Plan submitted at CEO Approval? The risk classifications reported in the latest PIR report should be verified and the findings of the effectiveness of any measures or lessons learned taken to address identified risks assessed.</p> <p><b>Response:</b> The project document included an environmental, social and economic safeguards screening that concluded that the project was low risk. During project implementation, the project team monitored safeguards-related risks and reported findings in PIR reports. No safeguards-related risks emerged during project implementation, and the approach followed was deemed appropriate by the terminal review.<br/>The project team followed social distancing and other preventive measures adopted in response to the COVID-19 pandemic.<br/>See paragraphs 297- 298 (page 74) of the terminal review report.</p>                                                         |
| <p><b>Question:</b> What were the challenges and outcomes regarding the project's completed Knowledge Management Approach, including: Knowledge and Learning Deliverables (e.g. website/platform development); Knowledge Products/Events; Communication Strategy; Lessons Learned and Good Practice; Adaptive Management Actions?</p> <p><b>Response:</b> The project did not develop a project-specific communications strategy. Communications with key audiences were direct through frequent remote and in-person meetings and workshops. The project developed a project-specific website, but the site is no longer accessible. The project also used social media to disseminate information (e.g., Facebook, YouTube, etc.). The</p>                                                                                                                                                                                                                                                                                                               |

project participated in activities organised by the CBIT Global Coordination Platform but did not use the knowledge dissemination features available on the platform.

See paragraphs 306 – 309 (page 75) of the terminal review report.

**Question: What are the main findings of the evaluation?**

**Response:** The objective of the project was to design, implement and operate a climate information system to improve the capacities of Honduras to plan, monitor, and report climate action. The project delivered partial results as some modules of the information system were delivered and accepted by its primary user, SERNA. Still, others could not be finalised within the project implementation. The two main expected project contributions to Honduras' institutional arrangements for climate transparency could not be fully delivered during the implementation period: a dedicated climate monitoring and reporting unit within SERNA and a proposed climate observatory that was expected to serve as a technical advisory body to the transparency system. The project delivered training to staff of entities of the national government with transparency-related responsibilities that were deemed useful by participants. However, due to delays in project implementation, staff from these entities were unable to receive training on the use of the information system.

The project contributed to a draft climate change law and regulations that are under consideration by Honduras' Congress. If approved, it would represent a significant contribution to the country's legal framework for climate action.

A highlight of the project was the effective collaboration with the GEF-financed project for the elaboration of Honduras' fourth national communication and first biennial transparency report. That collaboration contributed to the project team's efforts to address the shortcomings in the technical inputs available for the implementation of the climate information system.

Under the approved project scope, the project had limited opportunities to have an impact on Human Rights, gender equality, or the rights and participation of Indigenous Peoples, youth, and/or marginalized groups. However, the project team seized opportunities to increase the project's results in these areas.

The project team was competent and effectively navigated the challenges of project implementation.

**The overall rating of the project is Moderately Satisfactory.**

See paragraphs 311 – 330 (pages 76 – 7974) of the terminal review report.

## ANNEX IX. IMPLEMENTATION PLAN OF RECOMMENDATIONS

**Project Title and Reference No.:** Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras (GEF ID 9942)

**Contact Person (TM/PM):** Loana Vega- Asher Lessels - UNEP Climate Change Division, Mitigation Branch- GEF Climate Mitigation Unit

