



## UNITED NATIONS ENVIRONMENT PROGRAMME

Programme des Nations Unies pour l'environnement    Programa de las Naciones Unidas para el Medio Ambiente  
Программа Организации Объединенных Наций по окружающей среде    برنامج الأمم المتحدة للبيئة

联合国环境规划署



### Project Document

#### 424.1. Stakeholder engagement and participation in environmental agenda setting and decision-making

##### Project Executive Summary:

The ultimate goal of this project is, through partnerships with major groups and stakeholders, to contribute to the promotion and implementation of internationally agreed environmental goals. More specifically, the project will promote the active participation of major groups and stakeholders in agenda setting, policy dialogue and decision-making at the national, sub-regional, regional and global level, and in accordance with principle 10 of the Rio Declaration from 1992 and the Bali Guidelines on the Development of National Legislation on Access to Information, Public Participation and Access to Justice in Environmental Matters.

The project will contribute to enhancing frameworks for major groups and stakeholder participation in decision making at the national, sub regional, regional and global level, through capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.) and advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters.

##### SIGNATURES

Name of Subprogramme Coordinator:

**Review Signature**

*(prior to project submission for PRC review):*

Name: **Cristina Zucca**

\_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/20\_\_

Name of Director of Division managing the Project:

**Review Signature**

*(prior to project submission for PRC review):*

Name: **Jiri Hlavacek**

\_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/20\_\_

In Approval of the Project submitted by the above  
Director and Coordinator:

**Approval Signature**

*(following PRC review):*

UNEP Deputy Executive Director

\_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/20\_\_

## ACRONYMS AND ABBREVIATIONS

|        |                                                         |
|--------|---------------------------------------------------------|
| CPR    | Committee of Permanent Representatives                  |
| CSS    | Corporate Services Section                              |
| DELC   | Division of Environmental Law and Conventions           |
| DEPI   | Division of Environmental Policy Implementation         |
| DEWA   | Division of Early Warning and Assessment                |
| DTIE   | Division of Technology, Industry, and Economics         |
| ECLAC  | Economic Commission for Latin America and the Caribbean |
| GEN    | Geneva Environment Network                              |
| GEO    | Global Environmental Outlook                            |
| GMGSF  | Global major Groups and Stakeholders Forum              |
| ICA    | Internal Cooperation Agreement                          |
| INGO   | International Non-Governmental Organisation             |
| IEG    | International Environmental Governance                  |
| IFSD   | Institutional Framework for Sustainable Development     |
| IP     | Indigenous People                                       |
| LO     | Liaison Office                                          |
| MGFC   | Major Groups Facilitating Committee                     |
| MGS    | Major Groups and Stakeholders                           |
| MGSB   | Major Groups and Stakeholders Branch                    |
| MTS    | UNEP Medium-term Strategy                               |
| NGLS   | UN Non-Governmental Liaison Service                     |
| NGO    | Non-Governmental Organisation                           |
| PoW    | Programme of Work                                       |
| QAS    | Quality Assurance Section                               |
| RCM    | Regional Consultative Meeting                           |
| ROA    | Regional Office for Africa                              |
| ROAP   | Regional Office for Asia and the Pacific                |
| ROE    | Regional Office for Europe                              |
| ROLAC  | Regional Office for Latin America and the Caribbean     |
| RONA   | Regional Office for North America                       |
| ROWA   | Regional Office for West Asia                           |
| RMS    | Resource Mobilisation Section                           |
| SDG    | Sustainable Development Goals                           |
| SGBS   | Secretariat of the Governing Bodies and Stakeholders    |
| UNDAF  | United Nations Development Assistance Framework         |
| UNEA   | United Nations Environment Assembly                     |
| UNITAR | United Nations Institute for Training and Research      |

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# 1 Project Overview

## Project Information

**Table 1: Required Project Information**

|                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |                         |    |      |                   |
|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------------------|----|------|-------------------|
| Identification                                                    | Insert IMIS no.<br>Insert PIMS no.                                                                                                                                                                                                                                                                                                                                                                                                |     |                         |    |      |                   |
| Project Title                                                     | Stakeholder engagement and participation in environmental agenda setting and decision-making                                                                                                                                                                                                                                                                                                                                      |     |                         |    |      |                   |
| Division managing project                                         | Secretariat of Governing Bodies and Stakeholders (SGBS)                                                                                                                                                                                                                                                                                                                                                                           |     |                         |    |      |                   |
| Project Manager and Org. Unit/Division                            | MGSB                                                                                                                                                                                                                                                                                                                                                                                                                              | P4  | MGSB                    | EF | 70%  | Under recruitment |
| Other Members of Project team                                     | Alexander Juras                                                                                                                                                                                                                                                                                                                                                                                                                   | P5  | MGSB                    | RB | 60%  | Existing S/M      |
|                                                                   | Jose de Mesa                                                                                                                                                                                                                                                                                                                                                                                                                      | P3  | MGSB                    | EF | 60%  | Existing S/M      |
|                                                                   | Laetitia Zobel                                                                                                                                                                                                                                                                                                                                                                                                                    | P2  | MGSB                    | EF | 60%  | Existing S/M      |
|                                                                   | Monica Mutira                                                                                                                                                                                                                                                                                                                                                                                                                     | G5  | MGSB                    | EF | 60%  | Existing S/M      |
|                                                                   | Lucy Mungai                                                                                                                                                                                                                                                                                                                                                                                                                       | UNV | MGSB                    | XB | 60%  | Existing S/M      |
|                                                                   | Isiah Otieno                                                                                                                                                                                                                                                                                                                                                                                                                      | UNV | MGSB                    | XB | 60%  | Existing S/M      |
|                                                                   | Sylvia Bankobeza                                                                                                                                                                                                                                                                                                                                                                                                                  | P4  | DELC                    | EF | 10%  | Existing S/M      |
|                                                                   | Angele Luh                                                                                                                                                                                                                                                                                                                                                                                                                        | P4  | ROA                     | EF | 15%  | Existing S/M      |
|                                                                   | Melanie Hutchinson                                                                                                                                                                                                                                                                                                                                                                                                                | P4  | ROWA                    | RB | 10%  | Existing S/M      |
|                                                                   | ROWA                                                                                                                                                                                                                                                                                                                                                                                                                              | P3  | ROWA                    | RB | 20%  | Under recruitment |
|                                                                   | Alejandro Laguna                                                                                                                                                                                                                                                                                                                                                                                                                  | P4  | ROLAC                   | EF | 30%  | Existing S/M      |
|                                                                   | Andrea Brusco                                                                                                                                                                                                                                                                                                                                                                                                                     | P4  | DELC-ROLAC              | RB | 10%  | Existing S/M      |
|                                                                   | Satwant Kaur                                                                                                                                                                                                                                                                                                                                                                                                                      | P4  | ROAP                    |    | 30%  | Existing S/M      |
|                                                                   | Hilary French                                                                                                                                                                                                                                                                                                                                                                                                                     | P3  | RONA                    |    | 10%  | Existing S/M      |
|                                                                   | Wondwosen Asnake                                                                                                                                                                                                                                                                                                                                                                                                                  | P3  | ROE                     | XB | 100% | Existing S/M      |
|                                                                   | Diana Rizzolio                                                                                                                                                                                                                                                                                                                                                                                                                    | P3  | ROE                     | XB | 100% | Existing S/M      |
|                                                                   | Virginia Ozuna                                                                                                                                                                                                                                                                                                                                                                                                                    | G4  | ROE                     | XB | 100% | Existing S/M      |
|                                                                   | Angeline Djampou                                                                                                                                                                                                                                                                                                                                                                                                                  | P4  | DCPI                    | RB | 10%  | Existing S/M      |
|                                                                   | FMO                                                                                                                                                                                                                                                                                                                                                                                                                               | P4  | OFO                     | EF | 10%  | Existing S/M      |
| Name of Supervisor of UNEP Project Manager                        | Jiri Hlavacek, Secretary of Governing Bodies, SGBS                                                                                                                                                                                                                                                                                                                                                                                |     |                         |    |      |                   |
| Type/Location                                                     | Global                                                                                                                                                                                                                                                                                                                                                                                                                            |     |                         |    |      |                   |
| Region                                                            | Africa                                                                                                                                                                                                                                                                                                                                                                                                                            |     | Asia Pacific            |    |      |                   |
|                                                                   | Europe                                                                                                                                                                                                                                                                                                                                                                                                                            |     | Latin America Caribbean |    |      |                   |
|                                                                   | North America                                                                                                                                                                                                                                                                                                                                                                                                                     |     | West Asia               |    |      |                   |
| List Countries                                                    | N/A                                                                                                                                                                                                                                                                                                                                                                                                                               |     |                         |    |      |                   |
| Programme of Work                                                 | 2014 – 2015; 2016 (June)                                                                                                                                                                                                                                                                                                                                                                                                          |     |                         |    |      |                   |
| Sub-programme                                                     | Environmental Governance (Sub-programme 4)                                                                                                                                                                                                                                                                                                                                                                                        |     |                         |    |      |                   |
| Expected Accomplishment                                           | EA (b) The capacity of countries to develop and enforce laws and strengthen institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations is enhanced.                                                                                                                                                                                                                |     |                         |    |      |                   |
| Most relevant PoW Output to which Project primarily contributes   | Output (b) 4 - Technical support and advisory services provided to Governments and major groups and stakeholders, drawing on best practice and models from relevant multilateral institutions to promote the effective engagement of major groups and stakeholders in decision-making, access to information and access to justice in environmental matters (principle 10 of the Rio Declaration on Environment and Development). |     |                         |    |      |                   |
| Date of UNEP clearance of concept or relevant Programme Framework |                                                                                                                                                                                                                                                                                                                                                                                                                                   |     |                         |    |      |                   |

|                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Other Divisions/Regional Offices involved | <i>All Regional Offices; MGSB, DCPI and DELC as part of the Project Team; and all Divisions as appropriate</i>                                                                                                                                                                                                                                                                                                                                                                                                            |
| Name of External Executing Partners       | <i>United Nations Institute for Training and Research (UNITAR), Economic Commission for Latin America and the Caribbean (ECLAC), United Nations Department for Economic and Social Affairs (UNDESA), United Nations Non-Governmental Liaison Service (NGLS), World Resources Institute (WRI), Stakeholder Forum (SF), Geneva Environment Network, member organisations of the Major Groups Facilitating Committee (MGFC), Regional Representatives National Committees, and accredited major groups and stakeholders.</i> |

## Projected Duration and Budget Summary

**Table 2: Project Duration and cost**

|                                                                                                  |                                                     |                                                |
|--------------------------------------------------------------------------------------------------|-----------------------------------------------------|------------------------------------------------|
| Project start date: <a href="#">(12/2014)</a>                                                    | Planned completion date: <a href="#">(06/2016)</a>  | Total duration in months: <a href="#">(18)</a> |
| Mid-term Review date <a href="#">(12/2015)</a><br>(if project spans over more than one biennium) | Terminal Evaluation date: <a href="#">(12/2016)</a> |                                                |

