

PROJECT NUMBER: 424.1

Stakeholder engagement and participation in environmental agenda setting and decision-making

Project Final Report

Reporting period: project start (December/2014)– project operational completion (June/2016)

Prepared for UN Environment Programme

and (complete as needed)

(Project Steering Committee) and (the Government of ...)

(APPROX. LENGTH OF REPORT – 20/30 pages, not including Annexes)

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Name of Head of Branch / Unit Managing Project: Alexander Juras	Sign Off Signature Date: __31__ / __3__ / 2023

Project Information

Identification	PIMS no.: 01807	Umoja no.:SB-000972
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Project Number + Project Title	PROJECT NUMBER: 424.1 Stakeholder engagement and participation in environmental agenda setting and decision-making				
Duration	December 2014 and June 2016				
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Project Manager and Org. Unit/Division or Region	Alexander Juras	P5	Civil society Unit/Governance Affairs Office	RB	60%
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Name of Fund Manager	James Ndale				
Type/Location	Global				
Region (<i>delete as appropriate</i>)	Africa, Asia Pacific, Europe, Latin America and Caribbean, North America, West Asia				
Names of Beneficiary Countries	N/A				
Programme of Work	2014 – 2015; 2016 (June)				
Subprogramme	Environmental Governance (Sub-programme 4)				
PoW Expected Accomplishment (s)	EA (b) The capacity of countries to develop and enforce laws and strengthen institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations is enhanced				
EA (s) Indicator (s)	Achievement of the project contributed to the below SGD target SDG 17. Partnership for the Goals where civil society are enabled to strengthen implementation of the SDGs SDG 13. Climate action by civil society helping the governments in implementations of actions to combat climate change. SDG 12: Responsible Consumption and production by ensuring eradication of illegal trade of wildlife SDG 15: Life on Land partner in protecting, restoring and promoting use of terrestrial ecosystem SDG 14: Life below water partner in promoting aquatic life and sustainable use of marine resources				
PoW Outputs	Output (b) 4 - Technical support and advisory services provided to Governments and major groups and stakeholders, drawing on best practice and models from				

	relevant multilateral institutions to promote the effective engagement of major groups and stakeholders in decision-making, access to information and access to justice in environmental matters (principle 10 of the Rio Declaration on Environment and Development).
Link to relevant SDG target(s) and SDG indicator(s)	Achievement of the project contributed to the below SGD target SDG 17. Partnership for the Goals where civil society are enabled to strengthen implementation of the SDGs SDG 13. Climate action by civil society helping the governments in implementations of actions to combat climate change. SDG 12: Responsible Consumption and production by ensuring eradication of illegal trade of wildlife SDG 15: Life on Land partner in protecting, restoring and promoting use of terrestrial ecosystem SDG 14: Life below water partner in promoting aquatic life and sustainable use of marine resources
Name of Implementing Partners	UNITAR, ECLAC, ECA, NGLS, ESCWA, World Resources Institute, Stakeholder Forum, WWF, IUCN, Greenpeace, Geneva Environment Network members, Green Economy Coalition, ITUC, CIEL, WBCSD, ICC, GEO network of contributors and collaborating centres; IPBES; GEC); accredited major groups and stakeholders)
Name of previous phase/preceding project	01807Stakeholder engagement and participation in environmental agenda setting and decision-making
Anticipated future phase/future related project	<i>Insert tentative title and any known funders</i>

Geo-referenced Maps

Where applicable, provide geo-referenced maps of project intervention sites

Abbreviations and Technical Terms

CPR	Committee of Permanent Representatives
CSS	Corporate Services Section
DELC	Division of Environmental Law and Conventions
DEPI	Division of Environmental Policy Implementation
DEWA	Division of Early Warning and Assessment
DTIE	Division of Technology, Industry, and Economics
ECLAC	Economic Commission for Latin America and the Caribbean
GEN	Geneva Environment Network
GEO	Global Environmental Outlook
GMGSF	Global major Groups and Stakeholders Forum
ICA	Internal Cooperation Agreement
INGO	International Non-Governmental Organisation
IEG	International Environmental Governance
IFSD	Institutional Framework for Sustainable Development
IP	Indigenous People
LO	Liaison Office
MGFC	Major Groups Facilitating Committee
MGS	Major Groups and Stakeholders
MGSB	Major Groups and Stakeholders Branch
MTS	UNEP Medium-term Strategy
NGLS	UN Non-Governmental Liaison Service
NGO	Non-Governmental Organisation
PoW	Programme of Work

QAS	Quality Assurance Section
RCM	Regional Consultative Meeting
ROA	Regional Office for Africa
ROAP	Regional Office for Asia and the Pacific
ROE	Regional Office for Europe
ROLAC	Regional Office for Latin America and the Caribbean
RONA	Regional Office for North America
ROWA	Regional Office for West Asia
RMS	Resource Mobilisation Section
SDG	Sustainable Development Goals
SGBS	Secretariat of the Governing Bodies and Stakeholders
UNDAF	United Nations Development Assistance Framework
UNEA	United Nations Environment Assembly
UNITAR	United Nations Institute for Training and Research

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Executive Summary

The goal of this project is, through partnerships with major groups and stakeholders, to contribute to the promotion and implementation of internationally agreed environmental goals. More specifically, the project will promote the active participation of major groups and stakeholders in agenda setting, policy dialogue and decision-making at the national, sub-regional, regional and global level, and in accordance with principle 10 of the Rio Declaration from 1992 and the Bali Guidelines on the Development of National Legislation on Access to Information, Public Participation and Access to Justice in Environmental Matters.

The will contribute to enhancing frameworks for major groups and stakeholder participation in decision making at the national, sub regional, regional and global level, through capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.) and advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters.