|                                                                                                                                                                                                            | PLANS                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                |                                                                                                  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------|
| RECOMMENDATIONS                                                                                                                                                                                            | ACCEPTED<br>(YES/ NO/<br>PARTIALLY) | WHAT WILL BE DONE?                                                                                                                                                                                                                                                                                                                                                                                                                                                          | EXPECTED<br>COMPLETION<br>DATE | REPOSNSIBLE<br>OFFICER/ UNIT/<br>DIVISION/ AGENCY                                                |
| 1- SERNA should ensure the completion and delivery of the modules of ICCMS agreed to be delivered under the CBIT-HN Project.                                                                               | Yes                                 | To confirm the government's commitment in operationalizing the platform, a letter of was issued by SERNA to ensure its launch and to promote the necessary improvements that guarantee the platform's functionality and the effective use of the tools developed within the framework of the project. At the end the platform of the National Transparency System of Honduras was completed and available for public consultation in the link: <a href="#">Home - Brand</a> | 2026                           | Secretariat of Natural Resources and Environment (SERNA) CCM Unit, Climate Change Division, UNEP |
| 2- SERNA should ensure that the intended users of the ICCMS within SERNA and in other entities with transparency functions are adequately trained to use the system.                                       | Yes                                 | SERNA is actively addressing this recommendation by ensuring that the intended users of the ICCMS, both within SERNA and in other entities with transparency functions, are adequately trained to use the system. To build this capacity, training courses are being progressively uploaded to the platform, providing users with structured learning resources and practical guidance to strengthen their skills and ensure effective use of the ICCMS.                    | 2026                           | Secretariat of Natural Resources and Environment (SERNA)                                         |
| 3- SERNA should document the process followed to implement the climate change observatory, summarizing the challenges encountered, and the options identified during the execution of the CBIT-HN Project. | Yes                                 | SERNA will continue working to strengthen its collaboration with academic institutions and to formalize the operationalization of the Climate Change Observatory. In the next phases of the project, SERNA will pursue an agreement with UNAH to enable the participation of the ONCC-DS in the operation of the                                                                                                                                                            | 2026                           | Secretariat of Natural Resources and Environment (SERNA)                                         |

| RECOMMENDATIONS                                                                                                                                                                                                                                                                                                                                                                  | PLANS                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                |                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                  | ACCEPTED<br>(YES/ NO/<br>PARTIALLY) | WHAT WILL BE DONE?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | EXPECTED<br>COMPLETION<br>DATE | REPOSIBLE<br>OFFICER/ UNIT/<br>DIVISION/ AGENCY          |
|                                                                                                                                                                                                                                                                                                                                                                                  |                                     | ICCMS. SERNA also remains open to engaging with other academic institutions to further enhance technical capacity and ensure the long-term sustainability of the Observatory.                                                                                                                                                                                                                                                                                                                                      |                                |                                                          |
| 4- SERNA should consider pursuing a follow-up project financed by CBIT.                                                                                                                                                                                                                                                                                                          | Yes                                 | SERNA has expressed interest in pursuing a follow-up project financed by CBIT, recognizing its potential to further strengthen national capacities for climate transparency. However, SERNA will await the stabilization of the newly elected government at the end of 2025 before initiating formal steps, ensuring that the project aligns with national priorities and benefits from strong institutional support.                                                                                              | 2026                           | Secretariat of Natural Resources and Environment (SERNA) |
| 5- SERNA should plan for and facilitate the implementation of the provisions on gender equality and social inclusion included in the (draft) climate change law.                                                                                                                                                                                                                 | Yes                                 | To address this, SERNA will incorporate these cross-cutting priorities into the institutional framework of climate governance through the support of a new phase of the project (CBIT II). This phase will integrate gender and social inclusion considerations into climate action by developing specific implementation guidelines, strengthening institutional capacities, and ensuring monitoring mechanisms are aligned with national climate transparency requirements.                                      | 2026                           | Secretariat of Natural Resources and Environment (SERNA) |
| 6- UNEP should ensure that project teams implementing projects financed by the Capacity Building Initiative for Transparency elaborate and implement monitoring and evaluation plans as part of standard project management functions. These plans could be informed capacity-building plans detailing the scope, topics, audience, and targets of capacity-building activities. | Yes                                 | The projects designed under the CBIT portfolio for the GEF-8 cycle include a dedicated Monitoring and Evaluation (M&E) component. This component defines the project's M&E framework and establishes a Knowledge Management System to enhance the efficiency and effectiveness of M&E processes. Project execution is monitored through regular coordination meetings involving the Implementing Agency focal point, the Project Manager, and other key stakeholders identified in the Institutional Arrangements. | 2025                           | CCM Unit, Climate Change Division, UNEP                  |

## ANNEX X. QUALITY ASSESSMENT OF THE REVIEW REPORT

**Review Title:** “Support in the Design and Implementation of the Integrated Monitoring System of Climate Change for Honduras” (GEF ID 9942) 2019 – 2024

**Consultant:** Francisco Arango

All UNEP Reviews are subject to a quality assessment by the UNEP Evaluation Office. This is an assessment of the quality of the review product (i.e. Main Review Report).