**Table 3: Budget summary<sup>1</sup>**

| TYPE OF FUNDING                                                                            | SOURCE OF FUNDING                                                                              | 2014                               | 2015             | 2016             | 2017             | US\$ Total        |
|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------|------------------|------------------|------------------|-------------------|
| CASH BUDGET                                                                                | Environment Fund activity budget [1]                                                           | 472,375                            | 420,000          | 441,000          | 463,050          | 1,796,425         |
|                                                                                            | Regular Budget activity budget                                                                 | -                                  | -                | -                | -                | -                 |
|                                                                                            | Extra-budgetary Funding (posts + non-post costs)                                               | Norway                             | 139,953          | -                | -                | 139,953           |
|                                                                                            |                                                                                                | Sweden                             | 80,017           | -                | -                | 80,017            |
|                                                                                            |                                                                                                | Abu Dhabi Environment Agency       | 44,248           | -                | -                | 44,248            |
|                                                                                            |                                                                                                | Tunza /Bayer                       | 40,000           | -                | -                | 40,000            |
|                                                                                            |                                                                                                | Switzerland                        | 335,195          | 335,195          | 335,195          | 1,340,780         |
|                                                                                            |                                                                                                | <b>Subtotal secured XB funding</b> | <b>639,413</b>   | <b>335,195</b>   | <b>335,195</b>   | <b>1,644,998</b>  |
|                                                                                            |                                                                                                | Programme Support Costs (8%)       | 51,153           | -                | -                | 51,153            |
|                                                                                            |                                                                                                | <b>Total secured XB funding</b>    | <b>690,566</b>   | <b>335,195</b>   | <b>335,195</b>   | <b>1,696,151</b>  |
|                                                                                            | Unsecured XB funding                                                                           | 284,263                            | 441,155          | 639,693          | 223,142          | 1,588,253         |
|                                                                                            | <b>TOTAL PROJECT CASH BUDGET (EF or RB activity budget + Secured and unsecured XB funding)</b> | <b>1,447,204</b>                   | <b>1,196,350</b> | <b>1,415,888</b> | <b>1,021,387</b> | <b>5,080,829</b>  |
| IN-KIND CONTRIBUTION                                                                       | Environment Fund &XB post costs                                                                | 1,161,080                          | 1,161,080        | 1,161,080        | 1,161,080        | 4,644,320         |
|                                                                                            | Regular Budget post costs                                                                      | 302,920                            | 302,920          | 302,920          | 302,920          | 1,211,680         |
|                                                                                            | <b>TOTAL UNEP IN-KIND CONTRIBUTION</b>                                                         | <b>1,464,000</b>                   | <b>1,464,000</b> | <b>1,464,000</b> | <b>1,464,000</b> | <b>5,856,000</b>  |
| <b>TOTAL UNEP MANAGED PROJECT BUDGET (PROJECT CASH BUDGET + UNEP IN-KIND CONTRIBUTION)</b> |                                                                                                | <b>2,911,204</b>                   | <b>2,660,350</b> | <b>2,879,888</b> | <b>2,485,387</b> | <b>10,936,829</b> |
| <b>COFINANCING CASH CONTRIBUTION</b>                                                       |                                                                                                | -                                  | -                | -                | -                | -                 |
| <b>COFINANCING BASED ON PARTNERS IN-KIND CONTRIBUTION</b>                                  |                                                                                                | -                                  | -                | -                | -                | -                 |

<sup>1</sup> A detailed project budget is provided as [Annex 1](#).

## 2 Project Justification

Civil society and stakeholders' organizations are substantive partners and contributors to improving our understanding of the environment, and in developing innovative solutions to address environmental challenges. Since its inception, the United Nations Environment Programme (UNEP) has had a special relationship with civil society in tackling environmental issues; not only as implementing and executing partners, but as an amplifier and multiplier of its mission and work respectively. UNEP recognises the importance of engaging Majors Groups and other Stakeholders as partners in agenda setting, policy-making and decision-making processes and deals with a wide range of partners: governments; major groups and stakeholders, parliamentarians, International Nongovernmental Organizations (INGOs), and Intergovernmental Organizations, including UN agencies; which bring valuable expertise, research and advocacy skills that help foster long-term, broad-based support for UNEP's mission.

UNEP's medium-term strategy (MTS) for the period 2014-2017 acknowledges that stakeholder engagement in its work will be key to achieving its overarching mission, goals and results; and in reflecting the "very different needs and priorities across multiple sectors of government and society to enable them to better manage the environment to achieve the services it provides for their countries' development and economy, important for poverty eradication and for human well-being."<sup>2</sup> Furthermore, the member states' decision, in 2012, to strengthen and upgrade UNEP, in accordance with the outcome of the United Nations Conference on Sustainable Development (Rio+20), envisages a "very active participation of all relevant stakeholders (...) to promote transparency and the effective engagement of civil society."<sup>3</sup>

An Evaluation of the 2010-2014 MGSB work under the 4P242 project will be initiated in January 2015 and finalized by June 2015. Only after that we could prepare a project that will go up to December 2017. For now we are proposing project duration from December 2014 to June 2016. On the first semester of 2016 the OECPR2 and UNEA2 will take place in Nairobi. Those two meetings and engagement of stakeholder in them are key to our work and we need to make sure that during that period the present proposal is included.

### **Box 1: The legacy of Rio+20, GC27/2 and UNEA 1**

In the context of the strengthened and upgraded UNEP after Rio+20 and its newly constituted universal United Nations Environment Assembly (UNEA), supporting the broad participation of stakeholders will be instrumental in enhancing and bringing the perspectives of the different categories of civil society stakeholders into the decision-making process at global and regional levels.

Lessons learnt from the experiences and practices of the Rio+20 preparatory process have shown that engaging with stakeholders is a critical step in addressing sustainable development challenges, and that public participation through civil society organisations is fully part of such large scale processes. They bring not only the voices of the public, communities, and citizens of the world into the debate, but build ownership of such processes and full support for their outcomes and the implementation of such outcomes. They not only allow for participation but they allow informed decision-making. These lessons also highlight the need to be more inclusive and move away from approaches that do not reflect the diversity of voices of movements such as Peoples' voices, peoples' organisations and social movements, and citizen movements; and yet respecting the sovereignty of member states to be the final decision-makers.

The subsequent UNEA process has however shown that some limitations still exist with regard to stakeholder participation at national level which has a major incidence on inter-governmental processes. Another major issue is that of the relevance of the UN as the main platform for global dialogue, treaties building, peace and security, etc. and yet the lack of trust in the multilateral system to resolve major development challenges.

<sup>2</sup> UNEP Medium Term Strategy 2014-2017

([http://intranet.unep.org/index.php?option=com\\_content&view=category&layout=blog&id=1356&Itemid=1139](http://intranet.unep.org/index.php?option=com_content&view=category&layout=blog&id=1356&Itemid=1139))

<sup>3</sup> United Nations Resolution A/RES/66/288, "The Future We Want" – Paragraph 88 (h)

([http://www.un.org/ga/search/view\\_doc.asp?symbol=A/RES/66/288&Lang=E](http://www.un.org/ga/search/view_doc.asp?symbol=A/RES/66/288&Lang=E))

Therefore, engaging with stakeholders in a post Rio+20 and a post 2015 development agenda context means new responses and approaches have to be designed to respond to the need to further and better engagement with stakeholders; and to restore confidence in the multilateral system and consolidate the moral authority of the UNEA as The Assembly on Environment.

These responses will focus on building stronger coalitions and partnerships with stakeholders to ensure that environmental issues are addressed in a holistic manner both at national, regional and global levels. These responses will create a space for influence as requested by civil society, and will facilitate the push for larger inclusion in decision making of the voices of the MSG and the need to transfer to MSGs the understanding on decisions of the governing bodies.

This will require broadening the type of constituency we engage with, to include more private sector partners, more local authorities and peoples representatives, social movements and citizens groups. It will further require to change UNEP's business model and to initiate more strategic coalitions to serve partnerships on specific thematic and emerging environmental issues such as the issue of short-lived climate forces in the context of the climate change negotiations; food and nutrition security, land, water and biodiversity resources, *etc.*

#### ***Why is the project needed?<sup>4</sup>***

The intervention will address the weak influence of major groups and stakeholders in decision-making in environmental matters globally and the lack of coherent and concerted participation of major groups and stakeholders in environmental decision-making at national, regional and global levels.

The causal pathway analysis reveals that this is mainly due to limited access to environmental information; limited representation of major groups and stakeholders in decision-making at national level; inadequate implementation / enforcement of national laws on access to information, public participation and access to justice in environmental matters; the limited capacity of the public to fully comprehend the environmental information made available to them; and ultimately the limited ability of governments and institutions to provide environmental information to the public.

This is further enhanced by the weak legal and institutional frameworks to facilitate stakeholder participation in environmental decision-making, as well as the different levels of access to access to information, public participation and access to justice in environmental matters across countries / regions. The intervention although global, will have a particular capacity building focus targeting developing countries and countries with economies in transition. The focus will be on addressing public participation and participation of certain under-represented groups to ensure that the public and major groups and stakeholders are fully aware of environmental problems at national, regional and global levels, as well as the effects of environmental decisions at regional and global levels and how they translates at the national level.

The main interventions of the project thereby aim at:

- a) Improving the public and major groups and stakeholders' capacity to access and utilize relevant environmental information, and to effectively engage and actively participate in decision-making in environmental matters at national, regional and global levels; and
- b) Providing a UNEP response to Decision 27/2 above, and offer UNEP an enhanced policy on stakeholder engagement and new mechanisms for stakeholder engagement in agenda-setting and decision-making; including a new accreditation process.

Creating more participatory platforms, including at the inter-governmental level taking into account the differences in terms of legal and institutional settings and frameworks across countries and regions, will help capitalize on the vast knowledge and expertise that exists among stakeholders. Increasing major groups and stakeholders' participation in

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<sup>4</sup> See Appendix 3 – Problem Tree for information.

UNEP's institutional and governance processes, will also allow for their understanding of UNEP's activities and approaches; and increase the ability to positively influence UNEP's work.

#### **Relevance to the UNEP PoW**

The project will contribute to “improving public participation in decision-making at the global, regional, sub-regional and national level” in response to Governing Council Decision 27/2 on implementation of paragraph 88 of the Rio+20 outcome document.”<sup>5</sup>

The current project lies within the remit of Subprogramme 4 (Environmental Governance) of the Programme of Work 2014-2015. The subprogramme looks to facilitate the transition towards environmental sustainability within the context of sustainable development. Specifically this project sits within **expected accomplishment (b)** which focuses on enhancing the capacities of countries to develop and enforce laws and institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations. In that context, capacities of major groups and stakeholders will be enhanced to further support the development and enforcement of laws and institutions, in line principle 10 of the Rio Declaration on Environment and Development on public participation, access to information, and access to justice on environmental matters.

#### **Mandate / Policy context of the project**

UNEP derives its mandate to engage with stakeholders from a number of landmark agreements and principles. Systematic arrangements for informed public participation in all levels of decision-making are a necessary part of the institutional framework for sustainable development, and are called for under Principle 10 of the Rio Declaration, Agenda 21, the Johannesburg Plan of Implementation and decisions of the UNEP Governing Council.