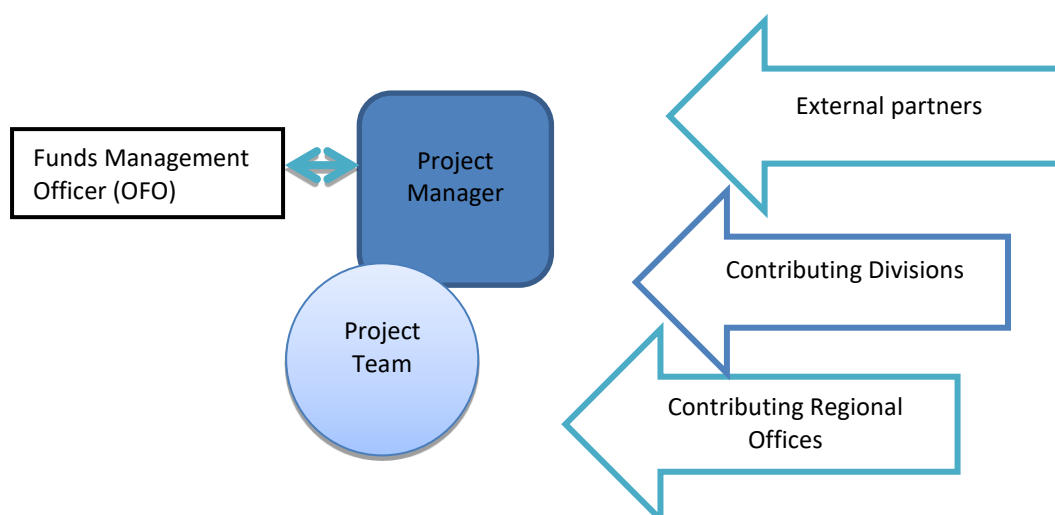
II Project Description and Implementation Arrangements

The intervention will address the weak influence of major groups and stakeholders in decision-making in environmental matters globally and the lack of coherent and concerted participation of major groups and stakeholders in environmental decision-making at national, regional and global levels. The project will contribute to “improving public participation in decision-making at the global, regional, sub-regional and national level” in response to Governing Council Decision 27/2 on implementation of paragraph 88 of the Rio+20 outcome document.”¹

The Major Groups and Stakeholders Branch/Secretariat of Governing Bodies and Stakeholders (MGSB/SGBS) is the Managing organizational unit for this project. DELC, DCPI and Regional Offices will support the project’s implementation as appropriate, as part of the Project Team (see below). Furthermore, the project will be delivered with external partners, and where possible with United Nations agencies and United Nations Country Teams. The project will cover the period from January 2015 to June 2016.

The implementation of the project will be guided by consultations amongst MGSB/SGBS, UNEP Regional Offices, UNEP Out-posted and Liaison Offices, Sub programme Coordinators and all Divisions of UNEP. MGSB/SGBS will work closely with the GEF particularly in bringing on board the Global Environment Facility-NGO Network, as well as the MEAs, and other major groups and stakeholders’ networks. UNEP Regional Offices will be responsible of delivering regional and sub-regional activities, for which resources (human and financial) will be allocated and managed by them.

¹ UNEP Decision 27/2 on Implementation of paragraph 88 of the Outcome Document of the United Nations Conference on Sustainable Development
([http://www.unep.org/gc/gc27/docs/Decisions_adopted_by_the_first_universal_session_\(advance\).pdf](http://www.unep.org/gc/gc27/docs/Decisions_adopted_by_the_first_universal_session_(advance).pdf))



III Partner Achievements and Experiences

A major progress was achieved with respect to further develop the draft of UNEP's new Stakeholder Engagement Policy making it likely that it will be endorsed at UNEA -2. Furthermore, two new publications were finalized: (1.) UNEP's Stakeholder Engagement Handbook and (2.) Putting Rio Principle 10 in Action: An Implementation Guide for the UNEP Bali Guidelines for the Development of National Legislation on Access to Information, Public Participation and Access to Justice in Environmental Matters. UNEP also launched MyUNEA.org, an interactive platform for stakeholders to contribute to UNEA.

IV Implementation Challenges and Adaptive Management

This project, and its sub-components, is primarily driven by UNEP and its staff, and external partners. With sufficient resources in funding and in personnel time it should be within the capacities of the various units to implement this planned work and achieved the expected outputs. As such the major risks identified relate to the 'nature' of and relations with the stakeholders that are targeted; and to the financial risks posed by the limited resources made available to UNEP's work on stakeholder engagement. The latter risk has been recurrent and high over the past 10 years and requires a meaningful commitment from UNEP management and member states.

Challenge Encountered	Action Taken
No associated implementation challenge	Close ongoing project. In line with a decision taken by UNEP senior management, no follow up project will be prepared.
No associated implementation challenge	An Evaluation of the previous project should be carried by mid 2015 in order to be able to build on the past projects and experiences new project
No associated implementation challenge	The new project should be drafted by December 2015 and should build on recommendation from

	the Evaluation of the previous project (2010-2013). Then it should be approved by July 2015.
December 2016 Delayed funding e.g., disbursement or allotment Insufficient funding	The activities in the last 6 month of the project were largely implemented in partnership with organizations that provided funding, e.g. travel cost to participate in conferences and workshops
June 2016 No budget was allocated to the project. That created a risk with respect to financial decisions, e.g. on covering travel costs for MGS participation in UNEA.	allocate available funding to the project and respect the role of the project manager.
December 2015 Delayed funding e.g., disbursement or allotment UNEP administrative processes e.g., delays due to legal, HR, procurement	Work closer with FMO and OfO, increase fundraising activities
December 2014 UNEP administrative processes e.g., delays due to legal, HR, procurement	We need to have better and closer relation with QAS.