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | UNEP Evaluation Office Comments                                                                                                                                                                                                                                                                                                                                                                                                                                  | Final Review Report Rating |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|
| <b>Report Quality Criteria</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                            |
| <b>Quality of the Executive Summary</b><br><u>Purpose:</u> acts as a stand alone and accurate <u>summary</u> of the main review product, especially for senior management.<br>To include: <ul style="list-style-type: none"> <li>• concise overview of the review object</li> <li>• clear summary of the review objectives and scope</li> <li>• overall review rating of the project and key features of performance (strengths and weaknesses) against exceptional criteria</li> <li>• reference to where the review ratings table can be found within the report</li> <li>• summary response to key strategic review questions</li> <li>• summary of the main findings of the exercise/synthesis of main conclusions</li> <li>• summary of lessons learned and recommendations.</li> </ul>                                                                                                                                                                                           | <b>Final report (coverage/omissions):</b><br><br>Most required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>Good criterion-by-criterion performance snapshot with ratings and brief evidence-based rationale.<br><br>There is no reference to where the review ratings table can be found within the report.                                                                                                                | 5.5                        |
| <b>Quality of the 'Introduction' Section</b><br><u>Purpose:</u> introduces/ <u>situates</u> the evaluand in its institutional context, establishes its main parameters (time, value, results, geography) and the purpose of the review itself.<br>To include: <ul style="list-style-type: none"> <li>• institutional context of the project (sub-programme, Division, Branch etc)</li> <li>• date of PRC approval, project duration and start/end dates</li> <li>• number of project phases (where appropriate)</li> <li>• results frameworks to which it contributes (e.g. POW Direct Outcome)</li> <li>• coverage of the review (regions/countries where implemented)</li> <li>• implementing and funding partners</li> <li>• total secured budget</li> <li>• whether the project has been reviewed/evaluated in the past (e.g. mid-term, external agency etc.)</li> <li>• concise statement of the purpose of the review and the key intended audience for the findings.</li> </ul> | <b>Final report (coverage/omissions):</b><br><br>Most required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>The Introduction is comprehensive: dates, actors, frameworks, purpose, and “no prior reviews” are clearly stated.<br><br>The template requests the date of PRC approval; however, the report provides UNEP approval dates, which are not explicitly labelled as “PRC approval” but may serve a similar purpose. | 5                          |
| <b>Quality of the 'Review Methods' Section</b><br><u>Purpose:</u> provides reader with clear and comprehensive description of review methods, demonstrates the <u>credibility</u> of the findings and performance ratings.<br>To include: <ul style="list-style-type: none"> <li>• description of review data collection methods and information sources</li> <li>• justification for methods used (e.g. qualitative/ quantitative; electronic/face-to-face)</li> <li>• number and type of respondents (<i>see table template</i>)</li> <li>• selection criteria used to identify respondents, case studies or sites/countries visited</li> <li>• strategies used to increase stakeholder engagement and consultation</li> </ul>                                                                                                                                                                                                                                                       | <b>Final report (coverage/omissions):</b><br><br>Most required elements are addressed except for limitations<br><br><b>Final report (strengths/weaknesses):</b><br><br>Good description of /triangulation and interview coverage, and includes ethics/confidentiality.<br><br>Provides disaggregated data on respondents (including gender breakdown + response rates). This section does not include information on analysis methods                            | 4.5                        |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | UNEP Evaluation Office Comments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Final Review Report Rating |
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| <ul style="list-style-type: none"> <li>• methods to include the voices/experiences of different and potentially excluded groups (e.g. vulnerable, gender, marginalised etc)</li> <li>• details of how data were verified (e.g. triangulation, review by stakeholders etc.)</li> <li>• methods used to analyse data (scoring, coding, thematic analysis etc)</li> <li>• review limitations (e.g. low/ imbalanced response rates across different groups; gaps in documentation; language barriers etc)</li> <li>• ethics and human rights issues should be highlighted including: how anonymity and confidentiality were protected. Is there an ethics statement? E.g. <i>'Throughout the review process and in the compilation of the Final Review Report efforts have been made to represent the views of both mainstream and more marginalised groups. All efforts to provide respondents with anonymity have been made.'</i></li> </ul>                                                                                                                                                                                                                                                                                                                  | (e.g., thematic coding), and explicit review limitations (document gaps, etc).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                            |
| <p><b>Quality of the 'Project' Section</b></p> <p><u>Purpose:</u> describes and <u>verifies</u> key dimensions of the evaluand relevant to assessing its performance.</p> <p>To include:</p> <ul style="list-style-type: none"> <li>• <i>Context:</i> overview of the main issue that the project is trying to address, its root causes and consequences on the environment and human well-being (i.e. synopsis of the problem and situational analyses)</li> <li>• <i>Results framework:</i> summary of the project's results hierarchy as stated in the ProDoc (or as officially revised)</li> <li>• <i>Stakeholders:</i> description of groups of targeted stakeholders organised according to relevant common characteristics</li> <li>• <i>Project implementation structure and partners:</i> description of the implementation structure with diagram and a list of key project partners</li> <li>• <i>Changes in design during implementation:</i> any key events that affected the project's scope or parameters should be described in brief in chronological order</li> <li>• <i>Project financing:</i> completed tables of: (a) budget at design and expenditure by components (b) planned and actual sources of funding/co-financing</li> </ul> | <p><b>Final report (coverage/omissions):</b></p> <p>All required elements are addressed</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>Strong documentation of governance and implementation structure (PMU/PSC roles; membership; meeting dates).</p> <p>Implementation changes are described with practical implications (e.g. government transition, no-cost extensions). However, the report does not explain the reasons for UNEP's Copenhagen Climate Centre's withdrawal from the project. This section would have benefited from such contextual explanation, particularly given the withdrawal's impact on project effectiveness and efficiency.</p> | 5.5                        |
| <p><b>Quality of the Theory of Change</b></p> <p><u>Purpose:</u> to set out the TOC at Review in diagrammatic and narrative forms to support consistent project performance; to articulate the causal pathways with drivers and assumptions and justify any reconstruction necessary to assess the project's performance.</p> <p>To include:</p> <ul style="list-style-type: none"> <li>• description of how the <i>TOC at Review</i><sup>153</sup> was designed (who was involved etc)</li> <li>• confirmation/reconstruction of results in accordance with UNEP definitions</li> <li>• articulation of causal pathways</li> <li>• identification of drivers and assumptions</li> <li>• identification of key actors in the change process</li> <li>• summary of the reconstruction/results re-formulation in tabular form. <i>The two results hierarchies (original/formal revision and reconstructed) should be presented as a two-column table to show clearly that, although wording and</i></li> </ul>                                                                                                                                                                                                                                                | <p><b>Final report (coverage/omissions):</b></p> <p>Most required elements are addressed.</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>Good articulation of causal pathways and identification of drivers and assumptions.</p> <p>This section would have benefited from indicating who participated in the TOC reconstruction and how it was validated with stakeholders.</p>                                                                                                                                                                                                                                                                              | 5                          |