*“Environmental issues are best handled with **participation of all concerned citizens**, at the relevant level. At the national level, each individual shall have appropriate access to information concerning the environment that is held by public authorities, including information on hazardous materials and activities in their communities, and the opportunity to participate in decision-making processes. States shall facilitate and encourage public awareness and participation by making information widely available. Effective access to judicial and administrative proceedings, including redress and remedy, shall be provided.”*

Principle 10 of the 1992 Rio Declaration of the Conference on Environment and Development (UNCED), on public participation, access to information, and access to justice in environmental matters

Such arrangements would allow for shared analysis of issues and challenges, building consensus among stakeholders on objectives and possible approaches and policies to achieve them, effectively supporting implementation of these policies and approaches through contributions from and compliance by stakeholders. Building such consensus and participation is critical to balancing the social, environmental and economic aspects for sustainable development and for building a globally informed civil society – bridging the gaps in access to information, public participation and access to justice in environmental matters between countries and regions (some countries and regions have much more participatory frameworks as opposed to others, i.e. the UNECE region with the Aarhus convention, the USA with the Open Government Initiative, to name but few). Generally, many societies have not invested adequately in creating and managing such arrangements, which are necessary for more participatory governance.

*“We are committed to strengthening the role of the United Nations Environment Programme (UNEP) as the leading global environmental authority that sets the global environmental agenda (...) (and) (h) Ensure the active participation of all relevant stakeholders drawing on best practices*

<sup>5</sup> UNEP Decision 27/2 on Implementation of paragraph 88 of the Outcome Document of the United Nations Conference on Sustainable Development  
([http://www.unep.org/gc/gc27/docs/Decisions\\_adopted\\_by\\_the\\_first\\_universal\\_session\\_\(advance\).pdf](http://www.unep.org/gc/gc27/docs/Decisions_adopted_by_the_first_universal_session_(advance).pdf))

*and models from relevant multilateral institutions and exploring new mechanisms to promote transparency and the effective engagement of civil society.”*

Paragraph 88(h) of the Rio+20 outcome document “The Future We Want

Paragraph 7 of the Governing Council Decision 27/2 on the Implementation of paragraph 88 of the Outcome Document of the United Nations Conference on Sustainable Development adopted at the United Nations Environment Programme Governing Council at its first universal session in February 2013.

*The Governing Council calls the governing body “to ensure the active participation of all relevant stakeholders, particularly those from developing countries, drawing on best practices and models from relevant multilateral institutions and will explore new mechanisms to promote transparency and the effective engagement of civil society in its work and that of its subsidiary organs, inter alia by:*

- a) Developing by 2014 a process for stakeholder accreditation and participation that builds on the existing rules of procedure and takes into account inclusive modalities of the Commission of Sustainable Development and other relevant United Nations bodies;*
- b) Establishing by 2014 mechanisms and rules for stakeholders expert input and advice;*
- c) Enhancing by 2014 working methods and processes for informed discussions and contributions by all relevant stakeholders towards the inter-governmental decision-making process.”*

*GC27/2, paragraph 7*

Therefore, the project is developed and will be implemented, building on the Rio+20, the SDGs and the Post 2015 development political momentum, as well as achievements of international agreements such as the Aarhus convention, which establishes a number of rights of individuals, associations and the public with regard to the environment.

## **Box 2. The Aarhus Convention**

The Convention on Access to Information, Public Participation in Decision-Making and Access to Justice in Environmental Matters (better known as the Aarhus Convention) was adopted in 1998 and entered into force in October 2001. The Convention provides for:

1. the right of everyone to receive environmental information that is held by public authorities (“**access to environmental information**”). This can include information on the state of the environment, but also on policies or measures taken, or on the state of human health and safety where this can be affected by the state of the environment. Applicants are entitled to obtain this information within one month of the request and without having to say why they require it. In addition, public authorities are obliged, under the Convention, to actively disseminate environmental information in their possession;
2. the right to participate in environmental decision-making. Arrangements are to be made by public authorities to enable the public affected and environmental non-governmental organisations to comment on, for example, proposals for projects affecting the environment, or plans and programmes relating to the environment, these comments to be taken into due account in decision-making, and information to be provided on the final decisions and the reasons for it (“**public participation in environmental decision-making**”);
3. the right to review procedures to challenge public decisions that have been made without respecting the two aforementioned rights or environmental law in general (“**access to justice**”).

While the Aarhus Convention primarily addresses issues at the national level, its Parties have committed themselves, through article 3, paragraph 7, of the Convention, to promote the application of the principles of the Convention in international environmental decision-making processes and within the framework of international organizations in matters relating to the environment. This was further supported by the adoption of the Almaty guidelines in May 2005, aimed at promoting the principles of access to information, public participation in decision-making and access to justice in international forums dealing with matters relating to the environment.

The countries of the UNECE region have shown considerable progress in implementing Principle 10 through the Aarhus Convention, leading to strong public involvement and commitment regarding access to information, public

participation and access to justice, in governmental decision-making processes on matters concerning the local, national and transboundary environment. To date, with some exceptions, other regions of the world still lag behind in implementing Principle 10. As a result, societies often lack understanding of the nature of the changes that are required to achieve sustainable development and governments act without the necessary public support, which is needed to achieve the vast tasks ahead. Multilateral Environmental Agreements (MEAs) often incorporate clauses on public participation, access to information and access to justice, but not in a consistent manner.

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The project will also be influenced by processes such as the High Level Political Forum on Sustainable Development, as well as other UNEP led processes such as SAICM, IPBES, which provide opportunities for stakeholder engagement at policy and governance level, although through different modalities.

The project will be further influenced by the existing internal policies that govern the participation of non-governmental stakeholders – the National Committees Policy (under development), the Partnerships Policy, the Policy Guidance on UNEP and Indigenous Peoples, and the UNEP Access-to-information policy, which is an integral part of enhanced stakeholder participation.

#### ***Complementarities with other UNEP interventions and actors***

Overall the project has complementarity with subprogramme 7 on Environment Under Review (outputs 716 and 733), as it will seek to assist major groups and stakeholders in accessing and utilizing environmental information by enhancing their capacity and knowledge, to be better able to contribute to environmental agenda setting and decision-making. The project also bears relevance with the *Eye on Earth Special Initiatives* (SP7) which were born out of the 2011 Eye on Earth Summit. The Summit was a global meeting on environmental information networking aimed at strengthening existing networking structures from both geographic and thematic perspectives in order to enhance access to environmental data and information that underpin assessment activities. One of the Special initiatives “Access-for-All” focuses on one of the pillar of Principle 10, and seeks to promote and facilitate access to environmental data, information and knowledge for environmental decision-making. Work done under the project and under *Eye on Earth Special Initiatives* will support the provision of targeted environmental data and information to major groups and stakeholders for informed participation in environmental decision-making and processes.

Major groups and stakeholders will be provided with targeted information, knowledge, tools and methodologies to effectively participate not only in environmental decision-making, but also in the regional on-line environmental information platforms that can be linked to, and are compatible with UNEP-Live as well as to contribute to and actively disseminate the assessment and information products that are developed by UNEP, and help identify and strengthen appropriate mechanisms through which environmental information can better serve policy and decision making in the regions. It will seek to strengthen the capacities of major groups and stakeholders in promoting the enabling legal and institutional conditions for developing, accessing and utilizing environmental information and data.

Additionally, the project will further build synergies with UNEP initiatives such as the *Environment and human right*, the *Environmental Rule of Law*, and the *Programme on the Development of International Environmental Rule of Law*, currently under development. Sustainable development in a just context will require further resources to be channelled to promoting and developing legal and practical means to increase transparency, strengthen access to information and enhance public participation in environmental decision-making processes, including through the implementation of existing international legal instruments, including MEAs. Public participation, accountability, and using a rights-based approach to guide decision-making will ultimately lead to better results in implementing development objectives and in addressing the impact of environmental degradation generally, in particular its impact on the world’s poorest and most vulnerable populations, and in encouraging a green economy that recognizes that healthy ecosystems are a precondition for poverty reduction and an opportunity for economic growth.

#### ***Project baseline and needs***

The project builds on previous work done in the framework of the project 42-P2 under SP4 from 2010 to November 2014. The project 42-P2 resulted in the active participation and effective engagement of MGS in UNEP governance and has created a greater awareness among MGS of UNEP approaches in relation to IEG/IFSD, green economy in the context of poverty eradication and sustainable development, the UNEP foresight process on emerging environmental issues and the GEO 5 process, Principle 10 on public participation and regional priorities.

It has facilitated the development of two partnerships with MGS towards the promotion of principle 10 of the Rio Declaration and the Eye on Earth Special Initiative Access for All; and the partnership on the implementation of the Guidelines on Access to Information, Public Participation in Decision Making and Access to justice in environmental matters in Latin America and the Caribbean, done in collaboration with UNITAR, the Access Initiative, ECLAC. Building on the practices of the parties to the Aarhus convention, the Latin America and the Caribbean countries agreed in Rio+20 to a common Declaration for the implementation of Principle 10 in Latin America and the Caribbean (2012). The aim was to develop a regional instrument similar to the Aarhus convention for the region. This was further support by the adoption of a roadmap and process to develop such a regional instrument, and strengthen capacities in countries of the region to implement such a regional tool, with full support from UNEP and ECLAC since 2012.

Further examples of previous engagement and partnerships with major groups and stakeholders have yielded tremendous results:

- Between 2007 and 2013, UNEP has partnered with workers and their trade unions in the framework of the labour and environment initiative and have jointly implemented with ILO and ITUC a project on sensitizing workers and their trade unions to issues of climate change and safe handling of chemicals. The partnership not only raised awareness of environmental issues among the labour movement, as demonstrated by the inclusion of the environment as part of the strategic agenda of the global labour movement, but also resulted in the ILO adopting the environment, through its green jobs programme, as one of its strategic priorities for the years to come.
- Another example is the critical role played by major groups and stakeholders in the negotiations of the mercury convention. For about 15 years, major groups have partnered with UNEP in order to come up with an agreement that builds on the most sound and scientific knowledge on the impacts of mercury on the physical environment, and on the human health.

Such example will provide a basis for building a new engagement strategy that will be comprehensive, not only looking at the participation of non-governmental stakeholders into the governance of UNEP but also at the same time in its programmatic work, and building new partnerships for delivering its works, and vice-versa.

Building on this progress, the project will respond to critical needs identified in a gap analysis undertaken by MGSB in 2012.<sup>6</sup> Notably:

1. MGS participation within UNEP's work at both policy and programmatic level is especially vital for influencing decision-making; facilitating the voices of MGS on the environment at national, regional and global levels; and providing expert input into decision-making processes.
2. That engagement was too focused on particular events or meetings (e.g. the Governing Council), thereby neglecting opportunities for engagement throughout the year and for thematic expert input. In addition, UNEP has not taken sufficient advantage of information technology (IT) and the provision of funds for enhancing MGS participation opportunities and capacity-building activities. Therefore synergies were not built with processes such as the IPBES, SAICM, the Minamata Convention, the International Resource Panel, the Foresight Process, etc.
3. The communication processes within the MGSs system is not fully maximized, thereby affecting the system's overall potential and transparency. Furthermore it does not build on the existing network of National Committees present in 36 countries so far.
4. The GMGSF process should be revisited to ensure continuous engagement from MGS both at global and at the regional level; therefore the funding should not be limited to the convening of an annual Regional Consultative Meetings (RCMs) but should also enable the Regional Offices to carry complementary activities and to maintain

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<sup>6</sup> An evaluation of the project 42-P2 will be undertaken in November 2014 and its outcomes will also be feed into the project 424 as part of the project review in 2015.

a regional Major Groups and Stakeholder presence and engagement, linked to global and regional political processes.