Risk Description		Category	Impact Severity	Likelihood	Risk Management Strategy & Safeguards	By When/Whom?
1	Fragmented voices of the different major groups and stakeholders: not one position of the different groups on issues	Political	High	High	Build a framework that would accommodate all voices from the major groups and stakeholders, i.e. Major Groups Facilitating Committee (MGFC) that allows all groups to express themselves	MGSB and ROs
2	Limited scope of debate among the major groups and stakeholders: limited linkages between UNEP governance and other existing strategic partnerships with stakeholders	Political and organisational	Medium	High	Build a framework to ensure that synergies are captured and that both strategic partnerships and governance inform and influence each other	MGSB

3	Lack of access to major groups and stakeholders at sub-regional, regional and global levels and their willingness to participate in international environmental processes	Organisational	High	High	• Work closely with partners in planning and implementation of all activities and work through the UN structure for delivery. • Integrate fully the different partners and contributions from major groups and stakeholders into its policy design, programme formulation and implementation across all its subprogrammes	MGSB and ROs at inception of the project
4	Lack of political will of governments to participate alongside with major groups and stakeholders in training/capacity building, policy dialogue	Political	High	High	• Better articulate the objectives and benefits of joint trainings for government officials and major groups and stakeholders; work closely with partners in identifying training/capacity building recipients among stakeholders' representatives to ensure credibility and establish trust between them and government officials	MGSB: when designing the training and other capacity building activities of the project
5	Limited attention and support given by stakeholders and organizations engage in the UNEA process	Political	High	High	• Use internal UN stakeholder mechanisms to promote such engagement with complementary initiatives such as Global Compact, Global Reporting Initiative and sector specific stakeholders. • Provide additional entry points for stakeholders' technical and expert input and advice.	UNEP: increase relevance of UNEA MGSB: ensure strong participation of stakeholders in thematic processes

6	Limited resources available from the traditional donors, and yet increasing competition with other UN projects as well as projects from civil society stakeholders (this has been recurrent over the years)	Financial (Funding)	High	High	• Ensure that more core funding is allocated to activities to support stakeholders participation • Joint fundraising with civil society stakeholders and other UN agencies to limit the duplication of projects/programmes • Long term commitment from UNEP management to allocate more funding to support stakeholder engagement (i.e. a percentage of Environment Fund)	UNEP and partners
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V Project Relevance

The project will contribute to “improving public participation in decision-making at the global, regional, sub-regional and national level” in response to Governing Council Decision 27/2 on implementation of paragraph 88 of the Rio+20 outcome document.”²

The current project lies within the remit of Subprogramme 4 (Environmental Governance) of the Programme of Work 2014-2015. The subprogramme looks to facilitate the transition towards environmental sustainability within the context of sustainable development. Specifically, this project sits within **expected accomplishment (b)** which focuses on enhancing the capacities of countries to develop and enforce laws and institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations. In that context, capacities of major groups and stakeholders will be enhanced to further support the development and enforcement of laws and institutions, in line principle 10 of the Rio Declaration on Environment and Development on public participation, access to information, and access to justice on environmental matters.

VI Project Costs and Financing

Complete the two tables below

Table 1: Project Total Funding and Expenditures

Funding by source (Life of project)	Planned funding	Secured funding	Expended
<i>All figures as USD</i>			
Funds from the Environment Fund	1,796,425	1,696,151	
Funds from the Regular Budget			
Extra-budgetary funding (listed per donor):			
Donor A:			
Donor B:			

² UNEP Decision 27/2 on Implementation of paragraph 88 of the Outcome Document of the United Nations Conference on Sustainable Development
[http://www.unep.org/gc/gc27/docs/Decisions_adopted_by_the_first_universal_session_\(advance\).pdf](http://www.unep.org/gc/gc27/docs/Decisions_adopted_by_the_first_universal_session_(advance).pdf)

<i>Sub-total: Project Funding</i>			
Co-financing³ (Cash and in-kind contributions)	Planned contributions	Secured contributions	Verified contributions
<i>All figures as USD</i>			
Co-financing cash contribution (listed by source):			
<i>Sub-total: co-financing contributions</i>			
Co-financing in-kind contribution (listed by source):			
<i>Sub-total: in kind contributions</i>			
Staffing (Total throughout the project)	Planned posts	Filled posts	-
<i>All figures as Full Time Equivalents</i>			
Environment Fund staff-post costs			
Regular Budget staff-post costs			
Extra-budgetary funding for staff-posts (listed per donor)			

Table 2: Expenditure by Component, Outcome or Output (depending on financial system capabilities)

Component/sub-component/output	Estimated cost at design	Actual Expenditure	Expenditure ratio (actual/planned)
<i>All figures as USD</i>			
Component 1 / Outcome 1			
Component 2 / Outcome 2			
Component 3 / Outcome 3			

³Co-financing is understood as funding from a donor to a partner which is not received into UN Environment accounts, but is used by a UN Environment partner or collaborating centre to deliver the results in a UN Environment – approved project.

VII Summary of Results Achieved

Use the indicators set for each output and outcome with their targets and actual results achieved to show performance.

Table 3: Delivery of Outputs and Achievement of Outcome(s)

OUTPUTS			
Output 1(s) Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate	Indicator 1: Number of regional multi-stakeholder policy dialogues on environmental areas [Baseline: 0 Target: 6] Indicator 2 Number of global for a organized [Baseline: 0 Target: 2] Indicator 3 % of women participating in the events organized by the project [Baseline:0 target: 50%]	Target vs. Actual result	Description of immediate gains to target groups (<i>access to project deliverables, participation in receiving services; gains in knowledge etc</i>) 1. National Committees Policy developed and presented to the SMT for approval December 2014 2. UNEP Handbook on Stakeholder Engagement developed for SMT approval June 2015 3. Six Regional Consultation Meetings organised and common regional MGS positions elaborated on UNEA themes December 2015 4. Major groups and stakeholders participate in the processes of the OECPR2 and UNEA2 June 2016
etc			