<sup>153</sup> During the Inception Phase of the review process a *TOC at Review Inception* is created based on the information contained in the approved project documents (these may include either logical framework or a TOC or narrative descriptions), formal revisions and annual reports etc. During the review process this TOC is revised based on changes made during project intervention and becomes the *TOC at Review*.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | UNEP Evaluation Office Comments                                                                                                                                                                                                                                                                                                                                                                         | Final Review Report Rating |
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| <i>placement may have changed, the results 'goal posts' have not been 'moved'. This table may have initially been presented in the Inception Report and should appear somewhere in the Main Review report.</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                         |                            |
| <b>Quality of Key Findings within the Report</b><br><br><u>Presentation of evidence:</u> nature of evidence should be clear (interview, document, survey, observation, online resources etc) and evidence should be explicitly triangulated unless noted as having a single source.<br><br><u>Consistency within the report:</u> all parts of the report should form consistent support for findings and performance ratings, which should be in line with UNEP's Criteria Ratings Matrix.<br><br><u>Findings Statements (where applicable):</u> The frame of reference for a finding should be an individual review criterion or a strategic question from the TOR. <b>A finding should go beyond description and uses analysis to provide insights that aid learning specific to the evaluand.</b> In some cases a findings statement may articulate a key element that has determined the performance rating of a criterion. Findings will frequently provide insight into 'how' and/or 'why' questions. | <b>Final report (coverage/omissions):</b><br><br>All required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>The report explicitly describes triangulation and sources.<br><br>Findings statements are analytical and framed to criteria/strategic questions.<br><br>Very clear strategic-question framing and analytical "why/how" explanations in the conclusions. | 5.5                        |
| <b>Quality of 'Strategic Relevance' Section</b><br><br><u>Purpose:</u> to present evidence and analysis of project strategic relevance with respect to UNEP, partner and geographic policies and strategies at the time of project approval.<br><br>To include:<br><br>Assessment of the evaluand's relevance vis-à-vis: <ul style="list-style-type: none"> <li>• Alignment to the UNEP Medium Term Strategy (MTS), Programme of Work (POW) and Strategic Priorities</li> <li>• Alignment to Donor/GEF/Partners Strategic Priorities</li> <li>• Relevance to Regional, Sub-regional and National Environmental Priorities</li> <li>• Complementarity with Existing Interventions: complementarity of the project at design (or during inception/mobilisation<sup>154</sup>), with other interventions addressing the needs of the same target groups.</li> </ul>                                                                                                                                            | <b>Final report (coverage/omissions):</b><br><br>All required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>Good assessment of each strategic relevance dimension.                                                                                                                                                                                                  | 5.5                        |
| <b>Quality of the 'Quality of Project Design' Section</b><br><br><u>Purpose:</u> to present a summary of the strengths and weaknesses of the project design, on the basis that the detailed assessment was presented in the Inception Report.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>Final report (coverage/omissions):</b><br><br>All required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>This section would have benefited from more information on the weaknesses related to partnerships since in the project design quality assessment, it scored moderately satisfactory.                                                                    | 5                          |
| <b>Quality of the 'Nature of the External Context' Section</b><br><br><u>Purpose:</u> to describe and recognise, when appropriate, key <u>external</u> features of the project's implementing context that limited the project's performance (e.g. conflict, natural disaster, political upheaval <sup>155</sup> ), and how they affected performance.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>Final report (coverage/omissions):</b><br><br>All required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b>                                                                                                                                                                                                                                                                | 5                          |