5. UNEP should increase inter-regional coordination of the RCMs, for example through capacity building workshops, webinars, etc. for targeted regions.
6. Strategic partnerships around key issues were not developed nor fully utilised to promote global environmental responses such as the issues of marine litter and the global governance of oceans, climate change, etc. and hence a lack of synergy with the work of other UNEP subprogrammes and of MEAs.

#### ***Global/regional/national significance and scope of the project***

The project will be global in nature, but with a strong regional and sub-regional focus, in an attempt to address the need for continued and strengthened engagement of major groups and other stakeholders at appropriate levels. All activities will be jointly implemented with the Regional Offices of UNEP. In the case of activities at the regional and sub-regional level, Regional Offices of UNEP will lead the delivery. Although the scope of the project is global, focus will be put on developing countries and countries with economies in transition where the gap in terms of access to information, public participation and access to justice in environmental matters has proven to be much wider.

Where possible, the project will build on existing UNDAF processes, not only to map out country needs, but also by responding to these needs in a targeted manner; through technical assistance and capacity building; as well as south-south cooperation. The need for greater engagement with stakeholders is seen across the UN system and stakeholder consultations now form a key part of the UNDAF development processes. UNEP can support and inform its network of environmental stakeholders to participate effectively in the discussions that inform the development of UNDAFs in individual countries through participation in consultation processes and support to country analyses.

## 3 Project Statement & Approach

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### Project Statement

The ultimate aim of this project is, through partnerships with major groups and stakeholders, to contribute to the promotion and implementation of internationally agreed environmental goals. The objective of the project is to promote the active participation of major groups and stakeholders in agenda setting, policy dialogue and decision-making at the national, sub-regional, regional and global level, in accordance with principle 10 of the Rio Declaration from 1992 and the Bali Guidelines on the Development of National Legislation on Access to Information, Public Participation and Access to Justice in Environmental Matters.

The project outcome will be an enhanced participation of major groups and other stakeholders in environmental decision-making processes, in support of the development and enforcement of legislation and strengthening of institutions to achieve internationally agreed environmental objectives and goals and comply with related obligations.

The project focus is placed on support for improving the engagement of major groups and stakeholders in inter-governmental processes and better access to environmental information and assessments, through:

1. Capacity building and technical support on multi-stakeholder policy dialogue for enhanced participation of major groups and stakeholders in agenda setting, policy and decision-making (trainings, workshops, fora, knowledge sharing, awareness raising, etc.);
2. Advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters to facilitate the application of Principle 10 of the Rio Declaration and the Bali Guidelines for Development of National Legislation for Access to Information, Public Participation and Access to Justice in Environmental matters; and
3. Outreach and awareness raising to ensure that informed participation of major groups and stakeholders in environmental agenda-setting and decision-making processes is increased.

### Project Approach

This project is responding to the following expected accomplishment and output as outlined in the Programme of Work:

**Expected Accomplishment 4 (b):** *The capacity of countries to develop and enforce laws and strengthen institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations is enhanced.*

**PoW indicator (b) (iii):** *Increase in the number of initiatives and partnerships with major groups and stakeholders in support of the development and implementation of national and international environmental law with the assistance of UNEP.*

*Unit of measure: Number of formal partnerships between UNEP and major groups and stakeholders*  
*December 2013 baseline: 3*

*Progress expected as at December 2014: 4*

*December 2015: 5*

*Progress expected as at December 2016: 7*

*December 2017: 9*

**Output (b) 4:** *Technical support and advisory services provided to Governments and major groups and stakeholders, drawing on best practice and models from relevant multilateral institutions to promote the effective engagement of major groups and stakeholders in decision-making, access to information and access to justice in environmental matters (principle 10 of the Rio Declaration on Environment and Development).*

The project will deliver through:

1. Capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.);
2. Advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters; and will facilitate the formation of coalitions and strategic partnerships around key environmental issues when needed, to support the implementation of internationally agreed environmental goals and obligations;
3. Outreach and awareness raising.

As much as possible, the project will tie its activities to sub-regional and regional ministerial and intern-governmental fora to ensure that adequate regional and sub-regional participatory fora are strengthened.

#### ***Project timeline***

The project phase will run from December 2014 to June 2016. A revision will be effected in May – June 2015 to reflect the findings of the evaluation of the previous project 42-P2 and any new strategic directions that may emanate from the meeting of the Project Team and Project Advisory Committee based on those findings.

#### ***Project Outputs***

The project will deliver the following outputs:

1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate
2. Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines developed and made available for download in UNEP live
3. Outreach and awareness of Major Groups and stakeholder participating in UNEPs work is enhanced.

These outputs will result in:

1. Enhanced participation of major groups and stakeholders in agenda setting, policy dialogue and decision-making as inter-governmental policy dialogues on environmental priorities at regional/global level are informed by the inputs/recommendations from major groups and stakeholders;
2. Enhanced application of principle 10 of the Rio Declaration and the Bali Guidelines for Development of National Legislation for Access to Information, Public Participation and Access to Justice in Environmental matters through partnerships with major groups and stakeholders;
3. Informed participation of major groups and stakeholders in environmental agenda-setting and decision-making processes, through dialogue and outreach to, from and between major groups and stakeholders (e.g. knowledge platforms, web sites, social networks, etc.) to ensure broad participation of major groups and stakeholders in establishing the relevant national environmental institutions and governance structures.

Each of the project outputs will include a set of activities that will support the delivery of the POW:<sup>7</sup>

#### **1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate**

One of the major focuses of the project will be to support and improve the participation of major groups and stakeholders in inter-governmental processes through multi-stakeholders policy dialogues, participation in processes and meetings of the UNEP governing bodies and subsidiary organs. This is expected to result in improved dialogue on agenda setting and decision making in several regions and globally, with the informed and increased participation of all nine major groups and stakeholders of society.

Under this project output, several multistakeholder policy dialogues on environmental priorities at regional and global level will be organized in conjunction with regional ministerial environment fora, and other UNEP meetings to facilitate dialogue on agenda setting and decision making in several regions.

<sup>7</sup> A detailed workplan is provided as [Appendix 2](#).

#### Activities:

1. Develop the new stakeholder engagement policy of UNEP in response to Governing Council decision 27/2 of February 2013, and its subsequent implementation once approved by the UNEA (MGSB);
2. Develop a handbook on practical implementation modalities of the stakeholder engagement policy aimed at guiding the participation of major groups and stakeholders in processes of UNEA and its subsidiary bodies;
3. Support to the UNEA process and facilitate major groups and stakeholders participation in UNEA, along the Decision 27/5 which call for the organisation of a multistakeholder dialogue during the high level segment of the UNEA;
4. Facilitate the participation of major groups and stakeholders in processes of the subsidiary organs of the UNEA such as the CPR and the OECPR;
5. Convene the Major Groups and Stakeholder Consultations in conjunction with the Open Ended Meetings of the CPR and the Global Major Groups and Stakeholders' Fora (GMGSF) in conjunction with the UNEA (MGSB);
6. Organise regional and/or sub-regional Consultative Meetings (RCMs) per region to facilitate major groups and stakeholders engagement in the work of the UNEP governing bodies and its subsidiary bodies (MGSB, Regional Offices);
7. Provide support and guidance to UNEP staff for working with indigenous peoples and their participation in the work of UNEP (MGSB);
8. Convene an environmental policy forum that enhances stakeholder engagement and participation in environmental agenda setting and decision-making in Europe (GEN);
9. Provide accreditation to major groups and stakeholders' organisations to facilitate their participation as observers into the processes and meetings of the UNEP governing bodies and create database of accredited organisations (MGSB);
10. Develop the National Committees policy and consolidate the National Committees network (MGSB);
11. Provide advice and services to UNEP Divisions and Regional Offices on stakeholder engagement matters and on broader environmental issues of interest to major groups and stakeholders.

#### **2. Knowledge products to promote the application of P10 of the Rio Declaration, the Bali Guidelines and to enhance major groups and stakeholders' participation in agenda setting, policy and decision-making, and increase their level of awareness and involvement in UNEP's work developed and made available for download in UNEP live.**

The *Guidelines for the Development of National Legislation on Access to Information, Public Participation in Decision-making and Access to Justice in Environmental Matters*, also known as the Bali Guidelines were adopted in February 2010. UNEP and UNITAR launched a two-year joint capacity-building initiative in order to assist the countries in applying those guidelines on a national level. The initiative focuses on three areas of intervention: (1) the development of a Guide on the Bali Guidelines (led by UNEP, in cooperation with the World Resources Institute), (2) a series of regional workshops and a legal practitioner workshop (led by UNEP) and (3) a series of national capacity development projects in developing countries and countries with economies in transition (led by UNITAR).

In continuation of activities that started in the previous biennium, workshops will be organised to raise awareness of the three pillars of Principle 10 of the Rio Declaration and on how to apply the Bali guidelines to enhance participation of stakeholders in order to achieve environmentally agreed goals and objectives.

This project output is expected to result in increased transparency, strengthened access to information and enhanced public participation in environmental decision-making processes by promoting the use of tools such as the Guidebook on the implementation of the Bali guidelines. This will ultimately lead to better results in implementing development objectives and in addressing the impact of environmental degradation generally.

#### Activities:

1. Organise regional workshops on the application of the Bali guidelines in Asia and the Pacific, West Asia, and Africa (MGSB, DELC, ROA, ROWA, ROAP);
2. Produce assessment reports on *Needs and gaps in the application of Principle 10* in Asia and the Pacific (MGSB, DELC, ROAP);

3. Develop action plans on the application of Bali Guidelines in Asia and the Pacific, Latin America and the Caribbean, Africa and West Asia (MGSB, DELC, ROA, ROWA, ROAP, ROLAC);
4. Provide advisory services on the application of the Bali Guidelines in selected countries (MGSB, DELC, Regional Offices); and
5. Provide support to regional processes towards the application of principle 10 in Latin America and the Caribbean (MGSB, DELC, ROLAC).
6. Provide outreach through social media, internet and web based communication materials (MGSB, GEN, all Regional Offices in collaboration with all Divisions as appropriate);
7. Conduct awareness raising workshops and meetings, training and capacity building workshops on selected environmental issues or processes.
8. Produce outreach material specifically targeting major groups and stakeholders, including the Publication Series “Perspectives” (MGSB, GEN, Regional Offices, DCPI).
9. Convene an environmental policy forum that enhances stakeholders’ engagement and participation in environmental agenda setting and decision-making in Europe (GEEN).

**Project Output 3: Project document incorporating findings and recommendations from the TE of project 424.1 in UNEP approved format drafted and submitted to PRC for approval.**

Capitalize on the lessons learnt from 20 years engagement from with MSG and from inputs from MSG in a systematic way to shape UNEPs future cooperation with MSGs. Findings and recommendations from an independent evaluation of previous phases of UNEP engagement with MSG -plus the outcomes of UNEA2 and OECPR will flow

**Activities:**

1. Provide outreach through social media, internet and web based communication materials (MGSB, GEN, all Regional Offices in collaboration with all Divisions as appropriate);
2. Conduct awareness raising workshops and meetings, training and capacity building workshops on selected environmental issues or processes, such as the post 2015 development framework, SDGs, illegal trade of wildlife, fauna and flora, e-waste, intergenerational solidarity, SCP, etc. (MGSB, GEN, all Regional Offices in collaboration with all Divisions as appropriate);
3. Provide capacity development for major groups and stakeholders to technically engage with UNEP.live and other environmental information networks as well as develop and/or improve regional knowledge platforms (MGSB, all Regional Offices, DEWA, DCPI);
4. Produce outreach material specifically targeting major groups and stakeholders, including the Publication Series “Perspectives” (MGSB, GEN, Regional Offices, DCPI).