Output 2	Indicator(s)	Target vs. Actual result	Description of immediate gains to target groups (<i>access to project deliverables, participation in receiving services; gains in knowledge etc</i>)
Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines made available for download in UNEP live.	Number of publications to guide the implementation of P10 of the Rio Declaration Baseline: 1 Target: 2 Number knowledge products to inform the implementation of the Bali Guidelines Baseline: 0 Target: 1 Number of issues of the publication Perspectives released [Baseline: 15 Target: 21]		1. Publication of the UNEP Guidebook on the implementation of the Bali Guidelines June 2015 2. Capacity building workshop in support of the process on establishing a regional instrument on principle 10 in LAC December 2015 3. Six issues of the publication Perspectives released June 2016 4. Nine GEEN roundtables on environmental emerging issues and supporting critical environmental negotiations organized June 2016
etc			
Outcome 1	Indicator(s)	Target vs. Actual result	Description of the actions taken by target groups (<i>use, uptake of project outputs</i>)
The view points and recommendations of MSG are	Number of formal partnerships between UNEP and Major Groups and		1. National Committees Policy developed and presented to the SMT for approval December 2014

increasingly embedded in UNEP policy documents, processes and knowledge products	Stakeholders to achieve internationally agreed environmental objectives and goals, and to comply with related obligations [Baseline: 4 Target: 6] Number of MSGs providing input to the evaluation of UNEP stakeholder engagement baseline; 5 target: 7		2. Guidebook on Stakeholder Engagement in UNEP developed with MSG contributions June 2015 3. Regional Consultation Meetings with MGS held in preparation for UNEA 2 December 2015 4. Stakeholder Engagement Policy developed and submitted to the OECPR June 2016 5. Report on the Geneva Environmental Network (GEEN) on the cooperation/ partnership between International Organizations, MEA Secretariats NGOs, Local Authorities and Civil Society at the global level released. June 2016
etc			
OUTCOMES			
Outcome 1 The view points and recommendations of MSG are increasingly embedded in UNEP policy documents, processes and	Indicator (s) Number of formal partnerships between UNEP and Major Groups and Stakeholders to achieve internationally agreed	Target vs. Actual result	Description of the actions taken by target groups (<i>use, uptake of project outputs</i>) 1. National Committees Policy developed and presented to the SMT for approval December 2014 2. Guidebook on Stakeholder Engagement in UNEP developed with MSG contributions June 2015 3. Regional Consultation Meetings with MGS held in preparation for UNEA 2 December 2015 4. Stakeholder Engagement Policy developed and submitted to the OECPR June 2016

knowledge products	environmental objectives and goals, and to comply with related obligations [Baseline: 4 Target: 6] Number of MSGs providing input to the evaluation of UNEP stakeholder engagement baseline; 5 target: 7		5. Report on the Geneva Environmental Network (GEEN) on the cooperation/ partnership between International Organizations, MEA Secretariats NGOs, Local Authorities and Civil Society at the global level released. June 2016
etc			
Outcome 2	Indicator(s)	Target vs. Actual result	Description of the actions taken by target groups (<i>use, uptake of project outputs</i>)
etc			

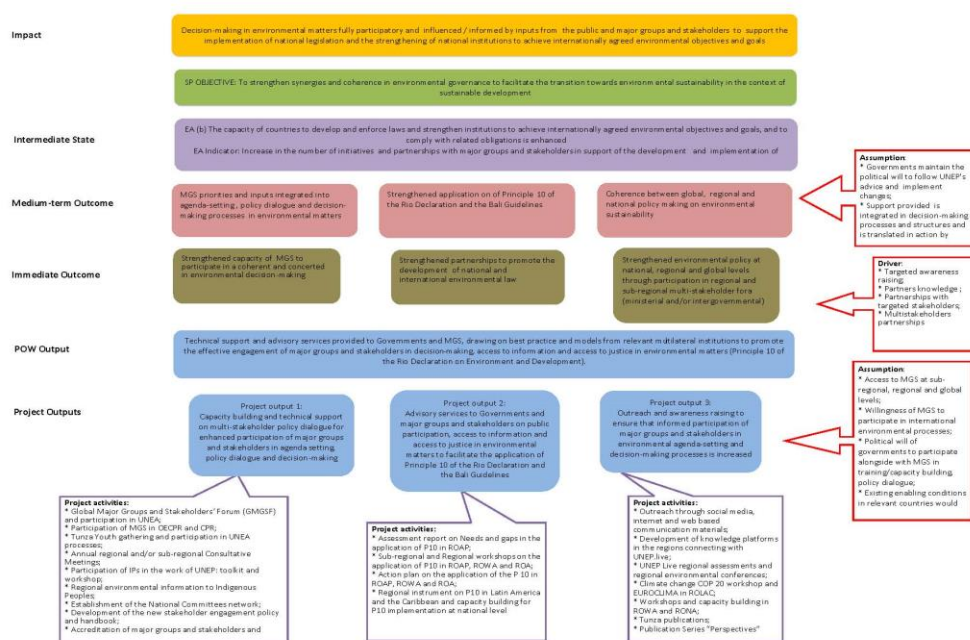
VIII Narrative Highlights of Project Results

The outcomes of the project will contribute to enhancing frameworks for major groups and stakeholder participation in environmental decision making at the national, sub regional, regional and global level; and will assist countries to develop and enforce legislation and to strengthen institutions to achieve internationally agreed environmental objectives and goals and comply with related obligations, through:

1. Capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.);
2. Advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters; and
3. Outreach and awareness raising.

The project will contribute directly to advancing the capacity of countries to meet their environmental obligations, with regard to access to information, public participation and access to justice in environmental matters.

The flowchart below outlines how the different activities of the project, its outputs and outcomes are interrelated and will contribute to the PoW expected accomplishments, and have a greater impact.



IX Long-Term Impact, Sustainability and the Scaling Up of Positive Results

This project focuses on enhancing the capacities of countries to develop and enforce laws and institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations. In that context, capacities of major groups and stakeholders will be enhanced to further support the development and enforcement of laws and institutions, in line principle 10 of the

Rio Declaration on Environment and Development on public participation, access to information, and access to justice on environmental matters.

The project will seek to build a sustainable and efficient political and strategic platform for major groups and stakeholders to engage substantively with Governments and with UNEP and to contribute to the collection, analysis, management, dissemination and use of environmental information and data. Sustainability will be achieved through the mobilization of governments, major groups and stakeholders (with sub-regional, regional or global organizations) and through the full integration of the different partners and contributions from major groups and stakeholders into its policy design, programme formulation and implementation across all sub programmes.