<sup>154</sup> A project's inception or mobilization period is understood as the time between project approval and first disbursement. Complementarity during project implementation is considered under Efficiency, see below.

<sup>155</sup> Note that 'political upheaval' does not include regular national election cycles, but unanticipated unrest or prolonged disruption. The potential delays or changes in political support that are often associated with the regular national election cycle should be part of the project's design and addressed through adaptive management of the project team.



|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | UNEP Evaluation Office Comments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Final Review Report Rating |
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| While additional details of the implementing context may be informative, this section should clearly record whether or not a major and unexpected disrupting event took place during the project's life in the implementing sites.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | The report identifies disruptions and links them to performance and planning expectations.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                            |
| <b>Quality of 'Effectiveness' Section</b><br><b>(i) Availability of Outputs:</b><br><u>Purpose:</u> to present a well-reasoned, complete and evidence-based assessment of the outputs made available to the intended beneficiaries.<br>To include: <ul style="list-style-type: none"> <li>a convincing, evidence-supported and clear presentation of the outputs made available by the project compared to its approved plans and budget</li> <li>assessment of the nature and scale of outputs versus the project indicators and targets</li> <li>assessment of the timeliness, quality and utility of outputs to intended beneficiaries</li> <li>identification of positive or negative effects of the project on disadvantaged groups, including those with specific needs due to gender, vulnerability or marginalisation (e.g. through disability).</li> </ul>                                                                                                                                           | <b>Final report (coverage/omissions):</b><br>Most required elements are addressed. However, it should be noted that the Projects Results Framework did not include indicators, targets, or means of verification at the output level.<br><b>Final report (strengths/weaknesses):</b><br>Clear and exhaustive analysis of what was delivered versus not delivered.<br>Under this section there is no identification of positive or negative effects of the project on disadvantaged groups, including those with specific needs due to gender, vulnerability or marginalisation. | 5.5                        |
| <b>ii) Achievement of Project Outcomes:</b><br><u>Purpose:</u> to present a well-reasoned, complete and evidence-based assessment of the uptake, adoption and/or implementation of outputs by the intended beneficiaries. This may include behaviour changes at an individual or collective level.<br>To include: <ul style="list-style-type: none"> <li>a convincing and evidence-supported analysis of the uptake of outputs by intended beneficiaries</li> <li>assessment of the nature, depth and scale of outcomes versus the project indicators and targets</li> <li>discussion of the contribution, credible association and/or attribution of outcome level changes to the work of the project itself</li> <li>any constraints to attributing effects to the projects' work</li> <li>identification of positive or negative effects of the project on disadvantaged groups, including those with specific needs due to gender, vulnerability or marginalisation (e.g. through disability).</li> </ul> | <b>Final report (coverage/omissions):</b><br>Most required elements are addressed.<br><b>Final report (strengths/weaknesses):</b><br>Comprehensive and insightful assessment of incomplete adoption and what is needed for outcomes to hold.<br>Identification of positive or negative effects of the project on disadvantaged groups are not included.                                                                                                                                                                                                                         | 5.5                        |
| <b>(iii) Likelihood of Impact:</b><br><u>Purpose:</u> to present an integrated analysis, guided by the causal pathways represented by the TOC, of all evidence relating to likelihood of impact, including an assessment of the extent to which drivers and assumptions necessary for change to happen, were seen to be holding.<br>To include: <ul style="list-style-type: none"> <li>an explanation of how causal pathways emerged and change processes can be shown</li> <li>an explanation of the roles played by key actors and change agents</li> <li>explicit discussion of how drivers and assumptions played out</li> <li>identification of any unintended negative effects of the project, especially on disadvantaged groups, including those with specific needs due to gender, vulnerability or marginalisation (e.g. through disability).</li> </ul>                                                                                                                                            | <b>Final report (coverage/omissions):</b><br>Most required elements are addressed.<br><b>Final report (strengths/weaknesses):</b><br>Explicitly used reconstructed TOC (assumption and drivers) to provide a likelihood judgement.<br>There is no indication of unintended negative effects. Even if none were found, this section would have benefited from stating that explicitly.                                                                                                                                                                                           | 5                          |
| <b>Quality of 'Financial Management' Section</b><br><u>Purpose:</u> to present an integrated analysis of all dimensions evaluated under financial management and include a completed 'financial management' table (may be annexed).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>Final report (coverage/omissions):</b><br>All required elements are addressed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 5.5                        |