## **Resource Mobilization Strategy for the project**

Resources for this project will partly come from the Environment Fund (to support the corporate functions performed by the project in support of the processes of the UNEP governing bodies) and the Regular Budget for UNEP posts and staff time, as well as extra budgetary funds from Norway and Sweden, as has been the case in the past two biennia. A concerted effort will be made by all parties and partners involved in the project to raise additional funds directly through additional donors such as Belgium, Germany, Switzerland, France, the Abu Dhabi Environment Agency, the U.S.A. and Denmark, as well as through partners with regional and national donors/private foundations (such as the Ford Foundation, Soros Foundation, UN foundation, etc.) and development partners such as the Asian Development Bank, the African Development Bank, etc.

Major groups and stakeholders partners will contribute in-kind and voluntary contributions in the form of staff costs and time.

Activities and funding will be prioritised to ensure that the project outputs and outcomes are delivered as per the project workplan included in this document, along the following criteria: (i) directly drives towards the overall

objective of the project / alignment to objectives; (ii) optimising resources use (staffing and funds) to leverage more potential for other outputs / activities of the project; (iii) value for money from the donor perspective and also in addressing the needs of the clients / beneficiaries. The prioritisation will allow scaling down the budget in the event full funding does not materialise. Workplans will therefore be revised to address key priority issues, as part of the Monitoring and Evaluation of the project.

## National or Regional Relevance

The project aims to address major groups and stakeholders at global, as well as at regional level and, as such, it is designed so that the activities respond to the needs identified and is directly targeted towards regional involvement. Several activities are led by the Regional Offices (e.g. Capacity building workshops (3.3), Consultative Meetings (1.5) and Advisory Services (2) for example), who will be also responsible for funds management. Thus, the relevance of regional inputs and needs is 'built-in' to the project approach.

Of particular note, the Consultative Meetings will allow major groups and stakeholders to get together, exchange views and information and to consolidate their input into global, regional and national processes. Importantly such regional meetings will allow those major groups and stakeholder representatives that have limited access to modern information technology to become better involved and to get access to information, relevant for their work. Additionally, these consultations will also include a capacity building component that will increase the understanding among Major Groups about potential opportunities and best ways to contribute and to influence decision making processes.

In the framework of the earlier project 42-P2, UNEP, in conjunction with other parties put in a significant amount of effort to promote Principle 10 and the application of the Bali Guidelines for Access to Information, Public Participation and Access to Justice. In December 2012, the results of these efforts resulted in the LAC countries developing a regional instrument on Principle 10 implementation. This development of a regional instrument highlights that UNEPs increased engagement with major groups and stakeholders allows for such partnerships to produce solid results.

## Stakeholder Analysis and Roles

The project will benefit major groups and stakeholders, namely: **farmers (including small-scale farmers, fisherfolk, pastoralists, and foresters); women; scientific and technological community (including research and academia); children and youth; indigenous peoples and their communities; workers and trade unions; business and industry; non-governmental organizations; local authorities;** as well as additional stakeholders such as **local communities; volunteer groups and foundations; migrants and families; older persons; and persons with disabilities.**

Moreover, the project will address these stakeholders' needs by ensuring that capacities of major groups and stakeholders from developing countries and countries with economies in transition are strengthened in their engagement within policy dialogue and environmental decision-making, and in influencing the outcomes of decisions taken in international and regional environmental fora (and thus ultimately, in the long term, in their respective countries and regions). Furthermore, capacity building and training sessions will focus on under-represented groups such as women, youth, farmers, IPs, in conjunction with RCMs, GMGSF, OECPR, and UNEA meetings, whenever possible, to ensure an equal participation from all groups and all regions in the long-term, for a balanced and representative participation.

Specifically, the project is expected to contribute to those global efforts meant to address the structural challenges of lack of public participation in environmental decision making and global environmental governance by strengthening laws and institutions. As well as on the capacities of major groups and stakeholders, to make informed decisions, engage in policy dialogue, participate in multilateral environmental negotiations and achieve agreed environmental priority goals, targets and objectives. The project will involve the following categories of stakeholders:

- **Major groups and stakeholders.** Key beneficiaries will include all nine major groups of civil society and all UNEP accredited organizations as well as active and reputable stakeholders' organizations in the field of the environment. Possible partners with which UNEP has been involved in the past, include: Center for

International Environmental Law, Russian Association of Indigenous Peoples of the North, International Trade Union Confederation, Local Governments for Sustainability, Tunza Youth Advisory Council, Women in Europe for a Common Future, International Council of Chemicals Associations, Greenpeace, European Environmental Bureau, Caribbean Policy Development Center, Tebtebba Foundation - Indigenous Peoples' International Centre for Policy Research and Education, Brazilian Forum of NGOs and Social Movements for Sustainable Development and Environment, ENDA Tiers Monde, IndyAct-League of Independent Activists, Third World Network, International Union for Conservation of Nature, Worldwide Fund for Nature, Stockholm Environment Institute, World Business Council on Sustainable Development, International Institute for Sustainable Development, Consultative Group on International Agricultural Research, Stakeholder Forum, World Resources Institute, World Watch Institute, global policy networks e.g. the Mercury Group, the Green Economy Coalition, National Committees, and regional and national academic institutions, networks and communities of practices working with UNEP on thematic issues (e.g. GEO network of contributors and collaborating centers; IPBES platform, etc.).

- **Public Sector Stakeholders.** Various sectors of national governments, local/national/regional public authorities, International Governmental Organizations, will be engaged as contributors and beneficiaries in policy dialogues, forums, workshops, and, for instance, in the UNEA process.
- **UN system.** The project will be complementary to other UN initiatives in attempting to bring in as many major groups and stakeholders as possible in their governance debate, as well as in partnerships, with the UN as a whole to advance global development goals and objectives. Key stakeholders include: the International Labor Organisation, the United Nations Non-Governmental Liaison Service, the United Nations Department for Economic and Social Affairs, the United Nations Educational, Scientific and Cultural Organization, the United Nations Children's Fund, the United Nations Global Compact, the United Nations Global Reporting Initiative, and the United Nations Permanent Forum on Indigenous Issues.

## Other Socio-Economic and Environmental Issues

- **Gender Analysis.** The major groups and stakeholders provide an opportunity to engage social groups that may otherwise be under-represented in UNEP's work, e.g. women, indigenous peoples, workers and trade unions, to name a few. The project will seek specific thematic input when applicable from women and gender organisations to the different areas of work of the project in order to mainstream gender perspectives into all actions of the project. Furthermore, the project will provide sponsorship and will financially support women and gender organisations to encourage their participation in meetings, fora, trainings and relevant capacity building activities, as well as outreach activities. Therefore, the project will:
  - ✓ Provide an entry point to engage women and gender organisations to contribute their views experiences and expertise in international environmental meetings and in relevant activities of the project;
  - ✓ Ensure participation of women in decision-making, therefore allowing to take into account women and gender related perspectives in the IEG, Green Economy, Rio+20 and sustainable production and consumption debate;
  - ✓ Allow them to benefit from the capacity building activities of the project, for instance increasing the number of women trained; and
  - ✓ By ensuring that they are selected as key partners in implementing project activities.
- **Indigenous People analysis and strategy.** Indigenous Peoples' strong interdependence with nature, their customary resource rights coupled with traditional knowledge on genetic resources, sustainable use of natural resources and land management, and their particular vulnerability to damages and changes in the environment make them especially relevant actors and important partners in environmental governance and sustainable development. UNEP recognizes Indigenous Peoples' rights, vulnerabilities, responsibilities and contributions in caring for the environment in furtherance of UNEP's over-all mission to promote ecosystem health and human well-being. This provides a unique foundation for a global partnership between UNEP and Indigenous Peoples and enhances Indigenous Peoples' full and effective participation in its work. The Branch has developed an Indigenous Peoples Policy Guidance on strengthening UNEP staff's work with Indigenous Peoples, and is working towards its implementation. The Policy Guidance will be translated into a number

of procedures and checklists that will be embedded into the UNEP Programme Manual; and a toolkit will be put in place to ensure that UNEP staff and IPs themselves, have access to firsthand information on IP issues. Besides, the Branch host the UNEP IP Focal Point which does project reviews in the context of the PRC, but also has an oversight of the interagency cooperation on IP related issues throughout the UN system.

- **Environmental rule of law.** A main facet of the project is its focus on the application of the Guidelines for the Development of National Legislation on Access to Information, Public Participation and Access to Justice in Environmental Matters (Bali Guidelines), thus in assisting in establishing the rule of law in particular with relation to public participation, access to information and access to justice. By supporting MGS participation in governmental decisions making processes, the project fundamentally contributes to transparent governance arrangements in the context of UNEP governing bodies.

The project has at its heart the global push towards more transparent good governance through the engagement of all stakeholders in environmental decision-making, through its regular RCMs, capacity building workshops and in particular the work on the implementation of Rio Principle 10 and the Bali Guidelines.

Principle 10 and Bali guidelines implementation require enabling conditions, including legal reforms and regulations to guarantee access to information, public participation and access to justice on environmental matters. These rights of access are constituent elements of environmental rule of law. Effective access to information, public participation and access to justice are essential for transparent and accountable governance, for high quality outcomes of decision-making and to strengthen trust of the public in governing institutions. Such citizen participation and accountability is also widely recognized as a means of empowering marginalized groups such as rural indigenous, minorities and women.

Without those rights in place there cannot be rule of law. This is already reflected in Principle 10 of the Rio Declaration and requires governments and legislators to establish effective regulations as well as judicial and administrative procedures in order to provide access to justice to individuals, groups and organizations with a recognized legal interest. It is only through the active engagement of all parts of society, especially national and sub-national institutions and officials responsible for addressing justice, governance and law issues, including judges, prosecutors, auditing institutions and other key functionaries, that meaningful progress can be achieved.

## Cost-effectiveness

The whole approach of this work is to involve as broad a range of MGS into the work of UNEP as possible. Thereby, by its very nature and design, the focus is on multiplying the effect and extending the results widely. By involving more and more MGSs the actual cost of each activity per organisation is consistently reduced. Also as much as possible the implementation of the activities under the project, will be rendered more cost-effective via a variety of approaches; including:

- Using modern communication technology (e.g. teleconferences, webinars, etc.);
- Organising of meetings/events back to back with other events to reduce travel and conference related costs;
- Building partnerships with organisations which can provide services in a cost-effective manner;
- Avoiding duplication of efforts with other parts of UNEP, the United Nations system, mainly through coordination with the United Nations Non-Governmental Liaison Service (UN-NGLS).

## Project Sustainability Strategy

The project will seek to build a sustainable and efficient political and strategic platform for major groups and stakeholders to engage substantively with Governments and with UNEP and to contribute to the collection, analysis, management, dissemination and use of environmental information and data. Sustainability will be achieved through the mobilization of governments, major groups and stakeholders (with sub-regional, regional or global organizations) and through the full integration of the different partners and contributions from major groups and stakeholders into its policy design, programme formulation and implementation across all subprogrammes.