The investment made through this project in enhancing those mechanisms for this, notably the IT and communications work, and the capacity building of stakeholders has a long-term impact. In addition some of the tools being developed will sustain the work of UNEP with important stakeholder groups. For example, tools such as the IP Toolkit will allow for continued flow of information and knowledge sharing between UNEP and IPs.

The project is expected to gradually build stronger regional level engagement with major groups and stakeholders, as an integral part of the regional and sub-regional ministerial and inter-governmental fora. The project sustainability can only be secured if the different legal and institutional frameworks accommodate space for stakeholders' participation in a systematic way, as part of the decision-making process.

The project will ensure its sustainability by building the capacities of stakeholders to engage in a two-way policy dialogue based on the respect of the unique roles of governments and states as decision-makers; and by engaging public sector actors and sharing information and resources with public sector actors; by harnessing systematic public participation; by providing tools which can work where there are public policy gaps and/or failures of implementation; by engaging and empowering affected stakeholders in the definition of more sustainable practices; by providing campaigners and the public with new 'solutions-oriented' tools to promote positive change; and by raising awareness, building knowledge and engaging consumers, civil society and decision-makers for greater long-term change.

Major groups and stakeholders are substantive contributors to environmental sustainability at national, sub-regional, regional and global levels. They will bring in, as targets of this work and as partners in implementation:

- knowledge, expertise and resources;
- advocacy skills to promote environmental priorities and obligations;
- capacity to harness support from other major groups and stakeholders;
- ability to mobilize countries around environmental policies, treaties, conventions and goals;
- support to the implementation of UNEP's work programme far beyond UNEP's capabilities and complement UNEP's expertise in areas where there is increasingly evident interlinkages/synergies; and
- play a facilitating role where they have a strategic country presence.

The project will bring additional value to the implementation of the programme of work as major groups and stakeholders can play a major role in adapting the global UNEP work programme to national and/or local realities, thus providing a link between UNEP and communities / civil society at large. They can also act as watchdogs or advocates, to foster accountability and are well-placed to raise public awareness, engage the general public in an informative and educative manner, creating, therefore, a multiplier effect.

By involving major groups and stakeholders in its agenda-setting and policy-making processes, UNEP will apply its new stakeholder engagement policy, testing it and allowing for other UN agencies to adopt a similar approach.

X Incorporation of Human Rights and Gender Equality

- The major groups and stakeholders provide an opportunity to engage social groups that may otherwise be under-represented in UNEP's work, e.g. women, indigenous peoples, workers and trade unions, to name a few. The project will seek specific thematic input when applicable from women and gender organisations to the different areas of work of the project in order to mainstream gender perspectives into all actions of the project. Furthermore, the project will provide sponsorship and will financially support women and gender organisations to encourage their participation in meetings, fora, trainings and relevant capacity building activities, as well as outreach activities. Therefore, the project will:
 - ✓ Provide an entry point to engage women and gender organisations to contribute their views experiences and expertise in international environmental meetings and in relevant activities of the project;
 - ✓ Ensure participation of women in decision-making, therefore allowing to take into account women and gender related perspectives in the IEG, Green Economy, Rio+20 and sustainable production and consumption debate;
 - ✓ Allow them to benefit from the capacity building activities of the project, for instance increasing the number of women trained; and by ensuring that they are selected as key partners in implementing project activities

Indigenous Peoples' strong interdependence with nature, their customary resource rights coupled with traditional knowledge on genetic resources, sustainable use of natural resources and land management, and their particular vulnerability to damages and changes in the environment make them especially relevant actors and important partners in environmental governance and sustainable development. UNEP recognizes Indigenous Peoples' rights, vulnerabilities, responsibilities and contributions in caring for the environment in furtherance of UNEP's over-all mission to promote ecosystem health and human well-being. This provides a unique foundation for a global partnership between UNEP and Indigenous Peoples and enhances Indigenous Peoples' full and effective participation in its work. The Branch has developed an Indigenous Peoples Policy Guidance on strengthening UNEP staff's work with Indigenous Peoples, and is working towards its implementation. The Policy Guidance will be translated into a number of procedures and checklists that will be embedded into the UNEP Programme Manual; and a toolkit will be put in place to ensure that UNEP staff and IPs themselves, have access to firsthand information on IP issues. Besides, the Branch host the UNEP IP Focal Point which does project reviews in the context of the PRC, but also has an oversight of the interagency cooperation on IP related issues throughout the UN system.

XI Incorporation of Environmental, Social and Economic Safeguards

The project has at its heart the global push towards more transparent good governance through the engagement of all stakeholders in environmental decision-making, through its regular RCMs, capacity building workshops and in particular the work on the implementation of Rio Principle 10 and the Bali Guidelines.

Principle 10 and Bali guidelines implementation require enabling conditions, including legal reforms and regulations to guarantee access to information, public participation and access to justice on environmental matters. These rights of access are constituent elements of environmental rule of law.

Effective access to information, public participation and access to justice are essential for transparent and accountable governance, for high quality outcomes of decision-making and to strengthen trust of the public in governing institutions. Such citizen participation and accountability is also widely recognized as a means of empowering marginalized groups such as rural indigenous, minorities and women.

Without those rights in place there cannot be rule of law. This is already reflected in Principle 10 of the Rio Declaration and requires governments and legislators to establish effective regulations as well as judicial and administrative procedures in order to provide access to justice to individuals, groups and organizations with a recognized legal interest. It is only through the active engagement of all parts of society, especially national and sub-national institutions and officials responsible for addressing justice, governance and law issues, including judges, prosecutors, auditing institutions and other key functionaries, that meaningful progress can be achieved.

XII Conclusions, Lessons Learned and Recommendations

General performance, challenges and conclusion

December 2016

The period was the closing period of the project with almost all funds used up. However, on September 20 – 21, 2016, UN Environment in collaboration with Friedrich Ebert Foundation (Kenya), Konrad Adenauer Foundation (Kenya), and the Africa Network for Animal Welfare organized a two-day multi-stakeholder workshop for stakeholders from Southern and Eastern Africa to discuss the outcomes of the second session of the UN Environment Assembly and the Paris Agreement.