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| <p>Consider how well the report addresses the following:</p> <ul style="list-style-type: none"> <li>• <i>adherence</i> to UNEP's financial policies and procedures</li> <li>• <i>completeness</i> of financial information, including the actual project costs (total and per activity) and actual co-financing used</li> <li>• <i>communication</i> between financial and project management staff</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                | <p><b>Final report (strengths/weaknesses):</b></p> <p>The report provides a clear and comprehensive assessment of all financial management dimensions. Figure 6 ("Planned vs. actual disbursements, 2021–2024 [US\$]") effectively illustrates disbursement performance.</p>                                                                                                                                                                    |                            |
| <p><b>Quality of 'Efficiency' Section</b></p> <p><u>Purpose:</u> to present an integrated analysis of all dimensions evaluated under efficiency (i.e. the primary categories of cost-effectiveness and timeliness).</p> <p>To include:</p> <ul style="list-style-type: none"> <li>• time-saving measures put in place to maximise results within the secured budget and agreed project timeframe</li> <li>• discussion of making use, during project implementation, of/building on pre-existing institutions, agreements and partnerships, data sources, synergies and complementarities with other initiatives, programmes and projects etc.</li> <li>• implications of any delays and no cost extensions</li> <li>• the extent to which the management of the project minimised UNEP's environmental footprint.</li> </ul> | <p><b>Final report (coverage/omissions):</b></p> <p>Most required elements are covered.</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>Good discussion of delays and inefficiencies.</p> <p>The assessment does not mention time/cost-saving measures or environmental footprint minimization during implementation. However, the latter is mentioned under Factors Affecting Performance - Environmental and Social Safeguards.</p> | 4.5                        |
| <p><b>Quality of 'Monitoring and Reporting' Section</b></p> <p><u>Purpose:</u> to present well-reasoned, complete and evidence-based assessment of the evaluand's monitoring and reporting.</p> <p>Consider how well the report addresses the following:</p> <ul style="list-style-type: none"> <li>• quality of the monitoring design and budgeting (<i>including SMART results with measurable indicators, resources for MTE/R etc.</i>)</li> <li>• quality of monitoring of project implementation (<i>including use of monitoring data for adaptive management</i>)</li> <li>• quality of project reporting (<i>e.g. PIMS and donor reports</i>) \</li> </ul>                                                                                                                                                             | <p><b>Final report (coverage/omissions):</b></p> <p>All required elements are addressed.</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>Clear assessment of monitoring/reporting weaknesses.</p> <p>The report states that there was no M&amp;E plan, but it would have benefited from indicating if there was any other tracking at all to support adaptive management.</p>                                                         | 5                          |
| <p><b>Quality of 'Sustainability' Section</b></p> <p><u>Purpose:</u> to present an integrated analysis of all dimensions evaluated under sustainability (i.e. the endurance of benefits achieved at outcome level).</p> <p>Consider how well the report addresses the following:</p> <ul style="list-style-type: none"> <li>• socio-political sustainability</li> <li>• financial sustainability</li> <li>• institutional sustainability</li> </ul>                                                                                                                                                                                                                                                                                                                                                                           | <p><b>Final report (coverage/omissions):</b></p> <p>All required elements are addressed.</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>All three dimensions are explicitly covered with reasoned judgments.</p>                                                                                                                                                                                                                     | 5                          |
| <p><b>Quality of Factors Affecting Performance Section</b></p> <p><u>Purpose:</u> These factors are not always discussed in stand-alone sections and may be integrated in the other performance criteria as appropriate. However, if not addressed substantively in this section, a cross reference must be given to where the topic is addressed and that entry must be sufficient to justify the performance rating for these factors.</p> <p>Consider how well the review report, either in this section or in cross-referenced sections, covers the following cross-cutting themes:</p> <ul style="list-style-type: none"> <li>• preparation and readiness</li> </ul>                                                                                                                                                     | <p><b>Final report (coverage/omissions):</b></p> <p>Most required elements are addressed.</p> <p><b>Final report (strengths/weaknesses):</b></p> <p>The report mostly provides a clear assessment of the factors affecting performance, aligning with the template's expectations for cross-cutting themes.</p> <p>The Quality of Project Management and Supervision section would have benefited from separate assessments</p>                 | 4.5                        |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | UNEP Evaluation Office Comments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Final Review Report Rating |