The investment made through this project in enhancing those mechanisms for this, notably the IT and communications work, and the capacity building of stakeholders has a long-term impact. In addition some of the tools being developed will sustain the work of UNEP with important stakeholder groups. , For example, tools such as the IP Toolkit will allow for continued flow of information and knowledge sharing between UNEP and IPs.

The project is expected to gradually build stronger regional level engagement with major groups and stakeholders, as an integral part of the regional and sub-regional ministerial and inter-governmental fora. The project sustainability can only be secured if the different legal and institutional frameworks accommodate space for stakeholders' participation in a systematic way, as part of the decision-making process.

The project will ensure its sustainability by building the capacities of stakeholders to engage in a two-way policy dialogue based on the respect of the unique roles of governments and states as decision-makers; and by engaging public sector actors and sharing information and resources with public sector actors; by harnessing systematic public participation; by providing tools which can work where there are public policy gaps and/or failures of implementation; by engaging and empowering affected stakeholders in the definition of more sustainable practices; by providing campaigners and the public with new 'solutions-oriented' tools to promote positive change; and by raising awareness, building knowledge and engaging consumers, civil society and decision-makers for greater long-term change.

## **Project Replicability and Mainstreaming Strategy**

Major groups and stakeholders are substantive contributors to environmental sustainability at national, sub-regional, regional and global levels. They will bring in, as targets of this work and as partners in implementation:

- knowledge, expertise and resources;
- advocacy skills to promote environmental priorities and obligations;
- capacity to harness support from other major groups and stakeholders;
- ability to mobilize countries around environmental policies, treaties, conventions and goals;
- support to the implementation of UNEP's work programme far beyond UNEP's capabilities and complement UNEP's expertise in areas where there is increasingly evident interlinkages/synergies; and
- play a facilitating role where they have a strategic country presence.

The project will bring additional value to the implementation of the programme of work as major groups and stakeholders can play a major role in adapting the global UNEP work programme to national and/or local realities, thus providing a link between UNEP and communities / civil society at large. They can also act as watchdogs or advocates, to foster accountability and are well-placed to raise public awareness, engage the general public in an informative and educative manner, creating, therefore, a multiplier effect.

By involving major groups and stakeholders in its agenda-setting and policy-making processes, UNEP will apply its new stakeholder engagement policy, testing it and allowing for other UN agencies to adopt a similar approach.

## **Public Awareness, Communications Strategy**

Major groups and stakeholders are well-placed to raise public awareness and engage the general public in an informative and educative manner, creating a multiplier effect. They also educate the public, through schools, universities, scientific institutions, or through targeted campaigns, thereby raising the awareness of new generations to their roles and duties as well as to disseminate environmental information and data developed through UNEP assessment processes.

Many major groups and stakeholders organizations are closely tied to channels of media and can disseminate relevant information effectively and particularly to the local communities. The advocacy functions they perform, helps foster sustainable development and identify innovative solutions to environmental challenges through their expertise in many areas – law, science, environment, conflict resolution/negotiation, participatory processes, indigenous knowledge, etc. – and also act as watchdogs to foster accountability, promote good governance and prevent social and economic exclusion.

The communication strategy for the project will be included in the overall communication strategy for the Environmental Governance sub-programme. Information (including publications/press releases/outreach materials, etc.) to the MGS and the general public will be done using:

- new information technologies including web-based tools and social media;
- meetings/conferences/symposia/fora organised by UNEP and its partners;
- traditional mailing to member states and stakeholders;

## Use of Legal Instruments

In order to implement the different activities of this project, the usual legal instruments provided by UNEP will be used, such as:

- De Minimis Funding Agreements (DMFA) and Small-Scale Funding Agreements (SSFA) with institutions to assist in providing technical and logistical support;
- SSAs for individual contracts to provide technical expertise and support to initiative activities;
- Memorandum of Understanding (MoU) or Letters of Agreements (LoA) with institutions to define strategic alliances for long-term collaboration on various activities;
- Project Cooperation Agreement (PCA) with external partners to implement activities as per the attached workplan;
- Internal cooperation agreements (ICA) for internal purposes;
- Donor agreements with donors for funding and obligations.

The Managing Division will enter into ICAs with relevant Divisions if need be and subcontract some of the activities to partners and consultants. External partners will be subcontracted through use of legal instruments, such as LoAs, MoUs, DMFAs, SSFAs, etc., to implement activities agreed upon with UNEP.

## Project Implementation Structure

The Major Groups and Stakeholders Branch/Secretariat of Governing Bodies and Stakeholders (MGSB/SGBS) is the Managing organizational unit for this project. DELC, DCPI and Regional Offices will support the project's implementation as appropriate, as part of the Project Team (see below). Furthermore, the project will be delivered with external partners, and where possible with United Nations agencies and United Nations Country Teams. The project will cover the period from January 2015 to June 2016.

The implementation of the project will be guided by consultations amongst MGSB/SGBS, UNEP Regional Offices, UNEP Out-posted and Liaison Offices, Subprogramme Coordinators and all Divisions of UNEP. MGSB/SGBS will work closely with the GEF particularly in bringing on board the Global Environment Facility-NGO Network, as well as the MEAs, and other major groups and stakeholders networks. UNEP Regional Offices will be responsible of delivering regional and sub-regional activities, for which resources (human and financial) will be allocated and managed by them.

### Project Steering Committee

A Project Steering Committee will not be needed at this phase, but we envisage putting it in place for the following project drafted on the bases of the result of the Evaluation. In the future project it will be established to oversee and provide strategic guidance for the implementation of the project. It will therefore provide substantive support to international meetings/fora, meetings of the Governing Bodies of UNEP, as well as a significant input into partnerships within UNEP at large.

The Composition of such Project Steering Committee will be selected when drafting the project.

The Project Steering Committee will meet every year (as reflected in the Monitoring plan attached in Appendix 2) to review progress and decide on corrective measures and strategic guidance to ensure that the project delivers on its

expected outcomes and results. The Project Steering Committee will also advise on resources mobilisation, monitoring and evaluation of the project, and reporting.

### Project Team

Jose de Mesa (interim) within MGSB/SGBS will serve as Project Manager and is assigned by the Programme Framework Coordinating Division to compile and report on Programme Framework progress six monthly to the Subprogramme Coordinator. Project Team members will also be responsible for the implementation of specific project activities in their regions. They will liaise with all other Divisions as and when necessary.

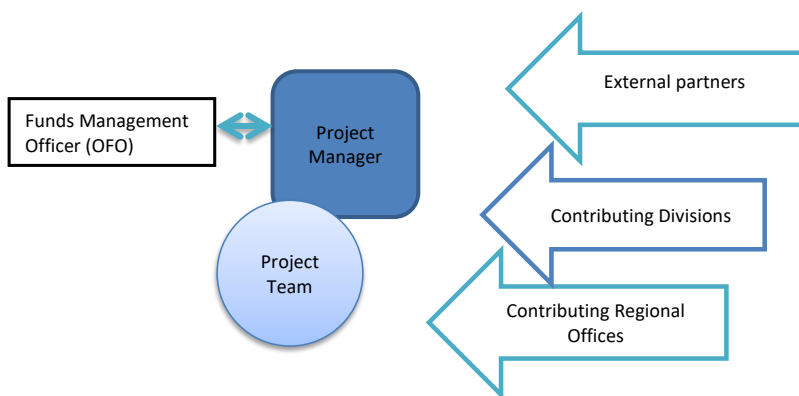
The different Units/Divisions/Regional Offices responsible for managing specific project activities are detailed in the project workplan under section 7. They will coordinate the provision of the required substantive and technical inputs for the successful implementation of the project, as well as for reporting and monitoring purposes.

The Project Team will be composed of the following staff members:

|                    |     |            |    |      |                   |
|--------------------|-----|------------|----|------|-------------------|
| Alexander Juras    | P5  | MGSB       | RB | 60%  | Existing S/M      |
| MGSB               | P4  | MGSB       | EF | 70%  | Under recruitment |
| Jose de Mesa       | P3  | MGSB       | EF | 60%  | Existing S/M      |
| Laetitia Zobel     | P2  | MGSB       | EF | 60%  | Existing S/M      |
| Monica Mutira      | G5  | MGSB       | EF | 60%  | Existing S/M      |
| Lucy Mungai        | UNV | MGSB       | XB | 60%  | Existing S/M      |
| Isiah Otieno       | UNV | MGSB       | XB | 60%  | Existing S/M      |
| Sylvia Bankobeza   | P4  | DELC       | EF | 10%  | Existing S/M      |
| Angele Luh         | P4  | ROA        | EF | 15%  | Existing S/M      |
| Melanie Hutchinson | P4  | ROWA       | RB | 10%  | Existing S/M      |
| ROWA               | P3  | ROWA       | RB | 20%  | Under recruitment |
| Alejandro Laquna   | P4  | ROLAC      | EF | 30%  | Existing S/M      |
| Andrea Brusco      | P4  | DELC-ROLAC | RB | 10%  | Existing S/M      |
| Satwant Kaur       | P4  | ROAP       | EF | 10%  | Existing S/M      |
| Hilary French      | P3  | RONA       | EF | 10%  | Existing S/M      |
| Wondwesen Asnake   | P3  | ROE        | XB | 100% | Existing S/M      |
| Diana Rizzolio     | P3  | ROE        | XB | 100% | Existing S/M      |
| Virginia Ozuna     | G4  | ROE        | XB | 100% | Existing S/M      |
| Angeline Djampou   | P4  | DCPI       | RB | 10%  | Existing S/M      |
| FMO                | P4  | OFO        | EF | 10%  | Existing S/M      |

The Sub-programme Coordinator, the Project Manager and QAS will ensure process quality in the design and delivery of the project while the Evaluation office will evaluate the delivery of the project and whether expected accomplishments have been achieved. QAS will capture and incorporate the lessons learnt throughout the project implementation into the PoW Cycle, and the Project Manager will work with CSS for the use of legal instruments and financial arrangements. The RMS will be consulted for fundraising purposes.

### Project organisational structure



## 4 Risk Analysis

This project, and its sub-components, is primarily driven by UNEP and its staff, and external partners. With sufficient resources in funding and in personnel time it should be within the capacities of the various units to implement this planned work and achieved the expected outputs. As such the major risks identified relate to the 'nature' of and relations with the stakeholders that are targeted; and to the financial risks posed by the limited resources made available to UNEP's work on stakeholder engagement. The latter risk has been recurrent and high over the past 10 years and requires a meaningful commitment from UNEP management and member states.