The workshop brought together over 200 representatives from governments, the judiciary, civil society, private sector and business, media, international environmental conservation organizations, United Nations Organisations and other stakeholders from East and Southern African. It resulted inter alia into concrete proposals and commitments from participants with respect to the implementation of UNEA 2 resolutions. More information can be found under <http://web.unep.org/about/majorgroups/news/consultation-workshop-stakeholders-east-and-southern-africa-region-20-21-september-2016-unon>

June 2016

The Performance Highlight of the period were the Global Major Groups and Stakeholders Forum and the second session of the United Nations Environment Assembly (UNEA 2), both held in May. Both meetings saw a record participation from Major Groups and Stakeholders. In parallel to UNEA, UNEP organised (the) for the first time the Sustainable Innovation Expo that targeted representatives of the Business and Industry Major Group. The Green Room, mainly organised by civil society stakeholders, held back to back with UNEA 2, had 28 events.

In preparation for UNEA 2, intensive negotiations of member states on a new UNEP Stakeholder Engagement Policy took place. By the beginning of UNEA 2, in May 2016, all but one (countries) country agreed on the text. Unfortunately, that meant that UNEA 2 was not able to endorse the policy.

December 2015

A major progress was achieved with respect to further develop the draft of UNEP's new Stakeholder Engagement Policy making it likely that it will be endorsed at UNEA -2. Furthermore, two new publications were finalized: (1.) UNEP's Stakeholder Engagement Handbook and (2.) Putting Rio Principle 10 in Action: An Implementation Guide for the UNEP Bali Guidelines for the Development of National Legislation on Access to Information, Public Participation and Access to Justice in

Environmental Matters. UNEP also launched MyUNEA.org, an interactive platform for stakeholders to contribute to UNEA.

June 2015

Due to administrative problems the Branch could not have access to the funds until end of first semester. This caused a considerable delay in most of the planned activities.

December 2014

Informal consultation is taking place between Civil Society Organizations, the major Groups and Stakeholder branch and countries to finalize the Stakeholder Engagement Policy

Lessons learnt and Recommendations

December 2016

The largest challenge for the project was the insecurity with respect to available funding. This made it difficult to implement some of the elements of the project. For future projects, a more detailed resource mobilization plan should be developed. Another important lesson is that partners are crucial for the success. For future projects, a detailed partner mapping should be prepared in the initial phase of the project.

June 2016

There was no specific budget allocated to the project for 2016. Therefore, project implementation took place with a certain uncertainty regarding available funding. If project implementation continues, funding allocations should be done at the beginning of each calendar year, or latest when funds are available.

December 2015

Most activities of the project were implemented in line with the relevant Prodoc. However, re-organization in SGBS and UMOJA causes delays and in the future, these should be factored in at an earlier stage.

June 2015

The fact of not having a dedicated FMO and dedicated HR officer causes big delays and complications in most of the processes including legal revision and payments. This ought to be addressed at early stages to ensure minimal delays.

December 2014

The MGSB should have more frequent and close relation with QAS to align our project with their requirements for project approval

Annexes

(Append the following)