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| <ul style="list-style-type: none"> <li>quality of project management and supervision<sup>156</sup></li> <li>stakeholder participation and co-operation</li> <li>responsiveness to human rights and gender equality</li> <li>environmental and social safeguards</li> <li>country ownership and driven-ness</li> <li>communication and public awareness</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | of the implementing agency and the executing agency. In addition, the assessment of country ownership would have benefited from greater depth.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                            |
| <b>Quality of the Conclusions Section</b><br><br><b>(i) Conclusions Narrative:</b><br><u>Purpose:</u> to present summative statements reflecting on prominent aspects of the <u>performance of the evaluand as a whole</u> , they should be derived from the synthesized analysis of evidence gathered during the review process.<br>To include: <ul style="list-style-type: none"> <li>compelling narrative providing an integrated summary of the strengths and weakness in overall performance (achievements and limitations) of the project</li> <li>clear and succinct response to the key strategic questions</li> <li>human rights and gender dimensions of the intervention should be discussed explicitly (e.g. how these dimensions were considered, addressed or impacted on)</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>Final report (coverage/omissions):</b><br><br>All required elements are addressed.<br><br><b>Final report (strengths/weaknesses):</b><br><br>Good integration of the strategic questions into the conclusions.<br><br>The table number for the "Summary of project findings and ratings" (Table 4) is incorrect, as "Table 4" is used earlier in the report for the stakeholder analysis.                                                                                                                                                                                                                                      | 5.5                        |
| <b>ii) Utility of the Lessons:</b><br><u>Purpose:</u> to present both positive and negative lessons that have potential for wider application and use (replication and generalization)<br>Consider how well the lessons achieve the following: <ul style="list-style-type: none"> <li>are rooted in real project experiences (i.e. derived from explicit review findings or from problems encountered and mistakes made that should be avoided in the future)</li> <li>briefly describe the context from which they are derived and those contexts in which they may be useful</li> <li>do not duplicate recommendations</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>Final report (strengths/weaknesses):</b><br><br>Lessons are clearly derived from implementation experience.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 5                          |
| <b>(iii) Utility and Actionability of the Recommendations:</b><br><u>Purpose:</u> to present proposals for specific action to be taken by identified people/position-holders to resolve concrete problems affecting the project or the sustainability of its results.<br>Consider how well the lessons achieve the following: <ul style="list-style-type: none"> <li>are feasible to implement within the timeframe and resources available (including local capacities) and specific in terms of who would do what and when</li> <li>include at least one recommendation relating to strengthening the human rights and gender dimensions of UNEP interventions</li> <li>represent a measurable performance target in order that the UNEP Unit/Branch can monitor and assess compliance with the recommendations.</li> </ul> <b>NOTES:</b><br><b>(i)</b> In cases where the recommendation is addressed to a third party, compliance can only be monitored and assessed where a contractual/legal agreement remains in place. Without such an agreement, the recommendation should be formulated to say that UNEP project staff should pass on the recommendation to the relevant third party in an effective or substantive manner. The effective | <b>Final report (strengths/weaknesses):</b><br><br>Recommendations are specific, actionable, and feasible if resources to be implemented are available.<br><br>Cross-references to sections of the report supporting the recommendations are provided.<br><br>One recommendation relating to strengthening human rights and gender is included.<br><br>The recommendations are generally presented in accordance with UNEP Evaluation Office guidance. However, under the "Type of Recommendation" column, the information does not fully align with the Evaluation Office's prescribed approach for categorising recommendations | 5                          |