**Table 3: Project Risk Log**

|   | Risk Description                                                                                                                                                          | Category                     | Impact Severity | Likelihood | Risk Management Strategy & Safeguards                                                                                                                                                                                                                                                                                                                                              | By When/ Whom?                                                                          |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-----------------|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1 | Fragmented voices of the different major groups and stakeholders: not one position of the different groups on issues                                                      | Political                    | High            | High       | <ul style="list-style-type: none"> <li>Build a framework that would accommodate all voices from the major groups and stakeholders, i.e. Major Groups Facilitating Committee (MGFC) that allows all groups to express themselves</li> </ul>                                                                                                                                         | MGSB and ROs                                                                            |
| 2 | Limited scope of debate among the major groups and stakeholders: limited linkages between UNEP governance and other existing strategic partnerships with stakeholders     | Political and organisational | Medium          | High       | <ul style="list-style-type: none"> <li>Build a framework to ensure that synergies are captured and that both strategic partnerships and governance inform and influence each other</li> </ul>                                                                                                                                                                                      | MGSB                                                                                    |
| 3 | Lack of access to major groups and stakeholders at sub-regional, regional and global levels and their willingness to participate in international environmental processes | Organisational               | High            | High       | <ul style="list-style-type: none"> <li>Work closely with partners in planning and implementation of all activities and work through the UN structure for delivery.</li> <li>Integrate fully the different partners and contributions from major groups and stakeholders into its policy design, programme formulation and implementation across all its subprogrammes</li> </ul>   | MGSB and ROs at inception of the project                                                |
| 4 | Lack of political will of governments to participate alongside with major groups and stakeholders in training/capacity building, policy dialogue                          | Political                    | High            | High       | <ul style="list-style-type: none"> <li>Better articulate the objectives and benefits of joint trainings for government officials and major groups and stakeholders; work closely with partners in identifying training/capacity building recipients among stakeholders' representatives to ensure credibility and establish trust between them and government officials</li> </ul> | MGSB: when designing the training and other capacity building activities of the project |

|   |                                                                                                                                                                                                             |                     |      |      |                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                             |
|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------|------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| 5 | Limited attention and support given by stakeholders and organizations engage in the UNEA process                                                                                                            | Political           | High | High | <ul style="list-style-type: none"> <li>• Use internal UN stakeholder mechanisms to promote such engagement with complementary initiatives such as Global Compact, Global Reporting Initiative and sector specific stakeholders.</li> <li>• Provide additional entry points for stakeholders' technical and expert input and advice.</li> </ul>                                                                                                | UNEP: increase relevance of UNEA<br>MGSB: ensure strong participation of stakeholders in thematic processes |
| 6 | Limited resources available from the traditional donors, and yet increasing competition with other UN projects as well as projects from civil society stakeholders (this has been recurrent over the years) | Financial (Funding) | High | High | <ul style="list-style-type: none"> <li>• Ensure that more core funding is allocated to activities to support stakeholders participation</li> <li>• Joint fundraising with civil society stakeholders and other UN agencies to limit the duplication of projects/programmes</li> <li>• Long term commitment from UNEP management to allocate more funding to support stakeholder engagement (i.e. a percentage of Environment Fund)</li> </ul> | UNEP and partners                                                                                           |

## 5 Logical Framework

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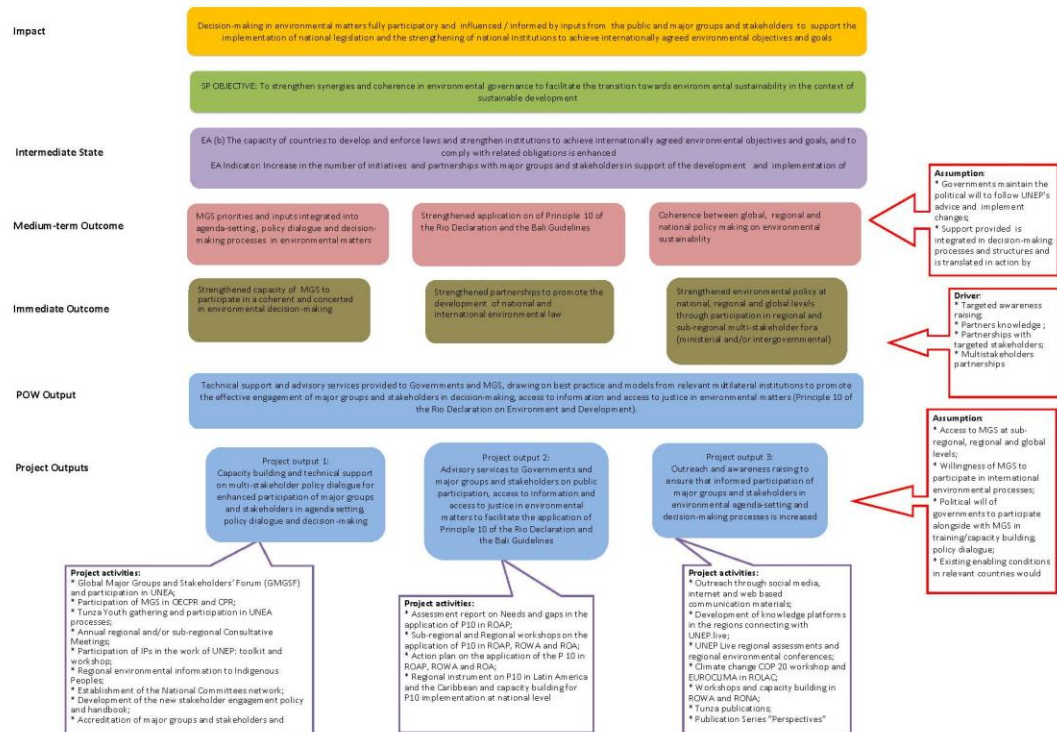
### Theory of change

The outcomes of the project will contribute to enhancing frameworks for major groups and stakeholder participation in environmental decision making at the national, sub regional, regional and global level ; and will assist countries to develop and enforce legislation and to strengthen institutions to achieve internationally agreed environmental objectives and goals and comply with related obligations, through:

4. Capacity building, technical support (trainings, workshops, knowledge sharing, awareness raising, etc.);
5. Advisory services to Governments and major groups and stakeholders on public participation, access to information and access to justice in environmental matters; and
6. Outreach and awareness raising.

The project will contribute directly to advancing the capacity of countries to meet their environmental obligations, with regard to access to information, public participation and access to justice in environmental matters.

The flowchart below outlines how the different activities of the project, its outputs and outcomes are interrelated and will contribute to the PoW expected accomplishments, and have a greater impact.



## Project Logical Framework

**Table 4: Project log frame**

| Relevant Expected Accomplishment in the Programme of Work:                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| EA (b) The capacity of countries to develop and enforce laws and strengthen institutions to achieve internationally agreed environmental objectives and goals, and to comply with related obligations is enhanced. |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                       |
| Project Outcome                                                                                                                                                                                                    | Indicators                                                                                                                                                                                                                                                                                                                            | Means of Verification                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                       |
| The view points and recommendations of MSG are increasingly embedded in UNEP policy documents, processes and knowledge products                                                                                    | <p>Number of formal partnerships between UNEP and Major Groups and Stakeholders to achieve internationally agreed environmental objectives and goals, and to comply with related obligations [Baseline: 4 Target: 6]</p> <p>Number of MSGs providing input to the evaluation of UNEP stakeholder engagement baseline: 5 target: 7</p> | <ul style="list-style-type: none"> <li>Policy documents integrating UNEP policy options, briefs, background papers and other substantive contributions</li> <li>MOU or legal agreements signed with partners</li> <li>Survey of major groups and stakeholder organizations attending the stakeholders forums and inter-governmental policy dialogues</li> <li>TE final consultant report</li> <li>List of accredited organisations, including gender disaggregated data</li> </ul> |                                                                       |
| Project milestones that show progress towards achieving the project outcome:                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Expected Milestone Delivery Date                                      |
| 1. National Committees Policy developed and presented to the SMT for approval                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | December 2014                                                         |
| 2. Guidebook on Stakeholder Engagement in UNEP developed with MSG contributions                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | June 2015                                                             |
| 3. Regional Consultation Meetings with MGS held in preparation for UNEA 2                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | December 2015                                                         |
| 4. Stakeholder Engagement Policy developed and submitted to the OECPR                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | June 2016                                                             |
| 5. Report on the Geneva Environmental Network (GEEN) on the cooperation/ partnership between International Organizations, MEA Secretariats NGOs, Local Authorities and Civil Society at the global level released. |                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | June 2016                                                             |
| Project Output 1:                                                                                                                                                                                                  | Indicators                                                                                                                                                                                                                                                                                                                            | Means of Verification                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Related PoW-EA Output                                                 |
| 1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate                                                                                                       | <p>Indicator 1: Number of regional multi-stakeholder policy dialogues on environmental areas [Baseline: 0 Target: 6]</p> <p>Indicator 2<br/>Number of global for a organized [Baseline: 0 Target: 2]</p> <p>Indicator 3<br/>% of women participating in the events organized by the project</p>                                       | <ul style="list-style-type: none"> <li>Multi-stakeholder dialogue reports</li> <li>Survey of accredited major groups and stakeholder organizations attending the stakeholders forums, inter-governmental policy dialogues, regional consultations</li> <li>RCMs reports, key regional messages and recommendations</li> <li>Gender disaggregated lists of participants</li> </ul>                                                                                                  | <p>PoW # 424</p> <p>Biennia 2011-2012 and 2013-2014: project 42P2</p> |

|                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          |                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
|                                                                                                                                                             | [Baseline:0 target: 50%]                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                          |                                         |
| <b>Project milestones that show progress towards achieving the project output 1:</b>                                                                        |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | <b>Expected Milestone Delivery Date</b> |
| 1. National Committees Policy developed and presented to the SMT for approval                                                                               |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | December 2014                           |
| 2. UNEP Handbook on Stakeholder Engagement developed for SMT approval                                                                                       |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2015                               |
| 3. Six Regional Consultation Meetings organised and common regional MGS positions elaborated on UNEA themes                                                 |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | December 2015                           |
| 4. Major groups and stakeholders participate in the processes of the OECPR2 and UNEA2                                                                       |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2016                               |
| <b>Project Output 2:</b>                                                                                                                                    | <b>Indicators</b>                                                                                                                                                                                                                                                                                                               | <b>Means of Verification</b>                                                                                                                                                                                                                                                                                             | <b>Related PoW-EA Output</b>            |
| 2. Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines made available for download in UNEP live.            | <p>Number of publications to guide the implementation of P10 of the Rio Declaration<br/>Baseline: 1 Target: 2</p> <p>Number knowledge products to inform the implementation of the Bali Guidelines<br/>Baseline: 0 Target: 1</p> <p>Number of issues of the publication Perspectives released<br/>[Baseline: 15 Target: 21]</p> | <ul style="list-style-type: none"> <li>• UNEP Live website</li> <li>• Partnerships documents, legal agreements, best practices and lessons learned</li> <li>• Joint reports</li> <li>• Project reports</li> <li>• Survey of partners and accredited major groups and stakeholders</li> <li>• List of partners</li> </ul> |                                         |
| <b>Project milestones that show progress towards achieving the project output 2:</b>                                                                        |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | <b>Expected Milestone Delivery Date</b> |
| 1. Publication of the UNEP Guidebook on the implementation of the Bali Guidelines                                                                           |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2015                               |
| 2. Capacity building workshop in support of the process on establishing a regional instrument on principle 10 in LAC                                        |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | December 2015                           |
| 3. Six issues of the publication Perspectives released                                                                                                      |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2016                               |
| 4. Nine GEEN roundtables on environmental emerging issues and supporting critical environmental negotiations organized                                      |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2016                               |
| <b>Project Output 3</b>                                                                                                                                     | <b>Indicators</b>                                                                                                                                                                                                                                                                                                               | <b>Means of Verification</b>                                                                                                                                                                                                                                                                                             | <b>PoW-EA Output</b>                    |
| Project document incorporating findings and recommendations from the TE of project 424.1 in UNEP approved format drafted and submitted to PRC for approval. | Number of ProDoc in UNEP format in full submitted to PRC for approval<br>Baseline: 0: target 1                                                                                                                                                                                                                                  | QAS communication setting date for PRC                                                                                                                                                                                                                                                                                   | December 2015                           |
| <b>Project milestones that show progress towards achieving the Project Output 1 outcome 2</b>                                                               |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | <b>Expected Milestone Delivery Date</b> |
| Draft evaluation report from TE submitted by independent consultants                                                                                        |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | June 2015                               |
| proDoc in UNEP approved format in full submitted to PRC for approval                                                                                        |                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                          | Dec 2015                                |

**Commented [FA1]:** If the argument for 18 months project duration is to include the results of UNEA2, the date should be reviewed.