Annex 1 Logical Framework and Theory of Change diagram

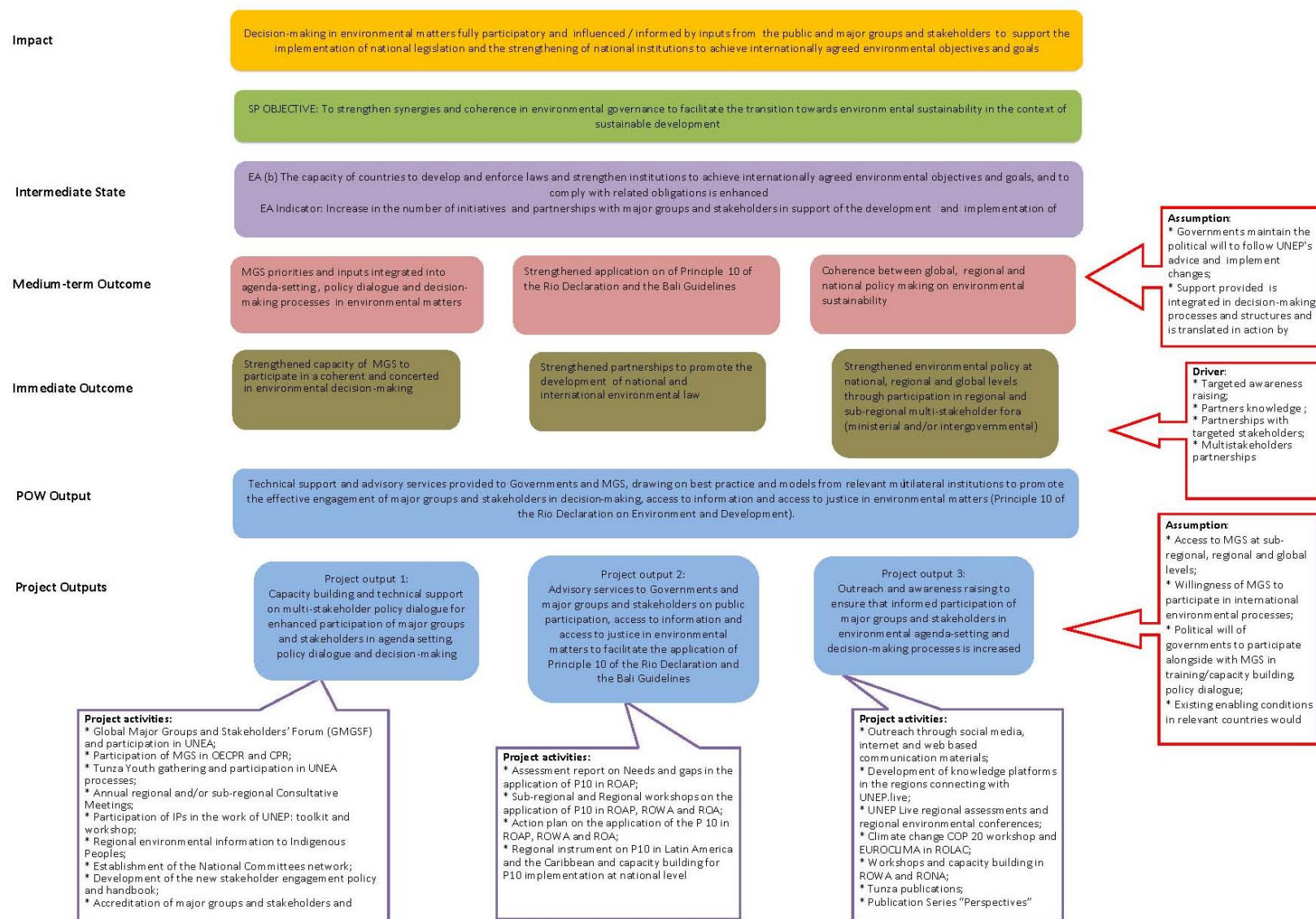
Theory of change

The outcomes of the project will contribute to enhancing frameworks for major groups and stakeholder participation in environmental decision making at the national, sub regional, regional and global level; and will assist countries to develop and enforce legislation and to strengthen institutions to achieve internationally agreed environmental objectives and goals and comply with related obligations, through:

1. Capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.);
2. Advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters; and
3. Outreach and awareness raising.

The project will contribute directly to advancing the capacity of countries to meet their environmental obligations, with regard to access to information, public participation and access to justice in environmental matters.

The flowchart below outlines how the different activities of the project, its outputs and outcomes are interrelated and will contribute to the PoW expected accomplishments, and have a greater impact



Project Logical Framework

Table 4: Project log frame

Relevant Expected Accomplishment in the Programme of Work:		
<i>EA (b) The capacity of countries to develop and enforce laws and strengthen institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations is enhanced.</i>		
Project Outcome	Indicators	Means of Verification
The view points and recommendations of MSG are increasingly embedded in UNEP policy documents, processes and knowledge products	Number of formal partnerships between UNEP and Major Groups and Stakeholders to achieve internationally agreed environmental objectives and goals, and to comply with related obligations [Baseline: 4 Target: 6] Number of MSGs providing input to the evaluation of UNEP stakeholder engagement baseline; 5 target: 7	• <i>Policy documents integrating UNEP policy options, briefs, background papers and other substantive contributions</i> • <i>MOU or legal agreements signed with partners</i> • <i>Survey of major groups and stakeholder organizations attending the stakeholders forums and inter-governmental policy dialogues</i> • <i>TE final consultant report</i> • <i>List of accredited organisations, including gender disaggregated data</i>
Project milestones that show progress towards achieving the project outcome:		Expected Milestone Delivery Date
1. National Committees Policy developed and presented to the SMT for approval		December 2014
2. Guidebook on Stakeholder Engagement in UNEP developed with MSG contributions		June 2015
3. Regional Consultation Meetings with MGS held in preparation for UNEA 2		December 2015
4. Stakeholder Engagement Policy developed and submitted to the OECPR		June 2016
5. Report on the Geneva Environmental Network (GEEN) on the cooperation/ partnership between International Organizations, MEA Secretariats NGOs, Local Authorities and Civil Society at the global level released.		June 2016

Project Output 1:	Indicators	Means of Verification	Related PoW-EA Output
1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate	Indicator 1: Number of regional multi-stakeholder policy dialogues on environmental areas [Baseline: 0 Target: 6] Indicator 2 Number of global for a organized [Baseline: 0 Target: 2] Indicator 3 % of women participating in the events organized by the project [Baseline:0 target: 50%]	- Multi-stakeholder dialogue reports - Survey of accredited major groups and stakeholder organizations attending the stakeholders forums, inter-governmental policy dialogues, regional consultations - RCMs reports, key regional messages and recommendations - Gender disaggregated lists of participants	PoW # 424 Biennia 2011-2012 and 2013-2014: project 42P2
Project milestones that show progress towards achieving the project output 1:			Expected Milestone Delivery Date
1. National Committees Policy developed and presented to the SMT for approval			December 2014
2. UNEP Handbook on Stakeholder Engagement developed for SMT approval			June 2015
3. Six Regional Consultation Meetings organised and common regional MGS positions elaborated on UNEA themes			December 2015
4. Major groups and stakeholders participate in the processes of the OECPR2 and UNEA2			June 2016
Project Output 2:	Indicators	Means of Verification	Related PoW-EA Output

2. Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines made available for download in UNEP live.	Number of publications to guide the implementation of P10 of the Rio Declaration Baseline: 1 Target: 2 Number knowledge products to inform the implementation of the Bali Guidelines Baseline: 0 Target: 1 Number of issues of the publication Perspectives released [Baseline: 15 Target: 21]	• UNEP Live website • Partnerships documents, legal agreements, best practices and lessons learned • Joint reports • Project reports • Survey of partners and accredited major groups and stakeholders • List of partners	
Project milestones that show progress towards achieving the project output 2:			Expected Milestone Delivery Date
1. Publication of the UNEP Guidebook on the implementation of the Bali Guidelines			June 2015
2. Capacity building workshop in support of the process on establishing a regional instrument on principle 10 in LAC			December 2015
3. Six issues of the publication Perspectives released			June 2016
4. Nine GEEN roundtables on environmental emerging issues and supporting critical environmental negotiations organized			June 2016
Project Output 3	Indicators	Means of Verification	PoW-EA Output
Project document incorporating findings and recommendations from the TE of project 424.1 in UNEP approved format drafted and submitted to PRC for approval.	Number of ProDoc in UNEP format in full submitted to PRC for approval Baseline: 0: target 1	QAS communication setting date for PRC	June 2016

Project milestones that show progress towards achieving the Project Output 1 outcome 2	Expected Milestone Delivery Date
Draft evaluation report from TE submitted by independent consultants	June 2015
proDoc in UNEP approved format in full submitted to PRC for approval	Dec 2015

Annex 2 **List of Activities** (*planned versus actually completed*)