<sup>156</sup> In some cases 'project management and supervision' will refer to the supervision and guidance provided by UNEP to implementing partners and national governments while in others, specifically for GEF funded projects, it will refer to the project management performance of the executing agency and the technical backstopping provided by UNEP. This includes providing the answers to the questions on Core Indicator Targets, stakeholder engagement, gender responsiveness, safeguards and knowledge management, required for the GEF portal.

|                                                                                                                                                                                                                                                                          | UNEP Evaluation Office Comments                                                                                                                                                                                                         | Final Review Report Rating |
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| transmission by UNEP of the recommendation will then be monitored for compliance.<br>(ii) Where a new project phase is already under discussion or in preparation with the same third party, a recommendation can be made to address the issue in the next phase.        |                                                                                                                                                                                                                                         |                            |
| <b>Quality of Report Structure and Presentation</b><br><b>(i) Structure and completeness of the report:</b><br>To what extent does the report follow the UNEP Evaluation Office structure and formatting guidelines?<br>Are all requested Annexes included and complete? | <b>Final report (strengths/weaknesses):</b><br><br>The report follows a clear UNEP-like structure with all main sections and extensive annexes listed.                                                                                  | 5                          |
| <b>(ii) Writing and formatting:</b><br>Consider whether the report is well written (clear English language and grammar) with language that is adequate in quality and tone for an official document?<br>Do visual aids, such as maps and graphs convey key information?  | <b>Final report (strengths/weaknesses):</b><br><br>The report is clear and written in a professional tone.<br><br>Tables and figures are extensive (stakeholder classification, ToC, disbursement graph, etc.) and enhance readability. | 5                          |
| <b>OVERALL REPORT QUALITY RATING</b>                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                         | <b>5</b>                   |

A number rating 1-6 is used for each criterion, with half-point increments allowed (e.g., 3.5 or 4.5). Highly Satisfactory = 6, Satisfactory = 5, Moderately Satisfactory = 4, Moderately Unsatisfactory = 3, Unsatisfactory = 2, Highly Unsatisfactory = 1. The overall rating is based on a simple average of the ratings for each criterion.

#### Rating the Report Quality Criteria

| Rating (1-6) | Description                                            |
|--------------|--------------------------------------------------------|
| 6            | Provides all content with high quality.                |
| 5            | Provides all content with minor weaknesses.            |
| 4            | Provides most content with minor weaknesses.           |
| 3            | Provides most content with significant weaknesses.     |
| 2            | Omits substantive content with significant weaknesses. |
| 1            | Omits almost all content with major weaknesses.        |