## 6 Monitoring and Evaluation

### Monitoring Plan

The project will follow UNEP standard monitoring processes and procedures.

The project will be managed by the Major Groups and Stakeholders Branch of SGBS, under the guidance of a Project Manager. The project will be monitored in the following way:

- The Activity managers will submit reports to the Project Manager every six months (June and December), on which corrective action will be taken if necessary, and to enable the Project Manager to prepare and submit timely progress reports; and
- Self-monitoring of sub-activities will be carried out by the respective Units/Teams involved in project activities, and will be held accountable for the timely delivery of their project outputs.

Jose de Mesa will be responsible for reporting. Additionally the last Financial Report (Final Report) will be submitted within 60 days of Project closure. UNEP will monitor and evaluate progress, and prepare progress reports through IMDIS and PIMS in accordance with the specified milestones and related dates as specified in the log frame:

| Project milestones:                                                                                                      | Expected Milestone Delivery Date | Responsible Org. Unit |
|--------------------------------------------------------------------------------------------------------------------------|----------------------------------|-----------------------|
| 1. UNEP Guidebook on Stakeholder Engagement developed for SMT approval                                                   | June 2015                        | MGSB                  |
| 2. Six Regional Consultation Meetings organised and common regional MGS positions elaborated on UNEA                     | December 2015                    | ROs                   |
| 3. 4. Major groups and stakeholders participation in the processes of the ECPR                                           | June 2016                        | MGSB                  |
| 4. Major groups and stakeholders participation in the processes of the UNEA                                              | June 2016                        | MGSB                  |
| 5. Framework for UNEP National Committees developed for SMT approval                                                     | December 2014                    | MGSB                  |
| 6. Publication of the UNEP Guidebook on the implementation of the Bali Guidelines                                        | December 2015                    | MGSB                  |
| 7. Capacity building workshop in support of the process on establishing a regional instrument on principle 10 in LAC     | December 2015                    | MGSB/ROLAC/DELAC      |
| 8. Report on the use of the Guidebook on the implementation of the Bali Guidelines in LAC, Africa, MENA region, and ROAP | June 2016                        | MGSB                  |
| 9. EUROCLIMA regional workshop in LAC                                                                                    | December 2014                    | ROLAC                 |
| 10. Four                                                                                                                 | December 2015                    | MGSB                  |
| 11. Two issues of the publication Perspectives released                                                                  | June 2016                        | MGSB                  |

Further details are in the Monitoring plan and budget in appendix 2.

### Evaluation Plan

The Project will be evaluated in accordance with the guidelines of UNEP's Evaluation Office and in-line with the UNEP Programme Manual and UNEP's standard procedures.

The project builds on the project 42P2 which covered the period 2010-2013. An evaluation of the said project will be conducted in December 2014 and its main findings will be feed into the current project. Such findings will be reviewed and taken into account by the new project that will be drafted based on them. This new project that will start in June 2014 is in fact one of the outcomes of this Project. Changes will be reflected in the new project as necessary. The Evaluation Office will conduct this evaluation, and provisions for such evaluation are reflected both in the Monitoring plan and in the project budget.

The Project 424 will be externally evaluated and will be the bass of the new project. The Evaluation Office will be responsible for the Terminal Evaluation (TE) and will liaise with the project manager throughout the process. The TE will provide an independent assessment of project performance (in terms of relevance, effectiveness and efficiency), and determine the likelihood of impact and sustainability. It will have two primary purposes: (i) to provide evidence of results to meet accountability requirements, and (ii) to promote learning, feedback, and knowledge sharing through results and lessons learned among UNEP and executing partners. The direct costs of the evaluation will be charged against the project evaluation budget.

The TE report will be sent to project stakeholders for comment. Formal comments on the report will be shared by the Evaluation Office in an open and transparent manner. The project performance will be assessed against standard evaluation criteria using a six point rating scheme. The final determination of project ratings will be made by the Evaluation Office when the report is finalized. The evaluation report will be publically disclosed and may be followed by a recommendation compliance process.

## 7 Project Work Plan

Table 5: Project Work Plan

| Code                                                                                                                                                           | Project Output / Component and activities<br>(as per log frame)                                                                  | Responsible<br>/ Lead<br>Division or<br>Office | Partners                               | Year 1 |    |    |    | Year 2 |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|----------------------------------------|--------|----|----|----|--------|----|
|                                                                                                                                                                |                                                                                                                                  |                                                |                                        | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 |
| 1. Facilitation of multi-stakeholders dialogues to share knowledge on environmental areas under UNEP mandate                                                   |                                                                                                                                  |                                                |                                        |        |    |    |    |        |    |
| 1.1.                                                                                                                                                           | Global Major Groups and Stakeholders' Forum (MGMSF) and participation in UNEA *                                                  | <u>MGSB</u>                                    | MGFC                                   |        |    |    |    |        |    |
| 1.2.                                                                                                                                                           | Participation of major groups and stakeholders in OECPR *                                                                        | <u>MGSB</u>                                    | MGFC                                   |        |    |    |    |        |    |
| 1.3.                                                                                                                                                           | Participation of major groups and stakeholders in CPR                                                                            | <u>MGSB</u>                                    | MGFC                                   |        |    |    |    |        |    |
| 1.4.                                                                                                                                                           | Regional and/or sub-regional Consultative Meetings: 1 per region per biennium + targeted capacity building and training sessions | <u>All ROs</u>                                 |                                        |        |    |    |    |        |    |
| 1.5.                                                                                                                                                           | Regional environmental information to Indigenous Peoples                                                                         | <u>MGSB</u>                                    |                                        |        |    |    |    |        |    |
| 1.6.                                                                                                                                                           | Establishment of the National Committees network                                                                                 | <u>MGSB</u>                                    | All ROs                                |        |    |    |    |        |    |
| 1.7.                                                                                                                                                           | Development of the new stakeholder engagement policy and handbook                                                                | <u>MGSB</u>                                    | All MGS                                |        |    |    |    |        |    |
| 1.8.                                                                                                                                                           | Accreditation of major groups and stakeholders and accreditation database                                                        | <u>MGSB</u>                                    | All MGS                                |        |    |    |    |        |    |
| 1.9.                                                                                                                                                           | Support and services to all UNEP Divisions and ROs                                                                               | <u>MGSB</u>                                    | All Divisions                          |        |    |    |    |        |    |
| 2. Knowledge products to promote the application of P10 of the Rio Declaration and the Bali Guidelines developed and made available for download in UNEP live. |                                                                                                                                  |                                                |                                        |        |    |    |    |        |    |
| 2.1.                                                                                                                                                           | Assessment report on <i>Needs and gaps in the application of Principle 10</i> in ROAP                                            | <u>MGSB, DELC, ROAP, ROA, ROLAC, ROWA</u>      | Thailand Environment Institute, UNITAR |        |    |    |    |        |    |
| 2.2.                                                                                                                                                           | Sub-regional and Regional workshops on the application of the Bali guidelines in ROAP                                            |                                                |                                        |        |    |    |    |        |    |
| 2.5.                                                                                                                                                           | Action plan on the application of the Principle 10 in ROAP                                                                       |                                                |                                        |        |    |    |    |        |    |

| Code                                                                                                                                                                                | Project Output / Component and activities<br>(as per log frame)                                                                                       | Responsible<br>/ <u>Lead</u><br>Division or<br>Office | Partners          | Year 1 |    |    |    | Year 2 |    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------|--------|----|----|----|--------|----|
|                                                                                                                                                                                     |                                                                                                                                                       |                                                       |                   | Q1     | Q2 | Q3 | Q4 | Q1     | Q2 |
| 2.4.                                                                                                                                                                                | Regional instrument on P10 in Latin America and the Caribbean and capacity building for P10 implementation at national level                          |                                                       | , TAI,<br>ECLAC   |        |    |    |    |        |    |
| 2.5.                                                                                                                                                                                | Action plan on the application of the Principle 10 in ROA                                                                                             |                                                       |                   |        |    |    |    |        |    |
| 2.6.                                                                                                                                                                                | Action plan on the application of the Principle 10 in ROWA                                                                                            |                                                       |                   |        |    |    |    |        |    |
| 3.1.                                                                                                                                                                                | Outreach - Social media pack and web based communication support DCPI and development of knowledge platforms in the regions connecting with UNEP.live | MGSB, All ROs                                         | <u>DCPI</u>       |        |    |    |    |        |    |
| 3.2.                                                                                                                                                                                | Outreach through social media, internet and web based communication materials                                                                         | <u>MGSB</u>                                           | MGSB              |        |    |    |    |        |    |
| 3.4.                                                                                                                                                                                | Preparation for Climate change COP 20 workshops and EUROCLIMA in ROLAC                                                                                | <u>ROLAC</u> , SP 1                                   | REGATTA EUROCLIMA |        |    |    |    |        |    |
| 3.5.                                                                                                                                                                                | Workshops and capacity building in RONA                                                                                                               | <u>RONA</u> , SP 6, SP7, SP3                          |                   |        |    |    |    |        |    |
| 3.6.                                                                                                                                                                                | Workshops and capacity building in ROWA and development of knowledge platforms connecting with UNEP.live                                              | ROWA, SP 6, SP7, SP3                                  |                   |        |    |    |    |        |    |
| 3.8.                                                                                                                                                                                | Publication Series "Perspectives"                                                                                                                     | <u>MGSB</u>                                           | DCPI              |        |    |    |    |        |    |
| 3. Project document incorporating inputs from MSGs and findings and recommendations from the TE of project 424.1 in UNEP approved format drafted and submitted to PRC for approval. |                                                                                                                                                       |                                                       |                   |        |    |    |    |        |    |
| 3.1.                                                                                                                                                                                | Evaluation of project 42P2 (2010-2013)                                                                                                                | <u>Project Preparation</u>                            | EO                |        |    |    |    |        |    |
| 3.2.                                                                                                                                                                                | PREPARATION FOR THE NEXT PROJECT FROM (June 2016)                                                                                                     |                                                       |                   |        |    |    |    |        |    |
| 3.3.                                                                                                                                                                                | Presentation to PRC and approval                                                                                                                      |                                                       |                   |        |    |    |    |        |    |

## **APPENDICES:**

|             |                                                                     |
|-------------|---------------------------------------------------------------------|
| Appendix 1: | Project IMIS budget (a costed workplan is attached for information) |
| Appendix 2: | Monitoring plan and budget                                          |
| Appendix 3: | Problem Tree                                                        |
| Appendix 4: | Theory of change                                                    |