Table 5: Project Work Plan

Code	Project Output / Component and activities (as per log frame)	Responsible / <u>Lead</u> Division or Office	Partners	Year 1				Year 2	
				Q1	Q2	Q3	Q4	Q1	Q2
1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate									
1.1.	Global Major Groups and Stakeholders’ Forum (GMGSF) and participation in UNEA *	<u>MGSB</u>	MGFC						
1.2.	Participation of major groups and stakeholders in OECPR *	<u>MGSB</u>	MGFC						
1.3.	Participation of major groups and stakeholders in CPR	<u>MGSB</u>	MGFC						
1.4.	Regional and/or sub-regional Consultative Meetings: 1 per region per biennium + targeted capacity building and training sessions	<u>All ROs</u>							
1.5.	Regional environmental information to Indigenous Peoples	<u>MGSB</u>							
1.6.	Establishment of the National Committees network	<u>MGSB</u>	All ROs						

Code	Project Output / Component and activities (as per log frame)	Responsible / <u>Lead</u> Division or Office	Partners	Year 1				Year 2	
				Q1	Q2	Q3	Q4	Q1	Q2
1.7.	Development of the new stakeholder engagement policy and handbook	<u>MGSB</u>	All MGS						
1.8.	Accreditation of major groups and stakeholders and accreditation database	<u>MGSB</u>	All MGS						
1.9.	Support and services to all UNEP Divisions and ROs	<u>MGSB</u>	All Divisions						
2. Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines developed and made available for download in UNEP live.									
2.1.	Assessment report on <i>Needs and gaps in the application of Principle 10</i> in ROAP	<u>MGSB, DELC, ROAP, ROA, ROLAC, ROWA</u>	Thailand Environment Institute, UNITAR, TAI, ECLAC						
2.2.	Sub-regional and Regional workshops on the application of the Bali guidelines in ROAP								
2.5.	Action plan on the application of the Principle 10 in ROAP								
2.4.	Regional instrument on P10 in Latin America and the Caribbean and capacity building for P10 implementation at national level								
2.5.	Action plan on the application of the Principle 10 in ROA								
2.6.	Action plan on the application of the Principle 10 in ROWA								
3.1.	Outreach - Social media pack and web based communication support DCPI and development of knowledge platforms in the regions connecting with UNEP.live	MGSB, All ROs	<u>DCPI</u>						
3.2.	Outreach through social media, internet and web based communication materials	<u>MGSB</u>	MGSB						

Code	Project Output / Component and activities (as per log frame)	Responsible / <u>Lead</u> Division or Office	Partners	Year 1				Year 2	
				Q1	Q2	Q3	Q4	Q1	Q2
3.4.	Preparation for Climate change COP 20 workshops and EUROCLIMA in ROLAC	<u>ROLAC</u> , SP 1	REGATTA EUROCLIMA						
3.5.	Workshops and capacity building in RONA	<u>RONA</u> , SP 6, SP7, SP3							
3.6.	Workshops and capacity building in ROWA and development of knowledge platforms connecting with UNEP.live	ROWA, SP 6, SP7, SP3							
3.8.	Publication Series “Perspectives”	<u>MGSB</u>	DCPI						
3. Project document incorporating inputs from MSGs and findings and recommendations from the TE of project 424.1 in UNEP approved format drafted and submitted to PRC for approval.									
3.1.	Evaluation of project 42P2 (2010-2013)	<u>Project Preparation</u>	EO						
3.2.	PREPARATION FOR THE NEXT PROJECT FROM (June 2016)								
3.3.	Presentation to PRC and approval								

Annex 3 Risk Management Log

Table 3: Project Risk Log

Risk Description	Category	Impact Severity	Likelihood	Risk Management Strategy & Safeguards	By When/ Whom?
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1	Fragmented voices of the different major groups and stakeholders: not one position of the different groups on issues	Political	High	High	Build a framework that would accommodate all voices from the major groups and stakeholders, i.e. Major Groups Facilitating Committee (MGFC) that allows all groups to express themselves	MGSB and ROs
2	Limited scope of debate among the major groups and stakeholders: limited linkages between UNEP governance and other existing strategic partnerships with stakeholders	Political and organisational	Medium	High	Build a framework to ensure that synergies are captured and that both strategic partnerships and governance inform and influence each other	MGSB
3	Lack of access to major groups and stakeholders at sub-regional, regional and global levels and their willingness to participate in international environmental processes	Organisational	High	High	- Work closely with partners in planning and implementation of all activities and work through the UN structure for delivery. - Integrate fully the different partners and contributions from major groups and stakeholders into its policy design, programme formulation and implementation across all its subprogrammes	MGSB and ROs at inception of the project
4	Lack of political will of governments to participate alongside with major groups and stakeholders in training/capacity building, policy dialogue	Political	High	High	Better articulate the objectives and benefits of joint trainings for government officials and major groups and stakeholders; work closely with partners in identifying training/capacity building recipients among stakeholders' representatives to ensure credibility and establish trust between them and government officials	MGSB: when designing the training and other capacity building activities of the project
5	Limited attention and support given by stakeholders and organizations engage in the UNEA process	Political	High	High	- Use internal UN stakeholder mechanisms to promote such engagement with complementary initiatives such as Global Compact, Global Reporting Initiative and sector specific stakeholders. - Provide additional entry points for stakeholders' technical and expert input and advice.	UNEP: increase relevance of UNEA MGSB: ensure strong participation of stakeholders in thematic processes

6	Limited resources available from the traditional donors, and yet increasing competition with other UN projects as well as projects from civil society stakeholders (this has been recurrent over the years)	Financial (Funding)	High	High	• Ensure that more core funding is allocated to activities to support stakeholders participation • Joint fundraising with civil society stakeholders and other UN agencies to limit the duplication of projects/programmes • Long term commitment from UNEP management to allocate more funding to support stakeholder engagement (i.e. a percentage of Environment Fund)	UNEP and partners
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Annex 4 **Final Expenditure report**

[Annex 5](#) **Final Financial Statement** (*audited financial report where appropriate*)

Annex 6 **Inventory of Non- Expendable Equipment**

Annex 7 **Mid-Term Review/Terminal Evaluation Report** (*where available*)